

# PROPOSAL AND CONTRACT DOCUMENTS

# FOR THE CONSTRUCTION OF (EXEMPT)

10

Traffic signal improvements at various locations on US Highway 51, MS Highway 302 and Stateline Road, known as Federal Aid Project No. STP-0499-00(002) / 103836, in the County of Desoto, State of Mississippi.

Project Completion: 149 Time Units

# **NOTICE**

BIDDERS MUST PURCHASE A BOUND PROPOSAL FROM MDOT CONTRACT ADMINISTRATION DIVISION TO BID ON THIS PROJECT.

Electronic addendum updates will be posted on www.goMDOT.com

# **SECTION 900**

OF THE CURRENT
(1990) STANDARD SPECIFICATIONS
FOR ROAD AND BRIDGE CONSTRUCTION
MISSISSIPPI DEPARTMENT OF TRANSPORTATION
JACKSON, MISSISSIPPI

# BIDDER CHECK LIST (FOR INFORMATION ONLY)

 All unit prices and item totals have been entered in accordance with Subsection 102.06 of the Mississippi Standard Specifications for Road and Bridge Construction.
 If the bid sheets were prepared using MDOT's Electronic Bid System, proposal sheets have been stapled and inserted into the proposal package.
 First sheet of SECTION 905PROPOSAL has been completed.
 Second sheet of SECTION 905PROPOSAL has been completed and signed.
 Addenda, if any, have been acknowledged. Second sheet of Section 905 listing the addendum number has been substituted for the original second sheet of Section 905. Substituted second sheet of Section 905 has been properly completed, <u>signed</u> , and added to the proposal.
 DBE/WBE percentage, when required by contract, has been entered on last sheet of the bid sheets of SECTION 905 - PROPOSAL.
 Form OCR-485, when required by contract, has been completed and signed.
 The last sheet of the bid sheets of SECTION 905PROPOSAL has been signed.
 Combination Bid Proposal of SECTION 905PROPOSAL has been completed for each project which is to be considered in combination (See Special Provision No. 907-102-1).
 Equal Opportunity Clause Certification, when included in contract, has been completed and <u>signed</u> .
 Subcontract Certificate, when included in contract, has been completed and <u>signed</u> .
 The Certification regarding Non-Collusion, Debarment and Suspension, etc. has been <u>executed in duplicate</u> .
 A Certified check, cashier's check or bid bond payable to the State of Mississippi in the principal amount of 5% of the bid has been included. Bid bond has been signed by the bidder and has also been signed or countersigned by a Mississippi Resident Agent for the Surety with Power of Attorney attached or on file with the Department's Contract Administration Engineer.
 Non-resident Bidders: ON STATE FUNDED PROJECTS ONLY, a copy of the current laws regarding any preference for local Contractors from State wherein domiciled has been included. See Subsection 103.01, Mississippi Standard Specifications for Road and Bridge Construction, and Section 31-7-47, MCA, 1972 regarding this matter.

Return the proposal and contract documents in its entirety in a sealed envelope. <u>DO NOT</u> remove any part of the contract documents; exception - an addendum requires substitution of second sheet of Section 905. A stripped proposal is considered as an irregular bid and will be rejected.

Failure to complete any or all of the applicable requirements will be cause for the proposal to be considered irregular.

#### TABLE OF CONTENTS

## PROJECT: STP-0499-00(002) / 103836-- DESOTO COUNTY

901--Advertisement

904--Notice to Bidders: Governing Specs. - # 1

Status of ROW, Util. Adjustments and Underground Storage Tanks - # 437, W/ATTACHMENTS

Agency, Commission and Office Name Changes - # 882

Errata - # 1428

Use of Fly Ash in Non-Sulfate areas - # 2904

Use of GGBFS - # 2906

Selection of Optional Items - # 3191

Plant Pest Quarantines Information - # 3745

Prompt Payment - # 4670

Alterations in Bidding Process - # 4758 On-the-Job Training Program - # 4954

Change Order / Quantity Adjustment Name Change - # 5185

Disadvantaged Business Enterprises - # 5955, W/SUPPLEMENTS

DBE Goals - # 5957

Work in Proximity of Overhead High Voltage Power Lines - # 6027

Payment To Subcontractors - # 6143 Contract Overpayment(s) - # 6159 Federal Bridge Formula - # 6275

Certificate of Traffic Control Devices - # 6289

Submission of Form OCR-485 - # 6333 Removal of Construction Signs - # 6522

Contract Time - # 6703

Cooperation of Contractors - # 6724

Fuel Tax Applicability to Bidders and Contractors - # 6783

Estimated Monthly Quantities - # 6794

906: Reqd.Fed.Constr.Provisions -- FHWA-1273, W/SUPPLEMENTS

907-101-5: Definitions

907-102-1: Combination Bids 907-102-8: Preparation of Proposal

907-103-5: Execution and Approval of Contract

907-104-3: Significant Changes in the Character of Work and Differing Site Conditions

907-104-8: Partnering Process

907-104-12: Minor Alterations to the Contract

907-105-9: Claims

907-106-1: Convict Produced Materials

907-107-10: Contractor's Responsibility for Work

907-107-12: Liability Insurance

907-107-13: Contractor's Protection Plan 907-108-20: Liquidated Damages Table

907-109-8: Claims

907-109-13: Measurement and Payment

#### - CONTINUED ON NEXT PAGE -

# PAGE 2 - TABLE OF CONTENTS - PROJECT STP-0499-00(002) / 103836 - DESOTO CO.

907-618-16:	Placement of Temporary Traffic Stripe
907-619-22:	Traffic Control for Construction Zones
907-639-7:	Traffic Signal Equipment Pole Shaft Extensions
907-640-5:	Traffic Signal and Pedestrian Heads
907-647-3:	Pull Boxes

907-650-6: On Street Video Equipment 907-654-1: Battery Back-Up System 907-657-3: Fiber Optic Cable 907-668-5: Traffic Signal Conduit

907-700-4: Buy America

907-714-6: Miscellaneous Materials 907-716-1: Miscellaneous Materials

907-720-3: Preformed Pavement Marking for Construction Zones

907-721-7: Reflective Sheeting

906-3: On-The-Job Training Program

906-4: On The Job Training Program Alternate Program

SECTION 905 - PROPOSAL - PROPOSAL SHEET NOS. 2-1 through 2-6,

COMBINATION BID PROPOSAL,

CERTIFICATE OF PERFORMANCE - PRIOR FEDERAL AID CONTRACTS,

CERTIFICATE REGARDING NON-COLLUSION, DEBARMENT AND SUSPENSION,

SECTION 902 - CONTRACT FORM, AND SECTION 903 - CONTRACT BOND FORM,

FORM -- OCR-485,

PROGRESS SCHEDULE,

HAUL PERMIT FOR BRIDGES WITH POSTED WEIGHT LIMITS

(REVISIONS TO THE ABOVE WILL BE INDICATED ON THE SECOND SHEET OF SECTION 905 AS ADDENDA)

#### **SECTION 901 - ADVERTISEMENT**

Sealed bids will be received by the Mississippi Transportation Commission in the Office of the Contract Administration Engineer, Room 1013, Mississippi Department of Transportation Administration Building, 401 North West Street, Jackson, Mississippi, until 9:30 o'clock A.M., Tuesday, January 27, 2004; thereafter bids will be received in the First Floor Auditorium of the Mississippi Department of Transportation Administration Building, Jackson, Mississippi, until 10:00 o'clock A.M., Tuesday, January 27, 2004, and shortly thereafter publicly opened for

Traffic signal improvements at various locations on U.S. 51, Mississippi Highway 302 and Stateline Road, known as Federal Aid Project No. STP-0499-00(002) / 103836, in the County of Desoto, State of Mississippi.

The attention of bidders is directed to the Contract Provisions governing selection and employment of labor. Minimum wage rates have been predetermined by the Secretary of Labor and are subject to Public Law 87-581, Work Hours Act of 1962, as set forth in the Contract Provisions.

The Mississippi Department of Transportation hereby notifies all bidders that it will affirmatively insure that in any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, sex, religion or national origin in consideration for an award.

# The award of this contract will be contingent upon the Contractor satisfying the DBE requirements.

Plans and specifications are on file in the offices of the Mississippi Department of Transportation. Bid proposals must be acquired from the MDOT Contract Administration Division. These proposal are available at a cost of Ten Dollars (\$10.00) per proposal. Specimen proposals are also available at the MDOT Contract Administration Division at a cost of Ten Dollars (\$10.00) per proposal, or can be viewed or downloaded at no cost at <a href="www.gomdot.com">www.gomdot.com</a>.

Plans may be acquired on a cost per sheet basis from MDOT PLANS PRINT SHOP, RM. 1100, Administration Building, Telephone (601) 359-7460 OR E-Mail <u>plans@mdot.state.ms.us</u> OR FAX NO. (601) 359-7461.

Plans will be shipped upon receipt of payment.

Bid bond, signed or countersigned by a Mississippi Resident Agent, with Power of Attorney attached or on file with the Contract Administration Engineer of the Department, a Cashier's check or Certified Check for five (5%) percent of bid, payable to STATE OF MISSISSIPPI, must accompany each proposal attention of bidders is directed to the provisions of Subsection 102.07 pertaining to irregular proposals and rejection of bids.

HARRY LEE JAMES
INTERIM EXECUTIVE DIRECTOR

(FAP)

# MISSISSIPPI STATE HIGHWAY DEPARTMENT

CODE: (IS)

SECTION 904 - NOTICE TO BIDDERS NO. 1

**DATE:** 7/26/90

**SUBJECT:** Governing Specifications

The current (1990) Edition of the Standard Specifications for Road and Bridge Construction adopted by the Mississippi State Highway Commission is made a part hereof fully and completely as if it were attached hereto, except where superseded by special provisions, or amended by revisions of the Specifications contained herein. Copies of the specification book may be purchased from the Construction Division.

A reference in any contract document to controlling requirements in another portion of the contract documents shall be understood to apply equally to any revision or amendment thereof included in the contract.

In the event the plans or proposal contain references to the 1976 Edition of the Standard Specifications for Road and Bridge Construction, it is to be understood that such references shall mean the comparable provisions of the 1990 Edition of the Standard Specifications.

# MISSISSIPPI STATE HIGHWAY DEPARTMENT

CODE: (IS)

SECTION 904 - NOTICE TO BIDDERS NO. 437

**DATE:** 6/6/91

SUBJECT: Status of Right-of-Way, Utility Adjustments and Potentially

**Contaminated Sites** 

Although it is desirable to have acquired all rights-of-way and completed all utility adjustments and work to be performed by others prior to receipt of bids, it is not considered to be in the public interest to wait until each and every such clearance has been obtained. The bidder is hereby advised of unacquired rights-of-way, relocatees and utilities which have not been completed.

The status of right-of-way and utility adjustments and potentially contaminated sites are set forth in attachments entitled "Status of Right-of-Way", "Status of Utility Adjustments" and "Status of Potentially Contaminated Sites."

In the event right of entry is not available to <u>ALL</u> parcels of right-of-way and all work complete that is to be accomplished by others on the date set forth in the contract for the Notice to Proceed, the Department will issue a restricted Notice to Proceed upon written request of the Contractor.

# STATUS OF RIGHT-OF-WAY

STP-0499-00(002) 103836/301000 DeSOTO COUNTY January 8, 2003

All rights of way and legal rights of entry have been previously acquired.

# STATUS OF POTENTIALLY CONTAMINATED SITES 103836/301000 STP-0499-00(002) DESOTO COUNTY December 26, 2002

THIS PROJECT IS FOR A TRAFFIC SIGNAL. NO RIGHT OF WAY WAS ACQUIRED, THEREFORE NO ENVIRONMENTAL INSPECTION IS REQUIRED.

# UTILITY STATUS REPORT STP-0499-00(002) / 103836 DESOTO COUNTY AUGUST 19, 2003

This is to certify that there are no known utility conflicts associated with the above referenced project.

# ASBESTOS CONTAMINATION STATUS OF BUILDINGS TO BE REMOVED BY THE CONTRACTOR

103836/301000 STP-0499-00(002) DESOTO COUNTY December 26, 2002

Reference is made to notices to bidders entitled "Asbestos National Emission Standards for Hazardous Air Pollutants (NESHAP)" and "Removal of Obstructions".

The following pertinent information is furnished concerning asbestos containing materials (ACMs), if any, found in buildings to be removed by the Contractor.

This project is for proposed construction of a Traffic Signal within existing right of way. There are no buildings in the contract to be removed.

SECTION 904 - NOTICE TO BIDDERS NO. 882 CODE: (IS)

**DATE:** 7/1/92

SUBJECT: AGENCY, COMMISSION AND OFFICER NAME CHANGES

Whenever the term "Mississippi State Highway Department", the word "Department", or variations thereof meaning the Mississippi State Highway Department appears in the plans, proposal, contract documents, and specifications for highway construction projects, in accordance with the laws of the State of Mississippi, it shall mean the "Mississippi Department of Transportation."

Whenever the term "Mississippi State Highway Commission", the word "Commission", or variations thereof meaning the Mississippi State Highway Commission appears in the plans, proposal, contract documents, and specifications for highway construction projects, in accordance with the laws of the State of Mississippi, it shall mean the "Mississippi Transportation Commission."

Whenever the term "Director", or variations thereof meaning the Chief Administrative Officer of the State Highway Department appears in the plans, proposal, contract documents, and specifications for highway construction projects, in accordance with the laws of the State of Mississippi, it shall mean the "Executive Director of the Mississippi Department of Transportation."

SECTION 904 - NOTICE TO BIDDERS NO. 1428 CODE: (IS)

**DATE:** 6/18/93

SUBJECT: ERRATA AND MODIFICATIONS TO 1990 STANDARD SPECIFICATIONS BOOK

<u>Page</u>	Subsection	<u>Change</u>
101-2	101.01	Change the abbreviation for Military Specifications from "MS" to "MIL".
101-2	101.01	After OD (Outside Diameter) add "OSHA" as the abbreviation for "Occupational Safety and Health Administration".
101-4	101.02	After the word "specifications," in the second line of the second paragraph under the definition for <b>Contract</b> add "supplemental specifications,".
101-5	101.02	In the definition for <b>County</b> delete the word "specified".
105-2	105.03	In the fourth line of the last paragraph change "releave" to "relieve".
105-10	105.16	In the second line of this page change "grass" to "plant establishment".
107-21	107.25	In the third line of the fourth paragraph change "until" to "unit".
108-9	108.06.2	In the last two lines of the sixth full paragraph delete "or when the number is greater than 1.0, the assessment is assigned as 1.0".
218-1	218.03.2	In the second line of third paragraph change "unformly" to "uniformly".
405-8	405.03.3.2.2	In the first line change "crack" to "cracks".
405-8	405.03.3.2.2	In the first line change "then" to "than".
405-9	405.03.3.3.1	In the last line of the second paragraph add "shall" after the word "aggregate".
405-9	405.03.3.3.1	In the third line of the third paragraph change "Contract" to "Contractor".
405-10	405.03.4	In the last line of the first paragraph change "enterlayer" to "innerlayer".

<u>Pag</u>	<u>te</u>	Subsection	<u>Change</u>
405	5-10	405.04	In the second line of the first paragraph change "ploymer" to "polymer".
405	5-10	405.04	In the last line of the third paragraph change reference "109.08" to "907-109.08".
408	S-1	408.04	In the first line change "Measurment" to "Measurement".
603	3-9	603.03.9.3	In the third line of the last paragraph of this subsection change "directd" to "directed".
603	3-10	603.05	In the description for pay item 603-C-F change "Sections" to "Section".
603	3-12	603.05	In the description for pay item 603-P-A delete the second "Box Culvert".
604	-1	604.02	Change subsection reference for gray iron castings from "716.03" to "716.04".
615	5-1	615.03.2	In the eighth line change "placement" to "replacing,".
618	3-2	618.03.1	In the sixth line of the fourth paragraph change "walkaways" to "walkways".
618	3-2	618.03.1	In the seventh line of the fourth paragraph change the second "a" to "at".
626	5-3	626.05	Remove 4" from in front of Thermoplastic in description of pay item 626-G.
628	3-2	628.05	Add "linear foot" as a unit of measurement for payment under pay item 628-E.
630	)-6	630.03.8.1	In the last line of the first paragraph change the references from "810.03.6 and 810.03.7" to "810.03.5 and 810.03.6", respectively.
630	)-6	630.03.8.1	In the last line of the second paragraph change "shown" to "specified".
630	)-7	630.03.8.2	In the second line of the first paragraph change reference "810.03.2" to "810.02.2".
699	0-2	699.04	In the fourth line of the first paragraph change "included" to "include".
702	2-7	702.12	In the title for TABLE III change "EA-I" to "EA-1".
711	-1	711.02.1	In the table for areas and weights of Standard Reinforcing Bars change the weight-lbs. per foot of number 5 bar from "1.048" to "1.043".

<u>Page</u>	Subsection	<u>Change</u>
712-1	712.04	In the third line of the second paragraph change "Class B" to "Class D".
712-1	712.04	In the fifth line of the second paragraph change "Class A" to "Class C".
712-8	712.12.5	In the third line change the ASTM designation from "A 120" to "F $1083$ ".
714-13	714.11.7	Change the Subsection No. "714-11.7.1" to "714.11.7.1".
715-7	715.09.3	In the fifth line from the bottom of the page change the lbs./sq.yd. minimum dry wt. requirement for straw from "0.70" to "0.5".
716-2	716.11	In the second line change the ASTM designation from "A 120" to "A 53".
717-8		Change the Section No. shown in the upper left corner of the page from "7171" to "717".
721-3	721.03.3	In lines 5 and 7 of this subsection add "B 221, B 241, or" in front of B 429.
803-10	803.03.9.6	Revise the definition of the letter "S" in the pile formulas to read: " $S$ = the average penetration in inches per blow for the last 5 to 10 blows for gravity hammers and the last 10 to 20 blows for steam/air hammers."
804-37	804.03.19.6.3	In the fourth line of the first paragraph change "otherwise" to "otherwise".
820-2	820.03.4.1	In the second line of this subsection change "with out" to "without".
820-2	820.03.4.3	In the second line of this subsection change "abraisions" to "abrasions".
1	Index	Change reference subsection for Advance on Materials from "109.02" to "109.06.2".
5	Index	Change reference subsection for Cofferdams and Cribs from " $810.03.4$ " to " $801.03.4$ ".
28	Index	Delete the listing "Working Day, Definition of 101.02" contained in the third line from the bottom of the page.

CODE: (IS)

SECTION 904 - NOTICE TO BIDDERS NO. 2904

**DATE:** 10/4/95

SUBJECT: USE OF FLY ASH OR BLENDED HYDRAULIC CEMENT

(TYPE IP) IN NON-SULFATE AREAS

All references to the term "Portland Cement Concrete" in the Mississippi Standard Specifications for Road and Bridge Construction, contract plans and documents, and the Department's Standard Operating Procedures shall be deemed to mean a concrete mixture composed of cementitious materials, water, fine and coarse aggregates, and admixtures when specified or permitted.

At the Contractor's option, the cementitious materials may be portland cement (Type I or II), portland cement (Type III when permitted), blended hydraulic cement (Type IP), portland cement combined with ground granulated blast furnace slag or portland cement combined with fly ash.

The addition of fly ash as a replacement for cement will not be permitted in blended hydraulic cement (Type IP), portland cement combined with ground granulated blast furnace slag or portland cement (Type III) when specified in the contract.

The replacement of portland cement with fly ash shall not exceed 20 percent and the replacement rate (by weight) shall be one part fly ash for one part cement. The scales for weighing fly ash shall meet the requirements of cement scales as set forth in Subsection 501.03.2.3.

When blended hydraulic cement (Type IP) is incorporated in the work or when portland cement is replaced with ground granulated blast furnace slag or fly ash, cylinder tests shall be used as a guide for the removal of falsework and forms as set forth in Column B of Subsections 601.03.6.3 and 804.03.15; and concrete pavement shall not be opened to traffic until cylinder tests have attained a compressive strength of 3500 psi or may be opened after a curing period of 28 days.

In addition to meeting the requirements set forth in Subsection 714.05, the source of fly ash must have been approved for listing in the Department's "List of Approved Sources of Fly Ash for Concrete Mixtures in Non-Sulfate Areas" prior to its use.

SECTION 904 - NOTICE TO BIDDERS NO. 2906 CODE: (IS)

**DATE:** 10/4/95

**SUBJECT:** Use of Ground Granulated Blast Furnace Slag (GGBFS)

Subject to the conditions set forth herein and at the Contractor's option, GGBFS may be used as a replacement for portland cement as the cementitious material in concrete mixtures and in cement-soil or cement-soil-aggregate mixtures. The replacement of portland cement with GGBFS shall not exceed 50 percent by weight of the total cementitious material and the replacement rate (by weight) shall be one part GGBFS for one part portland cement. Only one mineral admixture will be allowed for portland cement replacement in any mixture.

The addition of GGBFS as a replacement for portland cement will not be permitted in blended hydraulic cement, portland cement combined with fly ash or portland cement (Type III), when specified in the contract.

In addition to meeting the requirements set forth in Subsection 907-714.06, the source of GGBFS must have been approved for listing in the Department's "List of Approved Suppliers of Grade 120 - Ground Granulated Blast-Furnace Slag".

Concrete mixtures containing portland cement combined with GGBFS shall not be mixed or used alternately with concrete mixtures containing other cementitious materials in any structure or part thereof that, in its permanent position, will be visible above the ground.

When GGBFS is proposed to be used in concrete mixtures, the Contractor shall furnish the concrete mixture design with documentation of performance characteristics (based on trial batching or plant produced mixtures) to the Engineer for review prior to use. The approval of the mixture design will be based on verification of performance at the beginning of production.

When GGBFS is used for replacement of portland cement in concrete mixtures, cylinder tests shall be used as a guide for the removal of falsework and forms as set forth in Column B of Subsections 601.03.6.3 and 804.03.15 and concrete pavement shall not be opened to traffic until cylinder tests have attained a compressive strength of 3500 psi or may be opened after a curing period of 28 days.

Scales for weighing GGBFS shall meet the requirements of cement scales as set forth in Subsection 501.03.2.3.

CODE: (IS)

#### SECTION 904 - NOTICE TO BIDDERS NO. 3191

**DATE:** 4/08/96

**SUBJECT:** Selection of Optional Items

Bidders are hereby advised that, because of a change by the Department in classifying "Optional" items, the bid schedule for this project lists as "Optional" items that formally have been listed as "Alternate".

The summary of quantities sheet(s) in construction plans printed prior to the effective date of this change may list as "Alternate" items that are listed as "Optional" in the bid proposal.

When this contradiction occurs, the listing in the bid schedule is correct and bidders are to disregard the contradicting listing on the summary of quantities sheet(s) in the construction plans.

Bidders should pay close attention to the items classified in the bid schedule as "Optional" items.

With the change by the Department in classifying optional items, a change will be required of the Contractor in the selection of optional items. (Refer to 907-101-2, 907-102-4 and Section 905)

WHEN THE BID SCHEDULE LISTS OPTIONAL ITEMS, THE CONTRACTOR'S SELECTION MAY, BUT IS NOT REQUIRED TO, BE MADE AT THE TIME OF BIDDING. FOR OPTIONAL ITEMS NOT PRE-SELECTED, THE CONTRACTOR'S SELECTION SHALL BE MADE PRIOR TO OR AT THE TIME OF EXECUTION OF THE CONTRACT.

SECTION 904 - NOTICE TO BIDDERS NO. 3745

CODE: (IS)

**DATE:** 3/31/97

**SUBJECT:** Plant Pest Quarantines Information

AT THE REQUEST OF THE U. S. DEPARTMENT OF AGRICULTURE, PLANT PEST CONTROL INFORMATION CONCERNING DOMESTIC QUARANTINES IS CITED AS FOLLOWS:

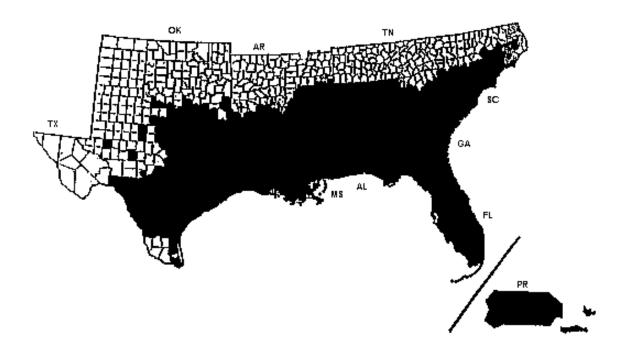
The entire state of Mississippi has been quarantined for the Imported Fire Ants. Soil and soil-moving equipment operating in the state will be subject to plant quarantine regulations. In general, these regulations provide for cleaning soil from equipment before it is moved from the state. Complete information may be secured from the State of Mississippi Department of Agriculture and Commerce, Bureau of Plant Industry, P. O. Box 5207, Mississippi State, Mississippi 39762-5207 -- Telephone 325-3390.

# **IMPORTED FIRE ANT QUARANTINES**

THE FOLLOWING REGULATED ARTICLES REQUIRE A CERTIFICATE OR PERMIT FOR MOVEMENT:

- 1. Soil, separately or with other things, except soil samples shipped to approved laboratories\*. Potting soil is exempt, if commercially prepared, packaged and shipped in original containers.
- 2. Plants with roots with soil attached, except houseplants maintained indoors and not for sale.
- 3. Grass sod.
- 4. Baled hay and straw that have been stored in contact with the soil.
- 5. Used soil-moving equipment.
- 6. Any other products, articles, or means of conveyance of any character whatsoever not covered by the above, when it is determined by an inspector that they present a hazard of spread of the imported fire ant and the person in possession thereof has been so notified.
- \* Information as to designated laboratories, facilities, gins, oil mills, and processing plants may be obtained from an inspector.

# **Imported Fire Ant Quarantines**



# Conditions of Movement.

Counties entirely colored are completely regulated; Counties partially colored are partially regulated.

# Regulated Area.

Restrictions are imposed on the movement of regulated articles as follows: From colored areas into or through white areas.

Consult your State or Federal plant protection inspector or your County Agent for assistance regarding exact areas under regulation and requirements for moving regulated articles. For detailed information, see 7 CFR 301.81 for quarantine and regulations.

SECTION 904 - NOTICE TO BIDDERS NO. 4670

CODE: (IS)

**DATE:** 9/30/99

**SUBJECT: Prompt Payment** 

Bidders are hereby advised that the Prime Contractor must pay their subcontractor(s) for satisfactory performance of their contracts no later than a specific number of days from receipt of payment from the Department.

Therefore, Prime Contractors are hereby advised of the following:

- (a) Within 15 calendar days after receiving payment from the Department for work satisfactorily performed, the Prime Contractor shall make prompt payment to all sub-contractors or material suppliers for all monies due.
- (b) Within 15 calendar days after receiving payment from the Department for work satisfactorily completed, the Prime Contractor shall promptly return all retainage monies due to all sub-contractors or material suppliers.

CODE: (IS)

SECTION 904 - NOTICE TO BIDDERS NO. 4758

**DATE:** 6/11/99

**SUBJECT:** Alterations In Bidding Process

Bidders are hereby advised that they may either use the traditional method of entering their bid information by hand on Section 905--Proposal, or may insert printed information obtained from the available Electronic Bid System (EBS).

It is the responsibility of every bidder to check for any addendum or modification to the contract document(s) for which they intend to submit a response. It shall be the bidder's responsibility to be sure they are in receipt of all addenda, pre-bid conference information, and/or questions and answers provided at, or subsequent to, the pre-bid conference, if any are issued.

The Mississippi Transportation Commission assumes no responsibility for defects, irregularities or other problems caused by the use of electronic media. Operation of this electronic media is done at the sole risk of the user.

SECTION 904 - NOTICE TO BIDDERS NO. 4954 CODE: (IS)

**DATE:** 4/4/2000

SUBJECT: ON-THE-JOB TRAINING PROGRAM

Bidders are hereby advised that the Department's policy for administering On-The-Job Training has been changed. Affective in the March 2000 letting, payment for training hours will be handled as outlined in Special Provision 906-4. A pay item for trainees will no longer be included in individual construction projects. Payment for training individuals will be processed in accordance with the conditions in MDOT's ON-THE-JOB TRAINING PROGRAM (Special Provision 906-4).

On Federal-Aid projects, failure on the part of the Contractor to carryout the terms of the Alternate Training Special Provision (Special Provision 906-4) will be considered grounds to preclude the Contractor from participating in the Alternate On-The-Job Training Program. In the event the Department is required to preclude the Contractor from participating in the program, the Contractor will be required to adhere to the requirements of the Training Special Provision (Special Provision 906-3), for which purpose the special provision is also made a part of this proposal.

SECTION 904 - NOTICE TO BIDDERS NO. 5185 CODE: (IS)

**DATE:** 9/29/2000

**SUBJECT:** Change Order / Quantity Adjustment Name Change

Whenever the term "Change Order" appears in the plans, proposal, contract documents, and specifications for highway construction projects, it shall mean "Quantity Adjustment."

#### SUPPLEMENT TO NOTICE TO BIDDERS NO. 5955

**DATE: 10/10/2002** 

Delete subparagraph (3) on page 5 under the heading AWARD, and substitute the following:

(3) Bidder must submit <u>with the bid proposal</u> a list of all firms that submitted quotes for material supplies or items to be subcontracted. This information must be submitted on form OCR-485 in the back of the contract proposal.

Delete the first sentence of subparagraph (2) on page 6 under the heading <u>DBE REPORTS</u>, and substitute the following:

At the conclusion of the project the Contractor will submit to the Project Engineer for verification of quantities and further handling Form OCR-482 whereby the Contractor certifies to the amounts of payments made to each Contractor/Supplier.

Delete subparagraph (5) on page 6 under the heading <u>DBE REPORTS</u>, and substitute the following:

- (5) OCR-485: The bidder must submit <u>with the bid proposal</u> a list of all firms that submitted quotes for material supplies or items to be subcontracted.
- (6) OCR-487: Only used by Prime Contractors that are certified DBE firms. This form is used in determining the exact percentage of DBE credit for the specified project. It should be returned to MDOT with the OCR-481 form, or can also be returned with the Permission to Subcontract forms (CAD -720 or CAD-725).

#### SUPPLEMENT TO NOTICE TO BIDDERS NO. 5955

**DATE: 12/10/2001** 

The goal is <u>0</u> percent for the Disadvantaged Business Enterprise.

The bidder's execution of the signature portion of the proposal shall constitute execution of the following assurance:

The bidder hereby gives assurance pursuant to the applicable requirements of "Transportation Equity Act for the 21<sup>st</sup> Century -- TEA-21" and "Part 26, Title 49, Code of Federal Regulation" that the bidder has made a good faith effort to meet the contract goal for DBE participation for which this proposal is submitted.

A pre-bid meeting will be held in the first floor auditorium of the Mississippi Department of Transportation Administration Building, 405 North West Street, Jackson, Mississippi at 2:00 P.M. on the day preceding the date of the bid opening.

This meeting is to inform DBE firms of subcontracting and material supply opportunities. <u>Attendance at this meeting is considered of prime importance in demonstrating good faith effort to meet the contract goal.</u>

SECTION 904 - NOTICE TO BIDDERS NO. 5955

CODE: (IS)

**DATE:** 12/10/2001

SUBJECT: DISADVANTAGED BUSINESS ENTERPRISES IN FEDERAL-AID

**HIGHWAY CONSTRUCTION** 

This contract is subject to the "Transportation Equity act for the 21st Century -- TEA-21" and applicable requirements of "Part 26, Title 49, Code of Federal Regulations." Portions of the Act are set forth in this Notice as applicable to compliance by the Contractor and all of the Act, and the MDOT DBE Program, is incorporated by reference herein.

The Department has developed a Disadvantaged Business Enterprise Program that is applicable to this contract and is made a part thereof by reference.

Copies of the program may be obtained from:

Office of Civil Rights Mississippi Department of Transportation P. O. Box 1850 Jackson, Mississippi 39215-1850

## **POLICY**

It is the policy of the Mississippi Department of Transportation to provide a level playing field, to foster equal opportunity in all federally assisted contracts, to improve the flexibility of the DBE Program, to reduce the burdens on small businesses, and to achieve that amount of participation that would be obtained in a non-discriminatory market place. In doing so, it is the policy of MDOT that there will be no discrimination in the award and performance of federally assisted contracts on the basis of race, color, sex, age, religion, national origin, or any handicap.

#### ASSURANCES THAT CONTRACTORS MUST TAKE:

MDOT will require that each contract which MDOT signs with a subrecipient or a contractor (and each subcontract the prime contractor signs with a subcontractor) includes the following assurances:

"The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as MDOT deems appropriate."

## **DEFINITIONS**

For purposes of this provision the following definitions will apply:

"Disadvantaged Business" means a small business concern: (a) which is at least 51 percent owned by one or more socially and economically disadvantaged individual(s) or in the case of

any publicly owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individual(s); and (b) whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individual(s) who own it. It is important to note that the business owners themselves must control the operations of the business. Absentee ownership or title ownership by an individual who does not take an active role in controlling the business is not consistent with eligibility as a DBE under CFR 49 Part 26.71.

#### **CONTRACTOR'S OBLIGATION**

The Contractor and all subcontractors shall take all necessary and reasonable steps to ensure that DBE firms can compete for and participate in the performance of a portion of the work in this contract and shall not discriminate on the basis of race, color, national origin, religion or sex. Failure on the part of the Contractor to carry out the DBE requirements of this contract constitutes a breach of contract and after proper notification the Department may terminate the contract or take other appropriate action as determined by the Department.

When a contract requires a zero percent (0%) DBE goal, the Contractor still has the responsibility to take all necessary and reasonable steps to ensure that DBE firms can compete for and participate in the performance of the work in the contract. All work performed by a certified DBE firm is considered to be a "race neutral" measure and the Department will receive DBE credit towards the overall State goals when the DBE firm is paid for their work. If the Prime Contractor is a certified DBE firm, the Department can receive DBE credit only for the work performed by the Prime Contractor's work force or any work subcontracted to another DBE firm. Work performance by a non-DBE subcontractor is not eligible for DBE credit. DBE credit is received when the DBE firm is paid.

# **CONTRACT GOAL**

The goal for participation by DBEs is established for this contract in the attached Supplement. The Contractor shall exercise all necessary and reasonable steps to ensure that participation is equal to or exceeds the contract goal.

The percentage of the contract that is proposed for DBEs shall be so stated on the last bid sheet of the proposal.

The apparent lowest responsive bidder shall submit to the Contract Administration Division OCR Form 481, signed by the Prime Contractor and the DBE Subcontractors, no later than the 10th day after opening of the bids.

### FORMS ARE AVAILABLE FROM THE CONTRACT ADMINISTRATION DIVISION

The OCR-481 Form must contain the following information:

The name and address of each certified DBE Contractor / supplier;

The Reference Number, percent of work and the dollar amount of each item. If a portion of an item is subcontracted, a breakdown of that item (including quantities and unit price) must be attached, detailing what part of the item the DBE firm is to perform and who will perform the remainder of the item.

If the DBE Commitment shown on the last bid sheet of the proposal, does not equal or exceed the contract goal, the bidder must submit, with the proposal, information to satisfy the Department that adequate good faith efforts have been made to meet the contract goal.

Failure of the lowest bidder to furnish acceptable proof of good faith efforts, submitted with the bid proposal, shall be just cause for rejection of the proposal. Award may then be made to the next lowest responsive bidder or the work may be readvertised.

The following factors are illustrative of matters the Department will consider in judging whether or not the bidder has made adequate good faith effort to satisfy the contract goal.

- (1) Whether the bidder attended the pre-bid meeting that was scheduled by the Department to inform DBEs of subcontracting opportunities;
- (2) whether the bidder advertised in general circulation, trade association, and minority-focus media concerning the subcontracting opportunities;
- (3) whether the bidder provided written notice to a reasonable number of specific DBEs that their interest in the contract is being solicited;
- (4) whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested;
- (5) whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goal;
- (6) whether the bidder provided interested DBEs with adequate information about the plans, specifications and requirements of the contract;
- (7) whether the bidder negotiated in good faith with interested DBEs and did not reject them as unqualified without sound reasons based on a thorough investigation of their capabilities; and
- (8) whether the bidder made efforts to assist interested DBEs in obtaining any required bonding or insurance.

#### **DIRECTORY**

Included with this Bid Proposal is a list of "Certified DBE Contractors" which have been certified as such by the Mississippi Department of Transportation.

To count toward meeting the goal, the DBE firm must be on the Department's list of "Certified DBE Contractors" that is attached to this proposal. DBE credit is received only when the DBE firm has been paid for the work they performed on the project.

#### <u>REPLACEMENT</u>

If a DBE Subcontractor cannot perform satisfactorily, and this causes the OCR-481 commitment to fall below the contract goal, the Contractor shall take all necessary reasonable steps to replace the DBE with another certified DBE Subcontractor or submit information to satisfy the Mississippi Department of Transportation that adequate good faith efforts have been made to replace the DBE. All DBE replacements must be approved by the Department.

Under no circumstances shall the <u>prime</u> or any <u>subcontractor</u> perform the DBE's work (as shown on the OCR-481) without prior written approval from the Department. See "Sanctions" on Page 6 for penalties for performing DBE's work.

When a Contractor proposes to substitute/replace/terminate a DBE that was originally named on the OCR-481, the Contractor must obtain a release (in writing) from the named DBE explaining why the DBE Subcontractor cannot perform the work. A copy of the original the release must be attached to Contractor's written request substitute/replace/terminate along with appropriate Subcontract Forms for substitute/replacement/terminated Subcontractor, all of which must be submitted to the DBE Coordinator and approved, in advance, by MDOT.

## GOOD FAITH EFFORTS

To demonstrate good faith efforts to replace any DBE that is unable to perform successfully, the Contractor must document steps taken to subcontract with another certified DBE Contractor. Such documentation shall include no less than the following:

- (a) Proof of written notification to certified DBE Contractors <u>by certified mail</u> that their interest is solicited in subcontracting the work defaulted by the previous DBE or in subcontracting other items of work in the contract.
- (b) Efforts to negotiate with certified DBE Contractors for specific items shall include as a minimum:
  - (1) The name, address, and telephone number of each DBE contacted;
  - (2) A description of the information provided about the plans and specifications for those portions of the work to be subcontracted; and
  - (3) A statement of why agreements were not reached.
- (c) For each DBE contacted that was rejected as unqualified, the reasons for such conclusion.
- (d) Efforts made to assist each DBE that needed assistance in obtaining bonding or insurance required by the Contractor.

Failure of the Contractor to demonstrate good faith efforts to replace a DBE Subcontractor that cannot perform as intended with another DBE Subcontractor, when required, shall be a breach of contract and may be just cause to be disqualified from further bidding for a period of up to 12 months after notification by certified mail.

# PARTICIPATION / DBE CREDIT

Participation shall be counted toward meeting the goal in this contract as follows:

- (1) If the Prime Contractor is a certified DBE firm, only the value of the work actually performed by the DBE Prime can be counted towards the project goal, along with any work subcontracted to a certified DBE firm.
- (2) If the Contractor is not a DBE, the work subcontracted to a certified DBE Contractor will be counted toward the goal.

- (3) The Contractor may count toward the goal a portion of the total dollar value of a contract with a joint venture eligible under the standards of this provision equal to the percentage of the DBE partner in the joint venture. The joint venturer must submit a Joint Venture Eligibility Form provided by the Mississippi Department of Transportation.
- (4) Expenditures to DBEs that perform a commercially useful function may be counted toward the goal. A business is considered to perform a commercially useful function when it is responsible for the execution of a distinct element of the work and carries out its responsibilities by actually performing, managing, and supervising the work involved.
- (5) The Contractor may count 100% of the expenditures for materials and supplies obtained from certified DBE suppliers and manufacturers that produce goods from raw materials or substantially alters them for resale provided the suppliers and manufacturers assume the actual and contractual responsibility for the provision of the materials and supplies. The Contractor may count 60 percent of the expenditures to suppliers that are not manufacturers, provided the supplier performs a commercially useful function in the supply process. Within 30 days after receipt of the materials, the Contractor shall furnish to the DBE Coordinator invoices from the certified supplier to verify the DBE goal.
- (6) Any work that a certified DBE firm subcontracts or sub-subcontracts to a non-DBE firm will not count towards the DBE goal.
- (7) Only the dollars <u>actually paid</u> to the DBE firm may be counted towards the DBE goal.

#### <u>AWARD</u>

Award of this contract to the low bidder will be contingent upon the following conditions:

- (1) Concurrence from Federal Highway Administration, when applicable.
- (2) Bidder must submit to the Contract Administration Division for approval, Form OCR-481 (DBE Commitment) no later than the 10th day after opening of the bids, or submit information with the bid proposal to satisfy the Department and that adequate good faith efforts have been made to meet the contract goal.
- (3) Bidder must submit to Contract Administration Division a list of all firms that submitted quotes for material supplies or items to be subcontracted no later than 10 days after opening of the bids. This information must be submitted on form OCR-485.

Prior to the start of any work, the bidder must notify the Project Engineer, in writing, of the name of the designated "DBE Liaison Officer" for this project. This notification must be posted on the bulletin board at the project site.

### **DEFAULT**

In the event the Contractor defaults on this project and the Surety Company is called upon to complete the contract, the DBEs named on the original OCR-481 Forms must be given the opportunity to perform the work subcontracted to them by the original contractor unless the DBE requests, in writing, to be released. The DBE commitment percentage entered on the last bid sheet of the proposal shall remain in force as a provision of the contract, but only the contract goal established by MDOT in this proposal must be met or exceeded to fulfill the

terms of the contract. The Contractor may list DBE Subcontractors and items that exceed MDOT's contract goal, but should unforeseen problems arise that would prevent a DBE from completing its total commitment percentage, the Contractor <u>will</u> meet the terms of the contract as long as it <u>meets</u> or <u>exceeds MDOT's Contract Goal</u>. For additional information, refer to "Replacement" section of this Notice.

## **DBE REPORTS**

- (1) OCR-481: Refer to "CONTRACT GOAL" section on page no. 2 of this Notice to Bidders for information regarding this form.
- (2) OCR-482: At the conclusion of the project the Contractor will submit to the Project Engineer for verification of quantities and further handling Form OCR-482 whereby the Contractor certifies to the amounts of payments made to each DBE Contractor/Supplier to satisfy the contract goal. The Project Engineer shall submit the completed Form OCR-482 to the DBE Coordinator (Office of Civil Rights). Final acceptance of the project is dependent upon Contract Administration Division's receipt of completed Form OCR-482 which they will receive from the Office of Civil Rights.
- (3) OCR-483: The Project Engineer/Inspector will complete Form OCR-483, the Commercially Useful Function (CUF) Performance Report, in accordance with MDOT S.O.P. No. OCR-03-09-01-483. Evaluations reported on this form are used to determine whether or not the DBE firm is performing a CUF. The Prime Contractor should take corrective action when the report contains any negative evaluations. DBE credit may be disallowed and/or other sanctions imposed if it is determined the DBE firm is not performing a CUF. This form should also be completed and returned to the DBE Coordinator (Office of Civil Rights).
- (4) OCR-484: Each month, the Contractor will submit to the Project Engineer OCR-484 certifying payments to all subcontractors.
- (5) OCR-485: The Contractor (apparent low bidder) will submit to Contract Administration Division within 10 days from the opening of the bids, a list of all firms that submitted quotes for material supplies or items to be subcontracted.

#### SANCTIONS

The Department has the option to enforce any of the following penalties for failure of the prime Contractor to fulfill the DBE goal as stated on the OCR-481 Form or any violations of the DBE program guidelines:

- (1) Disallow credit towards the DBE goal
- (2) Withhold progress estimate payments
- (3) Deduct from the final estimate an amount equal to the unmet portion of the DBE goal
- (4) Recover an amount equal to the unmet contract goal
- (5) Debar the Contractor involved from bidding on Mississippi Department of Transportation projects.

(6) Deduct from the Contractor's final estimate all or any combination of the following.

# Percentage of the monetary amount disallowed

	annount arguing wea	
Offense	from (1) above	Lump Sum
# 1	10%	\$ 5,000 or both
# 2	20%	\$10,000 or both
# 3	40%	\$20,000 & debarment

SECTION 904 - NOTICE TO BIDDERS NO. 5957 CODE: (IS)

**DATE:** 12/10/2001

**SUBJECT: DBE Goals** 

Bidders are hereby advised that the participation of a DBE Firm can not be counted towards the Prime Contractor's DBE goal until the amount being counted towards the goal has been paid to the DBE.

Form OCR-484 has been developed to comply with this requirement. Prime Contractors will submit this form to the Project Engineer no later than the 20<sup>th</sup> of each month. This form should be submitted monthly showing all firms even if the Contractor has paid no monies to the firm during that estimate period (negative report). The Project Engineer will attach this form to the monthly estimate before forwarding the estimate to the Contract Administration Division for processing.

# SECTION 904 - NOTICE TO BIDDERS NO. 6027

CODE: (IS)

**DATE:** 02/27/2002

**SUBJECT:** Work In Proximity Of High Voltage Power Lines

Bidders are hereby advised of Section 45-15-1, et seq., Mississippi Code of 1972, regarding the performance of work in the proximity of high voltage overhead power lines. It is the Contractor's responsibility to comply with those statutory requirements.

SECTION 904 - NOTICE TO BIDDERS NO. 6143 CODE: (IS)

**DATE:** 05/22/2002

**SUBJECT:** Payments to Subcontractors

Bidders are hereby advised that each month, the Contractor will submit to the Project Engineer form OCR-484 certifying payments to all subcontractors. Form OCR-484 can be obtained from the Office of Civil Rights Division, MDOT Administration Building, 401 North West Street, Jackson, MS, or at the MDOT website under the *Business Section*, *Construction Contracts and Bidding, Disadvantaged Business Enterprise (DBE), Applications and Forms for the DBE Program*.

CODE: (IS)

SECTION 904 - NOTICE TO BIDDERS NO. 6159

**DATE:** 06/07/2002

**SUBJECT:** Contract Overpayment(s)

Bidders are hereby advised that by the execution of the contract for this project, the Contractor agrees that it has the duty to and will immediately reimburse the Mississippi Transportation Commission, without any demand therefore, for any overpayment(s) of which it has knowledge, or through due diligence, should have knowledge.

By the execution of the contract for this project, the Contractor also agrees that if the Mississippi Transportation Commission has made any overpayment(s) to the Contractor on any previously executed contract(s), the Mississippi Transportation Commission may notify the Contractor in writing of the nature and the amount of the overpayment(s). If the Contractor fails to remit the overpayment(s) to the Mississippi Transportation Commission within sixty (60) calendar days from the date of such notice, interest shall accrue from the date of such notification until payment is made in full at the rate of one percent (1%) per month until fully paid.

By the execution of the contract for this project, the Contractor also agrees that the Mississippi Transportation Commission may offset and withhold a sum equal to any overpayment(s) on any previously executed contract(s), plus interest, where applicable, against any sums due the Contractor under the terms of this contract or any other active contract(s).

By the execution of the contract for this project, the Contractor also agrees that if any overpayment(s) are made by the Mississippi Transportation Commission to the Contractor under the terms of this contract the Mississippi Transportation Commission shall have the right to offset and withhold that amount, plus interest, where applicable, from any sums which the Mississippi Transportation Commission might owe the Contractor on any other active contract(s) or any contract(s) executed subsequent to the execution of this contract.

SECTION 904 - NOTICE TO BIDDERS NO. 6275 CODE: (IS)

**DATE:** 09/17/2002

**SUBJECT:** Federal Bridge Formula

Bidders are hereby advised that Federal Highway Administration Publication No. FHWA-MC-94-007, **BRIDGE FORMULA WEIGHTS**, dated January 1994, is made a part of this contract when applicable.

Prior to the preconstruction conference, the Contractor shall advise the Engineer, in writing, what materials, if any, will be delivered to the jobsite via Interstate route(s).

Copies of the **BRIDGE FORMULA WEIGHTS** publication may be obtained by contacting:

Federal Highway Administration 400 7<sup>th</sup> Street, SW Washington, DC 20590 (202) 366-2212

or

http://ops.fhwa.dot.gov/freight/regulate/sw/

CODE: (IS)

SECTION 904 - NOTICE TO BIDDERS NO. 6289

**DATE:** 09/26/2002

**SUBJECT:** Certification of Traffic Control Devices

# **Category 1 Traffic Control Devices**

Category 1 traffic control devices are defined as low-mass, single-piece traffic cones, tubular markers, single-piece drums, and delineators.

The Contractor shall certify to the Project Engineer by a letter ONLY stating that the Category 1 traffic control devices, furnished and used, either meet the requirements of NCHRP Report 350 or were purchased prior to October 1, 1998.

All documentation supporting the certification is to be kept on file by the Contractor subject to review by the Department at any time. Support documentation shall be kept on file for two years after the completion of the project.

The Contractor may self-certify Category 1 Traffic Control Devices. In order to make the self-certification, the Contractor shall have as a minimum the following support documentation regarding the certification.

- 1. A title, e.g., "Certification of Crashworthiness";
- 2. Name and address of vendor making the certification;
- 3. Unique identification of the certificate (such as serial number) with numbered pages and the total number of pages;
- 4. Description and unambiguous identification of the item tested;
- 5. Identification of the basis for self-certification process used and to what test level of NCHRP Report 350. This basis as crash test experience with similar devices or years of demonstrably safe operational performance;
- 6. A signature and title, or an equivalent identification of the person(s) accepting responsibility for the content of the certification, however produced, and the date of issue:
- 7. A statement that the certification shall not be reproduced except in full.

All documentation supporting the self-certification is to be kept on file by the Contractor subject to review by the Department at any time. Support documentation shall be kept on file for two years after the completion of the project.

The Contractor's letter to the Project Engineer shall state that all Category 1 traffic control devices, furnished and used, were purchased after October 1, 1998 and met the requirements of NCHRP Report 350, or that the Category 1 traffic control devices, furnished and used, were purchased prior to October 1, 1998.

# **Category 2 Traffic Control Devices**

Category 2 traffic control devices are defined as barricades, intrusion detectors, vertical panel assemblies, portable sign supports, drums with warning lights, and cones with warning lights.

The Contractor shall certify to the Project Engineer by a letter ONLY stating that the Category 2 traffic control devices, furnished and used, either meet the requirements of NCHRP Report 350 or were purchased prior to October 1, 2000. The Contractor's letter shall state that all Category 2 traffic control devices, furnished and used, were purchased after October 1, 2000 and met the requirements of NCHRP Report 350, or that the Category 2 traffic control devices, furnished and used, were purchased prior to October 1, 2000.

## **Category 3 Traffic Control Devices**

Category 3 Traffic Control Devices are items similar to Category 2 but weigh more than 100 pounds. Category 3 Traffic Control Devices include concrete barrier, truck mounted attenuators (TMAs), workzone crash cushions, and fixed sign supports.

Concrete barrier and fixed sign supports, furnished and used, and purchased after October 1, 2002 must meet the requirements of Report 350.

The Contractor shall furnish a letter ONLY certifying that all concrete barrier and fixed sign supports purchased after October 1, 2002 meets the requirements of NCHRP Report 350. Concrete barrier and fixed sign supports purchased prior to October 1, 2002 may be used without written certification until they complete their normal service life.

Work zone crash cushions and truck mounted attenuators (TMAs), furnished and used, and purchased after October 1, 1998 must meet the requirements of Report 350.

The Contractor shall furnish a letter certifying that all work zone crash cushions and TMAs purchased after October 1, 1998 meets the requirements of NCHRP Report 350. Work zone crash cushions and TMAs purchased prior to October 1, 1998 may be used without written certification until they complete their normal service life.

## Contractor's Letter to the Project Engineer

The following is an example of a letter to the Project Engineer.

"I, (<u>Contractor's name</u>), certify that the Category 1 traffic control devices used on this project and purchased after October 1, 1998 meet the requirements of NCHRP Report 350 and all Category 1 traffic control devices used on this project not meeting NCHRP Report 350 were purchased prior to October 1, 1998.

I also certify that the Category 2 traffic control devices used on this project and purchased after October 1, 2000 meet the requirements on NCHRP Report 350 and all Category 2 traffic control devices used on this project not meeting NCHRP Report 350 were purchased prior to October 1, 2000.

I also certify that except for concrete median barrier, all of the Category 3 traffic control devices crash cushions and truck mounted attenuators used on this project and purchased after October 1, 1998 meet the requirements on NCHRP Report 350 and all Category 3 crash cushions and truck mounted attenuators used on this project not meeting NCHRP Report 350 were purchased prior to October 1, 1998."

SECTION 904 - NOTICE TO BIDDERS NO. 6333 CODE: (SP)

**DATE:** 10/23/2002

**SUBJECT:** Submission of Form OCR-485

Bidders are hereby advised that prior to the November 2002 letting, Form OCR-485 was completed by the apparent low bidder and submitted 10 days after opening of the bids. Beginning with the November 2002 letting, Form OCR-485 will be completed by <u>ALL BIDDERS</u> submitting a bid proposal and <u>must be included in the bid proposal package</u>. Failure to include Form OCR-485 in the bid proposal package will cause the Contractor's bid to be considered <u>irregular</u>.

SECTION 904- NOTICE TO BIDDERS NO. 6522 CODE: (SP)

**DATE:** 05/12/2003

**SUBJECT:** Removal of Construction Signs

Bidders are hereby advised that upon receipt of the Final or Partial Maintenance Release, as documented in writing by the State Construction Engineer, the Contractor shall have fifteen (15) calendar days in which to remove all construction signs on the project. It is agreed that if the signs are not removed within the fifteen (15) calendar days the signs shall be considered abandoned and shall become the property of the Mississippi Transportation Commission which may remove, use, and/or dispose of such signs as it sees fit.

The Contractor shall place and maintain appropriate construction signs for any additional work on the project after the Maintenance Release has been issued. These construction signs will not be measured for separate payment. Payment for these signs shall be included in Pay Item No. 618-A, Maintenance of Traffic.

SECTION 904 - NOTICE TO BIDDERS NO. 6703 CODE: (SP)

**DATE:** 12/16/2003

SUBJECT: CONTRACT TIME

PROJECT: STP-0499-00(002) / 103836 – DESOTO COUNTY

The completion of work to be performed by the Contractor for this project will not be a specified Date but shall be when all allowable time units are assessed, or any extension thereto as provided in Subsection 907-108.06. It is anticipated that the Notice to Proceed will be issued by not later than March 1, 2004 and the date for Beginning of Contract Time will be March 11, 2004.

Allowable Time Units will be 149.

The Contract Time has been based on Column "C" of the Table of Time Units, in Subsection 907- 108.06.

# SECTION 904 -NOTICE TO BIDDERS NO. 6724

DATE: 12/09/2003

SUBJECT: Cooperation Between Contractors

PROJECT: STP-0499-00(002) / 103836 – DeSoto County

The Bidder's attention is hereby called to Subsection 105.07, Cooperation between Contractors, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction.

Work on certain sections of this project is within the construction limits of another project the MDOT let to contract in November 2003.

The Contractor shall cooperate in all respects and shall coordinate construction of all phases of the work with the Contractors of the anticipated project.

SECTION 904 - NOTICE TO BIDDERS NO. 6783

CODE: (IS)

**DATE:** 10/28/2003

**SUBJECT:** Fuel Tax Applicability to Bidders and Contractors

Bidders are hereby advised that the "Mississippi Special Fuel Tax Law", Section 27-55-501, et seq. and it's requirements and penalties apply to any contract for construction, reconstruction, maintenance or repairs, for contracts entered into with the State of Mississippi, any political subdivision of the State of Mississippi, or any Department, Agency, Institute of the State of Mississippi or any political subdivision thereof.

The Mississippi State Tax Commission will be notified of the name and address of the Contractor that is awarded this contract. The Contractor will be subject to an audit during the life of this contract to make certain that all applicable fuel taxes are being paid promply as outlined in Section 27-55-501, et seq.

In addition to any audits performed by the Mississippi State Tax Commission, the Department also reserves the right to audit the Contractor's records during the life of this contract to make certain that all applicable fuel taxes are being paid promply as outlined in Section 27-55-501, et seq.

CODE: (SP)

SECTION 904 - NOTICE TO BIDDERS NO. 6794

**DATE:** 11/12/2003

**SUBJECT: Estimated Monthly Quantities** 

Bidders are hereby advised that each month the Contractor works, the Engineer furnishes the Contractor with a monthly progress estimate showing the total estimated quantities for each pay item in the contract. The Contractor should review the Engineer's progress estimate as to the accuracy of the quantities. Should the Engineer's estimated quantity for any pay item be greater than a tolerance of plus or minus ten percent (±10%) of the Contractor's estimated quantity, the Contractor should confer with the Resident or Project Engineer to rectify any differences. Each should make a record of the differences, if any, and conclusions reached. In the event mutual agreement cannot be reached, the Contractor will be allowed a maximum of 15 calendar days following the ending date of the monthly estimate in question to file in writing, a protest Notice of Claim in accordance with the provisions Subsection 105.17. Otherwise, the Engineer's estimated quantities shall be considered acceptable pending any changes made during the checking of final quantities.

## SUPPLEMENT TO FORM FHWA-1273

The following MINIMUM HOURLY WAGE RATES have been predetermined by the Secretary of Labor in Wage Determination Decision No. MS030021 dated 6/13/2003.

## **AREA 2 - COUNTIES**

BOLIVAR, CARROLL, COAHOMA, GRENADA, LEFLORE, MONTGOMERY, PANOLA, QUITMAN, SUNFLOWER, TALLAHATCHIE, TATE, TUNICA, WASHINGTON AND YALOBUSHA

PAYROLL CODE	CLASSIFICATION	MINIMUM HOURLY WAGE RATE
100 105 108 110 120 130 131 135 140 145 150 155 160 165 175 180 185 190 195	Air Tool Operator (Jack Hammer/Air Comp.) Asphalt Raker Mason Tender (Cement Mason Helper) Carpenter Cement Mason (Finisher) Electrician Mechanic (Heavy Equipment) Oiler-Greaser Form Setter Grade Checker (Asphalt Crew) Ironworker, Reinforcing (Tie Steel) Ironworker, Structural Laborer, Unskilled Pipelayer Painter (Structural Steel) Piledriverman Truck Driver (All Types) Joint Filler Joint Setter Welder	\$5.15 6.55 6.84 8.56 8.07 16.34 8.83 7.60 7.00 7.50 8.00 7.26 6.12 7.42 8.23 7.71 6.66 5.15 9.03
	POWER EQUIPMENT OPERATORS	
205 212 214 215 216 220 225 235 240 250 255 270 275 280 285 290 295 300 305 310 315 320 325 330 350 360 365	Aggregate Spreader Operator Asphalt Broom (Sweeper) Operator Asphalt Paving Machine/Spreader Operator Asphalt Distributor Operator Asphalt Plant Operator Backhoe (Shovel) Operator Bulldozer Operator Concrete Finishing/Curing Machine Operator Concrete Paving Machine Operator (Spreader) Concrete Saw Operator Concrete Breaker & Hydro-Hammer Operator Loader (All Types) Milling Machine Operator Mixer Operator (All Types) Motor Patrol (Grader) Operator Mulcher Machine Operator Earth Auger Operator Piledriver Machine Operator Roller Operator (Self-Propelled) Scraper Operator (All Types) Striping Machine Operator Tractor Operator (Track Type) Tractor Operator (Wheel Type) Trenching Machine Operator Crusher Feeder Machine Operator Crusher Feeder Machine Operator Guardrail Post Driver	6.75 5.75 7.47 7.30 6.85 8.72 8.83 7.25 9.00 8.30 8.24 7.95 7.40 6.00 9.22 6.00 8.00 10.50 6.57 8.05 12.50 7.14 6.26 8.01 5.50 9.47 6.75

Authorized Payroll Code may be used in lieu of classification titles on weekly payrolls submitted to this Department. Codes or classification titles not conforming to those listed will not be acceptable.

# **SUPPLEMENT TO FORM FHWA-1273**

**DATE:** 6/15/94

**SUBJECT:** Final Certificate and Contract Provisions for Subcontracts

All subcontracts shall be in writing and contain all pertinent provisions and requirements of the prime contract.

Each "Request for Permission to Subcontract" (Mississippi Department of Transportation Form CAD-720) shall include a copy of subcontract for review by the Mississippi Department of Transportation. The federal contract provisions may be omitted from the subcontract copy submitted for review provided the Contractor certifies that the provisions will be physically incorporated into the agreement furnished to the Subcontractor.

In lieu of submitting a copy of the subcontract for review, the Contractor may certify that the subcontract agreement is in writing and that it contains all the requirements and pertinent provisions of the prime contract.

Each Subcontractor will be required to provide a copy of the subcontract agreement for contract compliance reviews, along with physical evidence (copy of FHWA-1273) that requirements and pertinent provisions have been provided for review and adherence.

# REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

	P	age
	General	1
II.	Nondiscrimination	1
III.	Nonsegregated Facilities	3
IV.	Payment of Predetermined Minimum Wage	3
٧.	Statements and Payrolls	6
VI.	Record of Materials, Supplies, and Labor	7
VII.	Subletting or Assigning the Contract	7
√III.	Safety: Accident Prevention	7
IX.	False Statements Concerning Highway Projects	8
X.	Implementation of Clean Air Act and Federal	
	Water Pollution Control Act	8
XI.	Certification Regarding Debarment, Suspension,	
	Ineligibility, and Voluntary Exclusion	8
XII.	Certification Regarding Use of Contract Funds for	
	Lobbying	10

#### **ATTACHMENTS**

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

#### I. GENERAL

- 1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
- 2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
- 3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
- 4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

Section I, paragraph 2; Section IV, paragraphs 1, 2, 3, 4, and 7; Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

- 6. **Selection of Labor:** During the performance of this contract, the contractor shall not:
- a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
- b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

### II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- 1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:
- a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
- b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

- 2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
- 3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant

of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
- b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
- 4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.
- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
- c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.
- 5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be

taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly takecorrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
- d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

### 6. Training and Promotion:

- a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.
- 7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:
- a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward

qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

- b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
- c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.
- d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within thetime limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.
- 8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.
- a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
- b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
- c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.
- 9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.
- a. The records kept by the contractor shall document the following:

- (1) The number of minority and non-minority group members and women employed in each work classification on the project;
- (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
- (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
- (4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.
- b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

### **III. NONSEGREGATED FACILITIES**

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.
- b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).
- c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

## IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

#### 1. General:

- a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.
- b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.
- c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

#### 2. Classification:

- a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.
- b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:
- (1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

- (2) the additional classification is utilized in the area by the construction industry;
- (3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
- (4) with respect to helpers, when such a classification prevails in the area in which the work is performed.
- c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary
- e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

## 3. Payment of Fringe Benefits:

- a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.
- b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

# 4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

#### a. Apprentices:

- (1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
- (2) The allowable ratio of apprentices to journeymanlevel employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
- (3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level ofprogress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
- (4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

#### b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

- (2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.
- (3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.
- (4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

### c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under a approved definition, shall be paid not less than the applicable wage rate on the wagedetermination for the classification of work actually performed.

## 5. Apprentices and Trainees (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

## 6. Withholding:

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the

same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

### 7. Overtime Requirements:

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

#### 8. Violation:

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

# 9. Withholding for Unpaid Wages and Liquidated Damages:

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

## V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

## 1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

### 2. Payrolls and Payroll Records:

- a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.
- b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.
- c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.
- d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
- (2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned,

without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

- (3) that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.
- e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
- f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.
- g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

### VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

- 1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:
- a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.
- b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.
- c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.
- 2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

### VII. SUBLETTING OR ASSIGNING THE CONTRACT

- 1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).
- a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.
- 2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
- 4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

### **VIII. SAFETY: ACCIDENT PREVENTION**

- 1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provideall safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary,

hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

# IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

### NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation: or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false represen-tation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that \$10,000 or imprisoned not more than 5 years or both."

# X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 <a href="et-seq">et seq</a>., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 <a href="et-seq">et seq</a>., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.
- 2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.
- 3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
- 4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements

## XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

# 1. Instructions for Certification - Primary Covered Transactions:

(Applicable to all Federal-aid contracts - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowinglyrendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

- d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

# Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

- 1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
- d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- 2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

# 2. Instructions for Certification - Lower Tier Covered Transactions:

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive

Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

# Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared

ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

# XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief. that:
- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- 3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

## NOTICE OF REQUIREMENTS FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

- 1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Timetables	Goals for female participation in each trade (percent)			
From April 1, 1978 until March 31, 1979	3.1			
From April 1, 1979 until March 31, 1980	5.1			
From April 1, 1980 until March 31, 1981	6.9			
•				
Until further notice	Goals for minority			
	participation for			
	each trade (percent)			
SHSA Cities:				
Pascagoula - Moss Point	16.9			
Biloxi - Gulfport	19.2			
Jackson	30.3			
SMSA Counties:	22.2			
Desoto				
Hancock, Harrison, Stone				
Hinds, Rankin				
Jackson	16.9			
Non-SMSA Counties:				
George, Greene	26.4			
-				
Alcorn, Benton, Bolivar, Calhoun, Carroll, Chickasaw,				
Clay, Coahoma, Grenada, Itawamba, Lafayette, Lee,				
Leflore, Marshall, Monroe, Montgomery, Panola,				
Pontotoc, Prentiss, Quitman, Sunflower, Tallahatchie,				
Tate, Tippah, Tishomingo, Tunica, Union,	,			
Washington, Webster, Yalobusha	26.5			
Attala, Choctaw, Claiborne, Clarke, Copial	h, Covington,			
Franklin, Holmes, Humphreys, Issaquena, Jasper, Jefferson,				
Jefferson Davis, Jones Kemper, Lauderdale	, Lawrence,			
Leake, Lincoln, Lowndes, Madison, Nesho	ba, Newton,			
Noxubee, Oktibbeha, Scott, Sharkey, Simp				
Warren, Wayne, Winston, Yazoo	32.0			
Forrest, Lamar, Marion, Pearl River, Perry,	Pike.			
Walthall				
	_,,,			
Adams, Amite, Wilkinson	30.4			

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

- 3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor, employer identification number of the subcontractor, estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.
- 4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is to the county and city (if any), stated in the advertisement.
- 5. The notification required in Paragraph 3 shall be addressed to the following:

Contract Compliance Officer Mississippi Department of Transportation P.O. Box 1850 Jackson, Mississippi 39215-1850

CODE: (IS)

# SPECIAL PROVISION NO. 907-101-5

**DATE:** 01/03/2002

**SUBJECT: Definitions** 

Section 101, Definitions and Terms, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

907-101.02--Definitions. Delete the definition of "Change Order" on page 101-4.

Delete the definition of "Extra Work Order" on page 101-6.

Delete the definition of "Optional Items" on page 101-8 and substitute:

**Optional Items** - Items listed in the bid schedule of the proposal which are considered to be comparable for the purpose intended, and the Contractor is required to make a selection prior to or at the time of execution of the contract.

After the eighth definition on page 101-9, add the following:

**Quantity Adjustment** - A modification of contract quantities covering increases or decreases resulting from plan errors, omissions or changes made necessary in order to carry out the intent of the plans.

Delete Figure 1 at the end of Section 101 on page 101-13, and substitute the following:

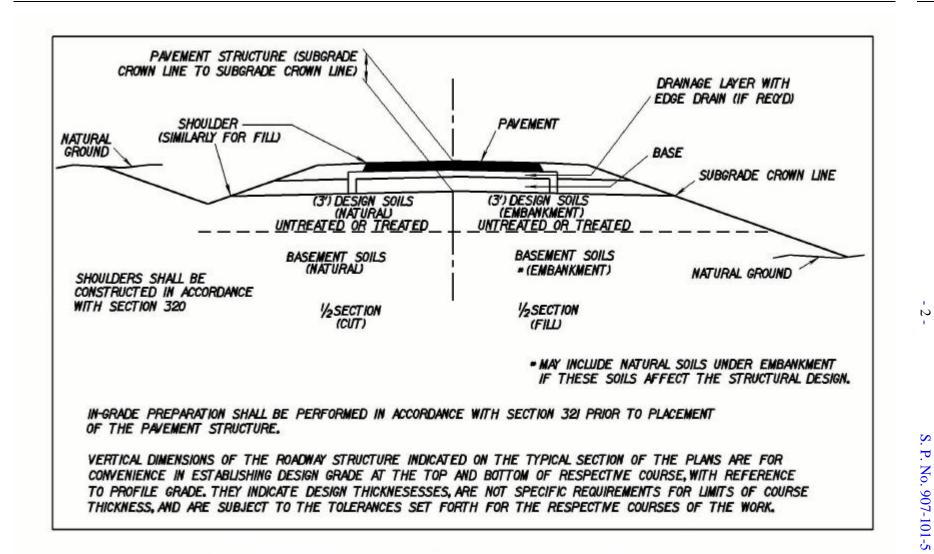


FIGURE 1 - REFERENCE DIVISION 100 - PAGE 101-1

# MISSISSIPPI STATE HIGHWAY DEPARTMENT

CODE: (IS)

SPECIAL PROVISION NO. 907-102-1

**DATE:** 9/5/90

**SUBJECT:** Combination Bids

Section 102, Bidding Requirements and Conditions, of the 1990 Edition of the Standard Specifications for Road and Bridge Construction, is hereby amended as follows:

Delete Subsection 102.11 in toto and substitute the following:

<u>907-102.11--Combination Bids</u>. Combination bids which combine two or more individual projects may be submitted by stating in writing on each project proposal to be considered in the combination, one of the following:

- (a) That the bidder is bidding on "All or None" of the work for designated proposals. The Department will evaluate all bids on these proposals and make awards based on the bids most advantageous to the State.
- (b) The reduction the bidder will make in the unit price of one or more of the items in any or all of the proposals if awarded the combination; however, the bidder will not be permitted to make a reduction in any unit price that may be fixed by the Department in the proposal. The Department will select from the proposals submitted the individual or combination bids most advantageous to the State.
- (c) That he is bidding on a number of projects but desires to be awarded work not to exceed a specified total amount or a specified number of contracts. The Department will select from his proposal those which are most advantageous to the State within its specified amount or total number of contracts.

Combination bids which state that a lump sum shall be deducted from the final estimate or retained percentage, or that a reduction in prices shall be made on a percentage basis, or that states that award of a job is contingent upon being awarded another job will not be accepted and the bids with which such a letter is submitted will be considered irregular and rejected.

CODE: (IS)

SPECIAL PROVISION NO. 907-102-8

**DATE:** 03/01/2002

**SUBJECT:** Preparation of Proposal

Section 102, Bidding Requirements and Conditions, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

**907-102.06--Preparation of Proposal.** Delete in toto the second full paragraph on page 102-4 and substitute:

Bid sheets generated by the Department's Electronic Bid System (EBS) along with a completed proposal package will constitute the official bid and shall be signed on the last sheet of the EBS generated bid sheets and delivered to the Department in accordance with the provisions of Subsection 102.09.

Bidders are cautioned that using older versions of the EBS will result in improperly printed bid sheets. The latest version of the EBS can be obtained at no cost from the MDOT Contract Administration Division or at the MDOT website, <a href="https://www.mdot.state.ms.us">www.mdot.state.ms.us</a>.

If bidders submit EBS generated bid sheets, then the bid sheets included in the proposal should not be completed. The EBS generated bid sheets should be stapled together and included in the bid proposal package in the sealed envelope. If both the forms in the proposal and the EBS generated bid sheets are completed and submitted, only the EBS generated sheets will be recognized and used for the official bid. The diskette containing the information printed on the EBS generated bid sheets should be placed in the pouch located on the inside of the front cover of the bid proposal package. Bid sheets printed from the EBS should be a representation of the data returned on the diskettes. To have a true representation of the bid sheets, the Bidder must copy the inputted unit prices back to the diskette by using the option titled "Copy Project File To Floppy Disk" from the drop-down menu under "Projects". Otherwise, the unit prices bid will not be recorded to the diskette. Bidders are cautioned that failure to follow proper diskette-handling procedures could result in the Department being unable to process the diskette. Any modification or manipulation of the data contained on the diskette, other than entering unit bid prices, will not be allowed and will cause the Contractor's bid to be considered irregular.

When the bid schedule lists optional items, the Contractor's selection may, but is not required to, be made at the time of bidding. For optional items not pre-selected, the Contractor's selection shall be made prior to or at the time of execution of the contract.

CODE: (IS)

SPECIAL PROVISION NO. 907-103-5

**DATE:** 12/2/99

**SUBJECT:** Execution and Approval of Contract

Section 103, Award and Execution of the Contract, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>**907-103.01-Consideration of Proposals.**</u> Delete the third paragraph of Subsection 103.01 on page 103-1, and substitute the following:

In consideration of contract proposals which are equal to or in excess of \$50,000 and financed 100% with State funds, a nonresident bidder domiciled in a state having laws granting preference to local Contractors will be considered for such contracts on the same basis as the nonresident bidder's state awards contracts to Mississippi Contractors bidding under similar circumstances. When a nonresident Contractor submits a bid equal to or in excess of \$50,000 on a contract financed 100% with State funds, a copy of the current laws from the state of domicile and an explanation thereof pertaining to treatment of nonresident Contractors shall be attached. If no preferential treatment is provided for Contractors in the state of domicile and contracts are awarded to the lowest responsible bidder, a statement to this effect shall be attached. Should the attachment not accompany the bid when submitted, the Contractor shall have 10 days following the opening of the bids to furnish the required information to the Contract Administration Engineer for attachment to the bid. As used herein, the term "resident Contractors" includes a nonresident person, firm or corporation that has been qualified to do business in this State and has maintained a permanent full-time office in the State of Mississippi for two years prior to January 1, 1986, and the subsidiaries and affiliates of such a person, firm or corporation.

**907-103.04--Return of Proposal Guaranty.** Delete the third paragraph of Subsection 103.04 on page 103-2 and substitute the following:

In the event no award is made within **30 days** after the opening of bids, the Executive Director may permit the successful bidder to replace the certified check or cashier's check with a satisfactory bidder's bond.

Delete in toto Subsection 103.07 on page 103-2, and substitute the following:

**907-103.07--Execution and Approval of Contract.** The successful bidder to whom the contract has been awarded shall sign and file with the Director the contract and all documents required by the contract within **10 days** after the contract has been mailed to the bidder. The contract may require certain documents be submitted at an earlier date, in which case, those documents shall be submitted within the time frame specified. If the contract is not executed by the Department within 15 days following receipt of the signed contract and all necessary documents, the bidder shall have the right to withdraw his bid without penalty. No contract is in effect until it is executed by all parties.

<u>907-103.08--Failure to Execute Contract.</u> Delete in toto Subsection 103.08 on page 103-2, and substitute the following:

Failure of the bidder to execute the contract and file acceptable bond within **10 days** shall be just cause for the cancellation of the award and forfeiture of the proposal guaranty which shall become the property of the Department, not as a penalty but in liquidation of damages sustained. Award may then be made to the next lowest responsible bidder, or the work may be readvertised at the discretion of the Department.

## MISSISSIPPI STATE HIGHWAY DEPARTMENT

CODE: (IS)

## SPECIAL PROVISION NO. 907-104-3

**DATE:** 11/27/91

**SUBJECT:** Significant Changes in the Character of Work and Differing Site

**Conditions** 

Section 104, Scope of Work, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

907-104.02.1--Significant Changes in the Character of Work.

Delete the first sentence of the first paragraph of Subsection 104.02.1 on page 104-1 and substitute:

If the alterations or changes in quantities significantly change the character of the work under the contract, whether such alterations or changes are in themselves significant changes to the character of the work or by affecting other work cause such other work to become significantly different in character, an adjustment, excluding anticipated profit, will be made to the contract.

Delete the last paragraph of page 104-2 and the first paragraph of page 104-3 and substitute:

<u>907-104.02.2--Differing Site Conditions</u>. During the progress of the work, if subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract or if unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract, are encountered at the site, the party discovering such conditions shall promptly notify the other party in writing of the specific differing conditions before the site is disturbed and before the affected work is performed.

Upon written notification by the Contractor, the Engineer will investigate the conditions, and if it is determined that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the contract, an adjustment, excluding anticipated profits, will be made and the contract modified in writing accordingly. The Engineer will notify the Contractor of the determination whether or not an adjustment of the contract is warranted.

CODE: (IS)

## SPECIAL PROVISION NO. 907-104-8

**DATE:** 7/25/94

**SUBJECT: Partnering Process** 

Section 104, Scope of Work, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

907-104.01--Intent of Contract.

After the end of Subsection 104.01 on page no. 104-1, add the following:

907-104.01.1--Partnering Process.

## COVENANT OF GOOD FAITH AND FAIR DEALING:

This contract imposes an obligation of good faith and fair dealing in its performance and enforcement.

The contractor and the Department, with a positive commitment to honesty and integrity, agree to the following mutual duties:

- A. Each will function within the laws and statutes applicable to their duties and responsibilities.
- B. Each will assist in the other's performance.
- C. Each will avoid hindering the other's performance.
- D. Each will proceed to fulfill its obligations diligently.
- E. Each will cooperate in the common endeavor of the contract.

### **VOLUNTARY PARTNERING:**

The Mississippi Department of Transportation intends to encourage the foundation of a cohesive partnership with the contractor and its principal subcontractors and supplier. This partnership will be structured to draw on the strengths of each organization to identify and achieve reciprocal goals. The objectives are effective and efficient contract performance and completion within budget, on schedule, and in accordance with plans and specifications.

This partnership will be bilateral in make-up, and participation will be totally voluntary. Any cost associated with effectuating this partnering will be agreed to by both parties and will be shared equally.

To implement this partnering initiative prior to starting of work in accordance with the requirements of Subsection 108.02 Notice to Proceed and prior to the preconstruction conference, the contractor's management personnel and MDOT's District Engineer, will initiate a partnering development seminar/team building workshop. The Contractor working with the assistance of the District and the State Construction Engineer will make arrangements to determine attendees for the workshop, agenda of the workshop, duration, and location. Persons required to be in attendance will be the MDOT key project personnel, the contractor's

on-site project manager and key project supervision personnel of both the prime and principal subcontractors and suppliers. The project design engineers, FHWA and key local government personnel will be also be invited to attend as necessary. The contractors and MDOT will also be required to have Regional/District and Corporate/State level managers on the project team.

Follow-up workshops may be held periodically throughout the duration of the contract as agreed by the contractor and Mississippi Department of Transportation.

The establishment of a partnership charter on a project will not change the legal relationship of the parties to the contract nor relieve either party from any of the terms of the contract.

SPECIAL PROVISION NO. 907-104-12

CODE: (IS)

**DATE:** 04/11/2003

**SUBJECT:** Minor Alterations to the Contract

Section 104, Scope of Work, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

After the end of Subsection 104.02.2 on page 104.3, add the following:

<u>907-104.02.3--Minor Alterations to the Contract.</u> When the Department makes alterations in the details of construction or specifications that are minor in nature, the Resident or Project Engineer may elect to make an equitable adjustment to the contract under the provisions of this subsection. Minor alterations shall be defined as those alterations to the contract that are not addressed in the Standard Specifications, or supplements thereto, and are valued at less than \$5,000.00. The District Engineer shall designate, in writing, the Resident or Project Engineer authorized to execute the Class I Supplemental Agreement. The Resident or Project Engineer and Contractor shall agree upon the scope of work and a lump sum amount, within the above stated limit, for the work to be performed. The agreement shall be reflected in a Class I Supplemental Agreement signed by the Resident or Project Engineer and the Contractor's authorized representative, which, when it bears both the signature of the Resident or Project Engineer and Contractor, shall constitute the scope of work and basis of payment under the item "Minor Alterations to the Contract." Work shall not proceed until both parties sign the agreement.

Any adjustment of contract time due to Minor Alterations will be in accordance with Subsection 108.06 of the Standard Specifications.

Payment will be made under:

907-104-A S/A: Minor Alterations to the Contract

- lump sum

(This pay item is not to be included on the plans or in the contract proposal)

CODE: (IS)

SPECIAL PROVISION NO. 907-105-9

**DATE:** 9/8/95

**SUBJECT:** Claims

Section 105, Control of Work, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>907-105.17--Claims for Adjustments and Disputes.</u> Delete in toto Subsection 105.17 on pages 105-10 and 105-11 and substitute the following:

It is in the public interest that the Department have early or prior knowledge of an existing or impending claim of any nature by the Contractor so that the Department may appropriately consider modifying the details of the work or other actions of the Department which might result in mitigation or elimination of the effect of the act or conditions objected to by the Contractor and so that the Department may institute appropriate procedures, as required, to keep strict account of actual costs and to verify, at the time, facts upon which a claim for contract time adjustment is made. Therefore, if in any case the Contractor deems that additional compensation is due for work or materials not clearly covered in the contract or not ordered by the Engineer as Extra Work, or if the Contractor deems that adjustment in the contract time should be made because of any of the reasons provided for in the contract as a basis for an extension of time, the Contractor shall notify the Engineer in writing of an intention to make such claim for additional compensation before beginning the work on which the Contractor bases the claim or for such extension of time as soon as the facts first become known on which the Contractor bases the claim for adjustment. If such written notification is not given by the Contractor in accordance with these specifications and the Engineer and the Department's Audit Division are not afforded proper facilities by the Contractor for keeping strict account of actual costs or verification at the time of facts upon which a claim for contract adjustment is made, the Contractor hereby agrees that failure to provide written notice has denied the Department the prerogative of verifying additional time, materials, equipment, labor and making adjustments in the work which might remove or alleviate the conditions for which a claim might be made, and the Contractor further agrees that such failure on the Contractor's part shall be a conclusive waiver of any claim, or part thereof.

Mere oral notice or statement will not be sufficient, nor will an unnecessarily delayed notice or statement after the event.

Any such notice shall be in writing and shall describe any act of omission or commission by the Department or its agents that allegedly caused or contributed to the condition for which a claim may be made and the nature of the claimed damage. The Contractor shall deliver or mail the notice to the Project Engineer.

Upon receipt of the notice, the Project Engineer will acknowledge receipt in writing to the Contractor with a copy of the notice and acknowledgment to the District Engineer, State Construction Engineer and the Department's Audit Director.

The Project Engineer will evaluate the Contractor's claim and forward his/her recommendations to the District Engineer with a copy to the State Construction Engineer and the Department's Audit Director.

The State Construction Engineer, after consultation with the District Engineer and Project Engineer, will notify the Audit Division of the Contractor's claim and request that the Audit Director take the necessary steps to review the legitimacy of the Contractor's documentation of the claim.

Even when the Audit Division determines that the Contractor's documentation relative to the time, materials, equipment and labor are legitimate, that division will continue to monitor the Contractor's charges until the Contractor's services are complete.

Such notice by the Contractor and the fact that the Engineer has kept account of the costs and the Audit Division has verified the legitimacy of the Contractor's documentation and other facts as aforesaid shall not in any way be construed as substantiating the validity of a claim.

In presenting a claim, the Contractor shall clearly and specifically state:

- (a) The contract subsection number(s) under which each part of the claim is made.
- (b) The event(s) or conditions covered in each such subsections and made the basis for each part of the claim.
- (c) A claim for additional compensation shall include supporting auditable cost figures from entries made in the original records entered at the time of the work. The Contractor will be required to provide all records that the Department's Audit Director deems necessary for the performance of an audit in accordance with the United States General Accounting Office's Governmental Auditing Standards, the Institute of Internal Auditor's Professional Practice Standards, and the American Institute of Certified Public Accountant's Auditing Standards.

All claims made shall be sent to the Resident or Project Engineer for review and processing.

If a claim is so vague that the Engineer cannot reasonably and expeditiously determine the specific contractual provisions relied on by the Contractor as the basis of each part of the claim, or if the Audit Director cannot reasonably and expeditiously determine that the costs related to the claim are related specifically to the reference project and are not related to any other project(s) that the Contractor is constructing or has constructed, it will be denied by the Engineer or returned without action.

Any part of a claim based on after-the-fact general statements of costs such as "Normal cost of such work", "computed as a percentage of..... etc." or other such indefinite statements will be denied or returned to the Contractor without action.

The Resident or Project Engineer may request supplemental data in writing, or return the claim to the Contractor for resubmission in accordance with these specifications.

A claim, as approved by the Department, will be paid in accordance with the provisions of 104.02 and 104.03 and adjustments in contract time will be made in accordance with the provision of 108.06. When a claim is denied or returned without action, the notice will state the reasons thereof.

CODE: (IS)

### SPECIAL PROVISION NO. 907-106-1

**DATE:** 9/22/93

**SUBJECT:** Convict Produced Materials

Section 106, Control of Materials, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

After the end of Subsection 106.12 on page no. 106-5, add the following:

## 907-106.13--Convict Produced Materials.

Materials produced after July 1, 1991, by convict labor may only be incorporated in a Federal-Aid highway construction project if such materials have been:

- (1) Produced by convicts who are on parole, supervised release, or probation from a prison or
- (2) Produced in a qualified prison facility and the cumulative annual production amount of such materials for use in Federal-aid highway construction does not exceed the amount of such materials produced in such facility for use in Federal-aid highway construction during the 12-month period ending July 1, 1987.

<u>Qualified prison facility</u> means any prison facility in which convicts, during the 12-month period ending July 1, 1987, produced materials for use in Federal-Aid highway construction projects.

CODE: (IS)

SPECIAL PROVISION NO. 907-107-10

**DATE:** 3/1/99

SUBJECT: Contractor's Responsibility For Work

Section 107, Legal Relations and Responsibility to Public, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

After the second full paragraph of Subsection 107.17 on page 107-15, add the following:

Damage to items of construction, caused by the traveling public on a project or section(s) of a project open to traffic, shall be repaired by the Contractor. The Contractor will be paid for repairing such damage to <u>certain</u> acceptably installed items of construction at the contract unit price(s) for the applicable item(s) used in the repair. An acceptably installed item shall be complete-in-place meeting the requirements of the specifications. The acceptably installed items of construction eligible to receive payment for repair of damage caused by the traveling public shall be items used for signing, safety and traffic control. The eligible items shall be limited to traffic signal systems, signs and sign supports, lighting items, guard rail items, delineators, impact attenuators, median barriers, bridge railing or permanent pavement markings. If damage to the above items necessitate only minor repairs, in lieu of total replacement, the work shall be performed in accordance with Subsection 109.04, or as directed by the Engineer. Damage not meeting the requirements to qualify for repair payment shall be repaired at no additional cost to the State.

CODE: (IS)

SPECIAL PROVISION NO. 907-107-12

DATE: 10/21/2003

**SUBJECT:** Liability Insurance

Section 107, Legal Relations and Responsibility to Public, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

### **907-107.14.2--Liability Insurance.**

Delete Subsection 107.14.2.1 on page 107-12 in toto and substitute:

**907-107.14.2.1--General.** The Contractor shall carry contractor's liability (including subcontractors and contractual) with limits not less than: \$300,000 each occurrence; \$1,000,000 aggregate; automobile liability - \$500,000 combined single limit - each accident; Workers' Compensation and Employers' Liability - Statutory & \$100,000 each accident; \$100,000 each employee; \$500,000 policy limit. Each policy shall be signed or countersigned by a Mississippi Resident Agent of the insurance company.

The Contractor shall have certificates furnished to the Department from the insurance companies providing the required coverage. The certificates shall be on the form furnished by the Department and will show the types and limits of coverage.

## 907-107.14.2.2--Railroad Protective.

Delete the last paragraph on page 107-12 and substitute:

Prior to starting any work on railroad property, the Contractor shall furnish satisfactory evidence to the Department that insurance of the forms and amounts set out herein in paragraphs (a) and (b) has been obtained.

Delete the last sentence of the first paragraph on page 107-13 and substitute:

Evidence to the Railroad Company shall be in the form of a Certificate of Insurance for coverages required in paragraph (b), and the original policy of the Railroad Protective Liability Insurance for coverage required in paragraph (a).

Delete the first sentence of the fourth paragraph on page 107-13 and substitute:

For work within the limits set out in 107.18 and this subsection, the Contractor shall provide insurance for bodily injury liability, property damage liability and physical damage to property with coverages and limits no less than shown in paragraphs (a) and (b).

Delete subparagraphs (a), (b) and (c) on pages 107-13 and 107-14 and substitute:

(a) **Railroad Protective Liability Insurance** shall be purchased on behalf of the Railroad Company with limits of \$2,000,000 each occurrence; \$6,000,000 aggregate applying separately to each annual period for lines without passenger trains. If the line carries passenger train(s), railroad protective liability insurance shall be purchased on behalf of the Railroad Company with limits of \$5,000,000 each occurrence; \$10,000,000 aggregate applying separately to each annual period.

Coverage shall be limited to damage suffered by the railroad on account of occurrences arising out of the work of the Contractor on or about the railroad right-of-way, independent of the railroad's general supervision or control, except as noted in paragraph 4 below.

## Coverage shall include:

- (1) death of or bodily injury to passengers of the railroad and employees of the railroad not covered by State workmen's compensation laws,
- (2) personal property owned by or in the care, custody or control of the railroads,
- (3) the Contractor, or any of his agents or employees who suffer bodily injury or death as a result of acts of the railroad or its agents, regardless of the negligence of the railroads, and
- (4) negligence of only the following classes of railroad employees:
  - (i) any supervisory employee of the railroad at the job site
  - (ii) any employee of the railroad while operating, attached to, or engaged on, work trains or other railroad equipment at the job site which are assigned exclusively to the Contractor, or
  - (iii) any employee of the railroad not within (i) or (ii) above who is specifically loaned or assigned to the work of the Contractor for prevention of accidents or protection or property, the cost of whose services is borne specifically by the Contractor or Governmental authority.
- (b) **Regular Contractor's Liability**, including subcontractors, XCU and railroad contractual with limits of \$1,000,000 each occurrence; \$2,000,000 aggregate. **Automobile** with limits of \$1,000,000 combined single limit any one accident; **Workers' Compensation and Employer's Liability** statutory and \$100,000 each accident; \$100,000 each employee; \$500,000 policy limit. **Excess/Umbrella**

**Liability** \$5,000,000 each occurrence; \$5,000,000 aggregate. All coverage to be issued in the name of the Contractor shall be so written as to furnish protection to the Contractor respecting his operations in performing work covered by the contract. Coverage shall include protection from damages arising out of bodily injury or death and damage or destruction of property which may be suffered by persons other than the Contractor's own employees.

In addition, the Contractor shall provide for and on behalf of each subcontractor by means of a separate and individual liability and property damage policy to cover like liability imposed upon the subcontractor as a result of the subcontractor's operations in the same amounts as contained above; or, in the alternative each subcontractor shall provide same.

CODE: (SP)

#### SPECIAL PROVISION NO. 907-107-13

DATE: 11/13/2003

**SUBJECT:** Contractor's Protection Plan

Section 107, Legal Relations and Responsibility to Public, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

Delete in toto Subsection 107.22.1 on page 107-17 and substitute:

**907-107.22.1--Contractor's Protection Plan.** At the preconstruction conference or prior to starting any work on the project, the Contractor shall submit to the Engineer for approval, an erosion control plan to supplement permanent erosion control work required under the contract. As a minimum, the plan shall include the following:

- 1. Plan profile sheets (11" x 17" or larger) of the entire project showing the locations of erosion control devices (pay items) such as silt fence, hay bales, silt basins, slope drains, etc. Also, showing the locations of other measures (absorbed items) such as brush barriers, diversion berms, etc. that the Contractor may elect to use to prevent siltation.
- 2. A plan for disposal of waste materials, if applicable.
- 3. A detailed schedule of operations at locations of high siltation potential to clearly indicate how siltation of streams, lakes and reservoirs and the interruption of normal stream flows will be held to a practical and feasible minimum.

The plan shall be updated as needed during the progress of the project. Work shall not be started until an erosion control plan is approved by the Engineer.

The Engineer will have the authority to suspend all work and/or withhold payments for failure of the Contractor to carry out provisions of the erosion control plan and/or proper maintenance thereof.

SPECIAL PROVISION NO. 907-108-20

CODE: (IS)

**DATE:** 1/02/2003

**SUBJECT:** Liquidated Damages Table

Section 108, Prosecution and Progress, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby modified as follows:

Delete the table in Subsection 108.07 on page 108-12, and substitute the following:

# Schedule of Deductions for Each Day of Overrun in Contract Time

	Original Contract Amount				Daily Charge	
From	From More Than		To and Including		Per Calendar Day	
Tl						
\$	0	\$	100,000	\$	140	
1	00,000		500,000		200	
5	00,000		1,000,000		300	
1,0	00,000		2,000,000		<b>4</b> 00	
2,0	00,000		5,000,000		<b>65</b> 0	
5,0	00,000	1	0,000,000		<b>7</b> 50	
10,0	00,000				1,400	

SPECIAL PROVISION NO. 907-109-8

CODE: (IS)

**DATE:** 9/8/95

**SUBJECT:** Claims

Section 109, Measurement and Payment, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

At the end of Section 109 on page 109-9, add the following:

907-109.12--Right to Audit. The Department reserves the right to audit the Contractor's records at any time during the contract period and up to three years after the final contract payment or up to three years after any litigation is filed with court, whichever is later. If the Department commences an audit, the Contractor will be required to provide sufficient original documents and records to satisfy the Department's Audit Division that the costs included in the Contractor's claim were incurred solely in performance of the referenced project and project phase and were not incurred on any other project or phase of the referenced project that the Contractor is constructing or has constructed. Department's audit will be conducted in accordance with United States General Accounting Office's Governmental Auditing Standards, the Institute of Internal Auditor's Professional Practice Standards, and the American Institute of Certified Public Accountant's Auditing Standards.

CODE: (IS)

SPECIAL PROVISION NO. 907-109-13

**DATE:** 09/06/2002

**SUBJECT:** Measurement and Payment

Section 109, Measurement and Payment, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>907-109.06.2--Advancement on Materials.</u> After the first sentence of the first paragraph of Subsection 109.06.2 on page 109-6, add the following:

Advance payment may be requested for structural steel members provided fabrication has been completed and the members have been declared satisfactory for storage by a Department representative.

Remove the period at the end of the third sentence of the first paragraph of Subsection 109.06.2 on page 109-6 and add the following:

; or in the case of prestressed concrete members that may require being produced at an out-of-state location, the prestress members shall be produced and may be stored at the commercial manufacturer's yard provided it is a PCI certified plant on the Department's List of Approved Prestress & Precast Plants and it is located within the continental United States; or in the case of structural steel members that may require fabrication at an out-of-state location, the fabricated members may be stored at the location of the commercial fabricator's yard provided it is located within the continental United States.

Delete the second paragraph of Subsection 109.06.2 on page 109-6 and substitute the following:

Advancements will not be allowed until the Project Engineer has received triplicate copies of material invoices and certified test reports or acceptable certificates of conformance, and in the case of materials stored at the commercial producer's/fabricator's yard, the material shall be positively identified for the specific project and a Certificate of Storage issued by the State Materials Engineer, another designated Department employee or a designated representative of the Department. Requests for advancements on fabricated structural steel members and prestress concrete members stored out-of-state will be denied when the Department does not have available a designated representative to issue a Certificate of Storage.

Delete the first sentence of the third paragraph of Subsection 109.06.2 on page nos. 109-6 and 109-7 and substitute the following:

The Contractor shall make suitable arrangements to the satisfaction of the Engineer for storage and protection at approved sites or, in the case of materials stored at the commercial producer's yard located in Mississippi or, in the case of fabricated structural steel members stored at the commercial fabricator's yard or prestress concrete members stored at a commercial manufacturer's yard located within the continental United States, the Contractor shall make arrangements with the producer/fabricator for suitable storage and protection.

Delete the second full paragraph on page 109-7, and substitute:

Unless specifically provided for in the contract, advance payment will not be made on materials, except for fabricated structural steel members or prestress concrete members, stored or stockpiled outside of the State of Mississippi.

Delete in toto Subsection 109.06.3 on pages 109-7 and 109-8, and substitute the following:

**907-109.06.3--Retainage.** A deduction will be made from each partial payment and retained by the Department until the completion of all work in the contract, and the balance, less all previous payments, will be certified by the Engineer for payment. The amount of retainage will be computed as follows:

- (a) When the value of earned work is less than 90 percent of the value of work scheduled for completion by the approved progress schedule, the amount to be retained is one and one half percent (1½%) of the value of the earned work.
- (b) When the value of earned work is 90 percent or more of the value of work scheduled for completion by the approved progress schedule, the amount to be retained is the percentage of earned work times one and one half percent (1½%) of the value of the earned work.
- (c) Retainage for advancement of materials will be computed at the same rate as determined for the earned work in (a) or (b).

When all work has been completed and the Contractor released from maintenance, except for growth and coverage as provided by 105.16.2, the Engineer may with the approval of the Director and consent of the Surety reduce the retainage to a minimum of \$25,000.

CODE: (IS)

#### SPECIAL PROVISION NO. 907-618-16

**DATE:** 11/10/98

**SUBJECT:** Placement of Temporary Traffic Stripe

Section 618, Maintenance of Traffic and Traffic Control Plan, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

907-618.03 -- Construction Requirements.

<u>907-618.03.3 -- Safe Movement of Traffic.</u> Delete the third paragraph of Subsection 618.03.3 on page 618-3 and substitute the following:

A longitudinal pavement edge that traffic is expected to move across should have an elevation difference of not more than 2½ inches. If the pavement edge is more than 1½ inches and less than or equal to 2¼ inches, uneven pavement signs will be required as shown in the plans or contract documents. If the pavement edge is less than or equal to 1½ inches, no uneven pavement signs will be required. Transverse pavement joints shall be sufficiently tapered to allow for the safe movement of traffic.

When a paving operation produces a longitudinal pavement edge that traffic is expected to move across, the adjacent lane shall be constructed to eliminate any uneven pavement edge within 48 hours, unless prohibited by weather conditions or an emergency arises.

Delete the last paragraph on page 618-3 under Subsection 618.03.3 and substitute:

All centerline, lane lines, edge lines and no-passing stripes that have been covered or removed during the day's operations shall be replaced with temporary stripe before work is discontinued for the day or as soon thereafter as weather conditions will permit, except that:

- (1) Replacement of no-passing stripes may be delayed for a period not to exceed three (3) days for a two or three lane road.
- (2) Temporary edge lines may be eliminated on projects requiring shoulders constructed of granular material.
- (3) Temporary edge lines placed on the final pavement course of projects requiring paved shoulders without surface treatment shall be placed in the permanent stripe location, otherwise temporary edge lines on projects requiring paved shoulders may be placed on the adjacent shoulder in as near the permanent location as possible.

Temporary no-passing stripe is not considered a major item of work and such stripe which is eliminated because of placing the next course prior to expiration of the 3-day period shall not result in a monetary adjustment to the Contractor as provided in 104.02. All temporary stripe shall be placed in accordance with the plans and Subsection 907-619.03.2.

Delete the first sentence of the first paragraph on page 618-4 under Subsection 618.03.3 and substitute the following:

In addition to the temporary no-passing stripe, the Contractor shall erect standard "DO NOT PASS," "NO-PASSING ZONE," and "PASS WITH CARE" signs in accordance with plan details or as specified in the MUTCD.

Delete the last sentence of the third paragraph on page 618-4 under Subsection 618.03.3 and substitute the following:

All temporary stripe shall be maintained in good order until placement of the permanent pavement markings or placement of the next pavement course or until removed. Maintenance of temporary stripe may require more than one application over the life of the project. Payment will be made for one application only.

CODE: (IS)

SPECIAL PROVISION NO. 907-619-22

DATE: 06/18/2003

**SUBJECT:** Traffic Control for Construction Zones

Section 619, Traffic Control for Construction Zones, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>907-619.02.4--Construction Signs.</u> Delete the last sentence of the second paragraph of Subsection 619.02.4 on page 619-1 and substitute:

Standards for height of construction signs shall be those shown for roadside signs in Chapter 6F of the Manual of Uniform Traffic Control Devices (MUTCD). Signs mounted on portable supports or barricades may be at lower heights but the bottom of the sign shall be no less than one foot above the traveled way.

Delete the third paragraph of Subsection 619.02.4 on page 619-1 and substitute the following:

Unless specified otherwise, fluorescent orange reflective sheeting, meeting the requirements of Subsection 721.06, shall be used on all construction signs regardless of whether used during daytime or nighttime hours.

Delete the fourth paragraph of Subsection 619.02.4 on page 619-1 and substitute the following:

Unless otherwise specified on the plans, the material on which the reflective sheeting is to be applied shall be 16 gauge (minimum) steel, 0.080" (minimum) aluminum, or 5/8" (minimum) high density overlaid plywood. Ungalvanized steel, exterior grade plywood and lumber shall have a minimum of two coats of paint on front, back, and edges. High density overlaid plywood shall have the edges painted. The material to which reflective sheeting is to be applied shall be prepared in accordance with the recommendations of the sheeting manufacturer.

Delete the third sentence of the sixth paragraph of Subsection 619.02.4 on page 619-2 and substitute the following:

If tested by the Central Laboratory, the reflective sheeting shall have at least 50 percent of the reflectivity specified for new sheeting.

907-619.02.5--Advance Warning Flashing Arrow Panels. Delete in toto Subsection 619.02.5 on page 619-2 and substitute:

<u>907-619.02.5--Advance Warning Flashing Arrow Panels.</u> Flashing arrow panels shall meet the requirements of Section 6F.53 of the MUTCD.

907-619.02.6--Concrete Median Barrier and Delineators. Delete in toto Subsection 619.02.6 on pages 619-2 & 619-3 and substitute:

907-619.02.6--Concrete Median Barrier and Delineators. Precast concrete median barrier shall meet the requirements of the plans, contract documents, and Section 615 except the surface may be a Class 1 ordinary surface finish unless designated otherwise. When precast concrete median barriers are no longer needed at one location, as determined by the Engineer, the barriers shall be removed and reset at other designated locations. When barriers have to be stored until needed at another location, payment for removing and resetting will not be made until they are reset at their designated location. The Contractor shall furnish the storage area.

The Engineer may allow the installation of used barriers for temporary traffic control upon an inspection and determination that the barrier units are structurally adequate for their intended purpose. Barriers with small chips or fractures not affecting their integrity may be accepted.

Precast concrete barriers used on this project which were purchased or manufactured after October 1, 2002 must meet the requirements of NCHRP Report 350. Precast median barriers purchased or manufactured prior to October 1, 2002 may be used until they complete their normal service life.

Certification of precast concrete barriers shall be as required in the Notice to Bidders titled "Certification of Traffic Control Devices".

Delineators shall be listed on the Department's "Approved Sources of Materials" and meet the requirements of the plans and Section 6F.68 of the MUTCD.

907-619.02.7--Channelization Devices, Barricades, and Warning Lights. Delete in toto Subsection 619.02.7 on page 619-3 and substitute:

**907-619.02.7--Channelization Devices, Barricades, and Warning Lights.** Channelization devices, vertical panels, tubular markers, cones, drums, barricades and temporary raised islands shall meet the requirements of the plans and Sections 6F.55 through 6F.64 of the MUTCD. Drums shall be constructed of lightweight, deformable material capable of retaining reflective sheeting. Reflective sheeting for drums shall be Type III meeting the requirements of 721.06. Warning lights shall meet the requirements of Section 6F.72 of the MUTCD.

907-619.02.8--Traffic Signals and Flashers. Delete in toto Subsection 619.02.8 on page 619-3 and substitute:

<u>907-619.02.8--Traffic Signals and Flashers.</u> Traffic signals and flashers shall meet the requirements of the plans and Sections 6F.71 & 6F.74 of the MUTCD.

<u>907-619.02.9--Impact Attenuators.</u> Delete in toto Subsection 619.02.9 on page 619-3 and substitute:

<u>907-619.02.9--Impact Attenuators</u>. Impact attenuators must be listed on the Department's "Approved Sources of Materials".

SPECIAL PROVISION NO. 907-639-7 CODE: (SP)

**DATE:** 07/19/2002

**SUBJECT:** Traffic Signal Equipment Pole Shaft Extension

PROJECT: STP-0499-00(002) / 103836 - Desoto County

Section 908-639, Traffic Signal Equipment Poles, of the 1991 Edition of the Supplemental Specifications to the 1990 Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows.

**907-639.02--Materials**. After Subsection 908-639.02.3 on page 639-1, add the following:

<u>907-639.02.4--Shaft Extension</u>. The traffic signal equipment pole shaft extension shall meet the requirements of the plan detail sheets.

<u>907-639.04--Method of Measurement.</u> After the first paragraph of Subsection 908-639.04 on page 639-2, add the following:

Traffic signal equipment support poles shaft extension, of the type specified, will be measured as a unit quantity per each. Such measurements shall include the pole attachment, shaft, video camera mounting and all other mounting attachments necessary to extend a shaft above the top of the strain pole.

**907-639.05--Basis of Payment.** After the first paragraph of Subsection 908-639.05 on page 639-2, add the following:

Traffic signal equipment support poles shaft extension, measured as provided in 907-639.04, will be paid for at the contract unit price per each, which price shall be full compensation for furnishing all materials, for installing and erecting the pole shaft extension and for all equipment, tools, labor, and incidentals necessary to complete the work.

After the last pay item listed on page 639-2, add the following:	
907-639-B: Traffic Signal Equipment Pole Shaft Extension (	) - per each

CODE: (SP)

SPECIAL PROVISION NO. 907-640-5

**DATE:** 08/06/2002

**SUBJECT:** Traffic Signal and Pedestrian Heads

Section 908-640, Traffic Signal Heads, of the 1991 Edition of the Supplemental Specifications to the 1990 Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>907-640.02--Materials.</u> At the end of Subsection 908-640-02.8 on page 640-6, add the following:

<u>907-640.02.9--LED Traffic Signal Heads.</u> LED signal module shall be a sealed unit comprised of an outer lens, an optical lens, a printed circuit board for LEDs, and entirely enclosed power supply, a back cover and a gasket.

The assembly and manufacturing process for the LED signal modules shall be designed to assure all internal Led and electronic components are adequately supported to withstand mechanical shock and vibration from high winds and other sources as per MIL-STD-883.

The LED signal module shall be rated for use in the ambient operating temperature range of  $40^{\circ}$ C to  $+74^{\circ}$ C.

The LED signal module lens shall be UV stabilized.

The light distribution of each LED shall be maximized by an internal beam controlling optical faceted lens designed and patented to meet the 44 points measurement of the VTCSH standard (Part-2). The outer cover made of ultraviolet stabilized polycarbonate shall be convex with a smooth outer surface and be and integral part of the module.

The chip in the ultra-bright 1/5 inch LEDs shall be made using AlInGaP for red and amber, and InGaN technology for the green, and be rated for 100,000 hours of continuous operation by the LED manufacturer.

Enclosures containing either the power supply or electronic components of the signal module shall be made of UL94VO flame retardant materials.

The measured chromaticity coordinates of LED signal modules shall conform to the chromaticity requirements of Section 8.04 and Figure 1 of the VTCSH standard. The LED manufacturers indicate the following X-Y coordinates for their respective LEDs. The X value for red LEDs varies from 0.690 to 0.708 and the Y value varies from 0.292 to 0.306. The value X for amber LEDs varies from 0.554 to 0.575 and the Y value varies from 0.424 to 0.445. The X value for the green LEDs varies from 0.1090 to 0.1138 and the Y value varies from 0.5673 to 0.5830.

All wiring and terminal blocks shall meet the requirements of Section 13.02 of the VTCSH standard. Two secured, color coded, three feet long 600 V, 20 AWG minimum, jacketed wires, conforming to the National Electrical Code, rated for service at +105°C, are to be provided for electrical connection.

The module shall operate on a 60 Hz AC line voltage ranging from 80 volts rms to 135 volts rms with less than 10% light intensity variation. Nominal rated voltage for all measurements shall be  $120 \pm 3$  volts rms. The circuitry shall prevent flickering over this voltage range.

The individual LEDs be wired so that a catastrophic failure of one LED will result in the loss of only that one LED, and not the entire string of LEDs or the entire module.

The power supply must permit the regulation of the current supplied to the LEDs to maintain a constant current.

The LED signal and associated on-board circuitry must meet Federal Communications Commission (FCC) Title 47, Sub-Part B, Section 15 regulations concerning the emission of electronic noise.

The LED signal module shall provide a power factor of 0.90 or greater at 25°C and at the nominal operating voltage.

Total harmonic distortion (THD), (current and voltage), induced into an ac power line by a signal module shall not exceed 20 percent, over the operating voltage range specified in Section 14 and within the ambient temperature range specified in Section 4.

The signal module on-board circuitry shall include voltage surge protection to withstand high-repetition noise transients and low-repetition high-energy transients as stated in Section 2.1.6, NEMA Stndard TS-2, 1992.

The LED signal module shall operate from a  $60 \pm 3$  HZ ac line power over a voltage range from 80 Vac rms to 135 Vac rms. The current draw shall be sufficient to ensure compatibility and proper triggering and operation of load current switches and conflict monitors in signal controller units the procuring traffic authority customer has in use. Load switches shall be compatible with NEMA (TS-1 or later) or Model 170 (1989 or later).

All LED signal modules shall be energized for a minimum of 24 hours, at 100 percent on-time duty cycle, in an ambient temperature of 60°C.

Each socket shall be provided with one black lead from the socket and one white lead from the shell. Terminal blocks shall be a six position, twelve block, terminal barrier strip placed in the top (red) section of all traffic signal heads. Terminal blocks shall be secured on both ends.

**907-640.05--Basis of Payment.** After the last pay item listed on page 640-7, add the following.

907-640-B: Traffic Signal Heads (Type \_\_\_\_\_) LED

- per each

CODE: (SP)

#### SPECIAL PROVISION NO. 907-647-3

DATE: 12/09/2003

**SUBJECT: Pull Boxes** 

Section 908-647, Pullboxes, of the 1991 Edition of the Supplemental Specifications to the 1990 Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>907-636.02--Materials.</u> Delete the last three paragraphs of Subsection 908-647-.02 on page 647-1 and substitute the following:

The boxes shall have a gasketed cover secured by set screws or bolts and shall be either one of three types as indicated on the plans.

Type 1 - Minimum Dimension: 8" x 8" x 6"

Type 2 - Minimum Dimension: 15" x 25" x 12"

Type 3 - Minimum Dimension: 24" x 36" x 12"

Type 4 - Minimum Dimension: 24" x 36" x 18"

Type 5 - Minimum Dimension: 30" x 48" x 18"

<u>907-636.05--Basis of Payment.</u> Delete the pay item at the end of Subsection 908-647.05 and substitute the following:

907-647-A: Pull Box (Type \_\_\_\_) - per each

CODE: (SP)

SPECIAL PROVISION NO. 907-650-6

**DATE:** 02/11/2003

**SUBJECT:** On-Street Video Equipment

PROJECT: STP-0499-00(002) / 103836 -- Desoto County

Section 907-650, On-Street Video Equipment, is hereby added to the Supplemental Specifications to the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction as follows:

### **SECTION 907-650 -- ON-STREET VIDEO EQUIPMENT**

<u>907-650.01--Description.</u> This work shall consist of furnishing and installing equipment necessary for a complete working system of on-street traffic monitoring video. The system shall support complete access from the local traffic agency as well as access from the MDOT state-wide traffic management system. The traffic video system shall provide all components and integration required for full operation including, but not limited to, on-street camera equipment, communication links & equipment, and central office materials & equipment.

High resolution, color outdoor, dome-type camera units shall be compatible with MDOT's existing switched video control system located at the MDOT Traffic Management Center (TMC). All components, cabling, integration and installation necessary to make the camera site and local agency video management site fully functional with the State's existing video system shall be furnished by the Contractor. It is the purpose of this specification to set forth the minimum requirements for traffic monitor video systems to be used in the State's Advanced Traffic Management Systems (ATMS).

<u>907-650.02--Materials.</u> The outdoor camera unit shall be an outdoor, dome-type high resolution, NTSC camera assembly. The camera assembly shall be rugged and incorporate the latest CCD technology. The dome camera assembly shall include an intelligent, compatible architecture design to ensure that the system will adapt to future technologies.

The dome camera assembly shall include a smooth pan rate that delivers zoom without image jitter or jump. The unit shall include auto-focus. The unit shall include 360 degrees of rotation, continuous in both directions with variable speed and fast speed capability.

The dome camera assembly shall include 16X optical zoom and 8X electronic magnifier features thereby delivering the power of 128X zoom.

The dome assembly shall include a universal design allowing it to be used in varied configurations and with several mounts including, but not limited to, side-of-pole, corner, and flat mounting situations.

The dome camera assembly shall include a clear shatterproof globe with hard-coat for cloth cleaning without scratches. The dome camera assembly shall be equipped with sun shield, heater and ozone impervious seals.

The dome camera assembly shall provide a total integrated camera solution that can integrate with the State's ITS architecture.

Integrated multiple video switch support shall be included. This support shall provide the benefit of intelligent, compatible architecture, which allows the system to adapt to future video switching technologies.

The dome camera shall provide performance that exceeds the preset pan speed of 500 degrees per second. The dome camera shall be capable of multiple tours that provide numerous views.

During manual control, the camera assembly shall provide an adaptive, real-time system that delivers a smooth response and allows one to zoom in on objects without jitter and picture jump. The dome camera assembly shall include a universal clamp-in design and on-board protocols that interface with various popular video control systems.

The dome camera assembly shall include on-board diagnostics and nonvolatile memory that keeps track of all maintenance. The diagnostic systems and time-date maintenance system shall help ensure that the system operates at peak efficiency.

The dome camera assembly shall include a minimum of eight (8) alarm inputs and outputs. The unit shall be addressable for up to 254 addresses. The unit shall include communication support for RS-232, RS-485, and RS-422 standards.

The dome shall include a character generator and shall support a height of 18 scan lines. Position shall be 24 characters, 12 lines per field, and available top to bottom or right to left. Color and black & white operation shall be selectable. Date line shall include multiple formats with a selectable time line of 12 or 24 hour clock. The dome assembly shall include up to 24 zone titles and the unit shall be software and hardware field upgradable.

The dome camera assembly shall include a minimum one-year manufacture's warranty. All manufacturer's warranties and guarantees on all electrical and mechanical equipment shall be delivered to the Engineer at the final inspection. All warranties and guarantees shall be made out to the MDOT and shall begin after final acceptance of the project.

The dome camera assembly shall be capable of interfacing with the State's Video System Controller, Advanced Traffic Management System (ATMS), and Traffic Communication Network.

<u>907-650.03--Construction Requirements.</u> The on-street video camera(s) shall be installed at the location(s) shown on the plans. The camera(s) shall be installed in accordance with the manufacturer's recommendation, these specifications or as directed by the Engineer.

<u>907-650.04--Method of Measurement.</u> On-street video equipment, constructed and installed as specified in the plans, complete, in place and accepted, will be measured per each camera installation. Such measurement shall be inclusive of camera unit, housing, pan/tilt drive, receiver/driver, mounting hardware and any enclosures necessary. It shall also include any items necessary to mount the camera unit from a mast arm pole, a steel strain pole, or a pole extension pipe.

<u>907-650.05--Basis of Payment.</u> On-street video equipment will be paid for at the contract unit price bid per each, which price shall be full compensation for furnishing all materials, for all installing, connecting, cutting, pulling and testing and for all equipment, tools, labor and incidentals necessary to complete the work.

Required cabinet facilities will not be measured for separate payment, but shall be included in the price bid for solid-state traffic actuated controllers. Any necessary splice boxes or other facilities shall be cost absorbed.

Payment will be made under:

907-650-A: On-Street Video Equipment (Type)

- per each

#### SPECIAL PROVISION NO. 907-654-1

CODE (SP)

**DATE:** 3/17/2000

**SUBJECT:** Battery Back-Up System

Section 907-654, Battery Backup System, is hereby added to the Supplemental Specifications to the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction as follows:

### SECTION 907-654 -- BATTERY BACK-UP SYSTEM

907-654.01--Description. The Battery Back-up System (BBS) shall be a solid-state, single phase, ferroresonant-based UPS system designed to provide regulated and conditioned sinusoidal power to traffic control systems. The BBS shall provide uninterrupted power during all modes of operation. There shall be no interruption of power to the traffic control system when the BBS transfers to and from battery operation. The BBS shall be enclosed within a weatherproof enclosure intended for outdoor installations.

907-654.02--Materials.

907-654.02.1--Modes Of Operation.

907-654.02.1.1--Normal. During normal operation, utility (or generator) power is thoroughly conditioned and regulated by the BBS ferroresonant transformer. The ferroresonant transformer, in conjunction with the input filter, filters noise, transients, surges, sags, and brown-outs from the incoming power. Additionally, the ferroresonant transformer regulates its output voltage to within specified limits. The rectifier section maintains the batteries in fully charged state. The inverter is maintained in a warm state.

907-654.02.1.2--Emergency. Upon loss of input power or when power falls below the specified low input limits, the control logic shall transfer to battery operation and disconnect the input line. The transfer to battery shall be uninterrupted – no break power transfer. The inverter shall supply power from the batteries and through the ferroresonant transformer to the traffic control system. The output shall be sinusoidal and within specified limits. If power is not restored before the batteries have been exhausted, the BBS shall completely shutdown protecting the batteries from possible damage.

<u>907-654.02.1.3--Recharge.</u> Upon restoration of input power, the BBS shall automatically return to normal operation. This retransfer to normal operation shall be uninterrupted. The battery charger shall automatically recharge the batteries to full capacity.

907-654.02.1.4--Bypass. The BBS shall include a fail-safe bypass as a standard feature. The fail-safe bypass shall provide bypass power to the traffic control system in the unlikely event there is an internal failure within the BBS or when preventive maintenance is performed. Operation of the fail-safe bypass shall be automatic and shall be a break-before-make configuration.

907-654.02.2--Major System Components.

907-654.02.2.1--Ferroresonant Transformer. The ferroresonant transformer shall provide voltage regulation and conditioning from incoming power aberrations, including voltage sags, surges, brown-outs, and transients. Power to the traffic control system shall be supplied by the ferroresonant transformer whether the BBS is in normal mode or emergency mode. The output wave-shape shall be sinusoidal for all modes of operation.

<u>907-654.02.2.2--Battery Subsystem.</u> Sealed, maintenance-free batteries shall be provided. The batteries shall be fully wired and contained within the weatherproof enclosure. Published battery run times shall be based on 100% full load and at unity power factor. Extended battery run times shall be available.

907-654.02.2.3--Inverter. The inverter shall convert DC power supplied from the batteries to AC power. The inverter shall be maintained in a warm, standby state. It shall be a pulse width modulated (PWM) type design.

907-654.02.2.4--Charger. A separate battery charger, isolated from the inverter, shall be provided. The battery charger shall maintain the batteries at full charge even at low line conditions for indefinite periods of time. The battery charger shall be five (5) amperes with an automatic 3 stage temperature-compensated configuration to maximize battery life shall be available.

907-654.02.2.5--Fail-Safe Bypass. The fail-safe bypass shall provide bypass power to the traffic control system whenever there is no voltage present on the output side of the BBS. When there is no power available on the output side, the fail-safe bypass shall be de-energized and still supply bypass power to the traffic control system. The fail-safe bypass shall be automatic and shall be a break-before-make configuration.

<u>907-654.02.2.6--Power Connections.</u> The BBS input and output power connections shall be hard wired. Cable entry shall be through the bottom of the cabinet.

<u>907-654.02.2.7--Monitoring and Communication Subsystem.</u> The BBS shall be furnished with a system status indicator panel. This panel shall have the following annunciators:

- Green LED for AC Line Present
- Green LED for Battery Charger Status
- Green LED for UPS Output Status
- Amber LED for UPS Battery Operation
- Red LED for Low Battery Condition

In addition to the system status panel, the BBS shall include dry relay contacts for remote annunciation:

- UPS On Normally Closed Contact
- On Inverter Normally Open Contact
- Loss of Input Power Normally Open Contact
- Low Battery Normally Open Contact

A latching test switch shall be provided so that the BBS and its batteries may be manually exercised. If the test switch is left in the "test" position and the front door is closed, the BBS will return to normal operation, so that the BBS is not accidentally left in the "test" position.

907-654.02.3--Reliability And Maintainability. The BBS shall have a mean time between failure (MTBF) of not less than 30,000 hours. Typical system mission reliability (including the fail-safe bypass) shall be in excess of 150,000 hours.

- All circuit boards shall be conformably coated for maximum reliability.
- The cooling fan shall be thermostatically controlled to maximize fan life and to minimize temperature changes within the enclosure.
- A fail-safe bypass shall be employed.
- Tamper-resistant hardware and three point, double locking front door shall be utilized.

All components, including batteries, shall be accessible through the front door. All preventive and remedial maintenance shall be performed from the front of the BBS. No side or rear access shall be required.

907-654.02.4--Signal Saver Options. The BBS shall have the following options:

- Aluminum enclosure natural or anodized finish
- Stainless steel enclosure
- Auto dialer system for remote annunciation via telephone link
- Elapsed run time meter and event counter
- Flash command signal with 5 minute to 2 hour adjustable timer
- Strip heater with adjustable thermostat
- Special paint colors
- NEMA 5-15R FGI receptacle
- 3 stage temperature-compensated battery charger
- Bypass switch for alternate source (e.g. Generator)
- Lightning Arrestor/Surge suppressor

<u>907-654.02.5--Warranty.</u> The manufacturer shall warrant the BBS to be free from defects in both material and workmanship for a period of twelve (12) months from the time of installation or eighteen (18) months after shipment whichever occurs first.

<u>907-654.03--Construction Requirements.</u> Installation of the BBS shall be as specified in the plans and the contract documents; otherwise, as recommended by the manufacturer.

<u>907-654.04--Method of Measurement.</u> Battery Back-Up System, complete in place and accepted, will be measured as a unit quantity per each. Such measurement shall include all incidentals necessary for a complete and operable system.

<u>907-654.05--Basis of Payment.</u> Battery Back-Up System, measured as provided in 907-654.04, will be paid for at the contract unit price per each, which price shall be full compensation for furnishing all materials, installing, connecting and testing, hardware, and for all equipment, tools, labor and incidentals necessary for a complete and operable system.

Payment will be made under:

907-654-A: Battery Back-Up System

- per each

CODE: (SP)

SPECIAL PROVISION NO. 907-657-3

**DATE:** 12/3/2002

**SUBJECT:** Fiber Optic Cable

Section 907-657, Fiber Optic Cable, is hereby added to and becomes part of the Supplemental Specifications to the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction as follows:

## SECTION 907-657 - FIBER OPTIC CABLE (OSP)

<u>907-657.01--Description.</u> All outside plant trunk cables used on this project shall be stranded loose tube design. Drop cables shall be central core or stranded loose tube design. The cable configurations shall be dictated by the particular communication path, data rate, & distance of the optical path. Three possible designs are all multimode fiber, all single mode fiber cable, or a hybrid design containing multimode and single-mode fiber. In the case of a stranded loose tube hybrid design, single-mode & multimode fibers shall not occupy the same buffer tube. Single-mode tubes shall be placed in the first available tubes in the cable (ie, blue, orange, etc) based on cable design, multimode tubes shall follow.

<u>907-657.02--Materials.</u> The cable shall meet all requirements stated in this specification. The cable shall meet the requirements of the United States Department of Agriculture Rural Utilities Services (RUS) 7 CFR1755.900 and the ANSI/ICEA Standard for Fiber Optic Outside Plant Communications Cable, ANSI/ICEA S-87-640-1992.

The cable shall be new, unused, and of current design and manufacture.

<u>907-657.02.1--Fiber Characteristics.</u> All fibers in the cable must be usable fibers and meet required specifications.

Each optical fiber shall consist of a doped silica core surrounded by a concentric silica cladding. The fiber shall be matched clad design.

**MULTIMODE:** The multimode fiber utilized in the cable specified herein shall meet EIA/TIA-492AAAA-1989, "Detail Specification for 62.5 μm Core Diameter/125 μm Cladding Diameter Class Ia Multimode, Graded Index Optical Waveguide Fibers."

Core diameter:  $62.5 \pm 3.0 \, \mu m$ Cladding diameter:  $125.0 \pm 2.0 \, \mu m$ Core-to-Cladding Offset:  $3.0 \, \mu m$ 

Cladding non-circularity: 2.0%

Defined as: [1 -(min. cladding dia. ÷ max. cladding dia.)] X

100

Core non-circularity: 5.0%

Defined as: [1 -(min. core dia. ÷ max. core dia.)] X 100

Coating Diameter:  $245 \pm 10 \mu m$ Colored Fiber Diameter: nominal 250  $\mu m$ 

Attenuation Uniformity: No point discontinuity greater than 0.20 dB at either 850 nm

or 1300 nm

Refractive Index Profile: Graded index Numerical Aperture:  $0.275 \pm 0.015$ 

**SINGLE-MODE:** The single-mode fiber utilized in the cable specified herein shall conform

to the following specifications:

Typical Core Diameter: 8.3 µm

Cladding Diameter:  $125.0 \pm 1.0 \mu m$ 

Core-to-Cladding Offset: 0.8 m Cladding Non-Circularity: 1.0%

Defined as: [1 -(min. cladding dia. ÷ max. cladding dia.)] X

100

Coating Diameter:  $245 \pm 10 \mu m$ Colored Fiber Diameter: nominal 250  $\mu m$ 

Attenuation Uniformity: No point discontinuity greater than 0.10 dB at either 1310

nm or 1550 nm

Attenuation at the Water Peak: The attenuation at  $1383 \pm 3$  nm shall not exceed 2.1 dB/km Cutoff Wavelength: The cabled fiber cutoff wavelength ( $_{ccf}$ ) shall be < 1250 nm

Mode-Field Diameter:  $9.30 \pm 0.50 \mu m$  at 1310 nm

 $10.50 \pm 1.00 \, \mu m$  at 1550 nm

Zero Dispersion Wavelength (o): 1301.5 nm o 1321.5 nm Zero Dispersion Slope (So): 0.092 ps/(nm² km) Polarization Mode Dispersion: 0.5 ps/sq.rt. km

The coating shall be a dual-layered, LTV-cured acrylate applied by the fiber manufacturer.

The coating shall be mechanically strippable without damaging the fiber.

### 907-657.02.2--Fiber Specification Parameters.

The Maximum Individual Fiber Attenuation for multimode fibers shall be 3.5dB/km @ 850 nm, 1.0 dB/km @ 1300 nm. The Maximum Individual Fiber Attenuation for single-mode fibers shall be 0.40dB/km @ 1310 nm, 0.30 dB/km @ 1550 nm.

For multimode use, the minimum normalized bandwidth of multimode optical fibers shall be 160 MHz\_km at 850 nm and 500 MHz\_km at 1300 nm.

For single-mode use, the maximum dispersion shall be 3.2 ps/(nm\_km) from 1285 nm through 1330 nm and shall be 17 ps/(nm\_km) at 1550 nm.

<u>907-657.02.3--Outdoor Trunk Cables.</u> Optical fibers shall be placed inside a loose buffer tube. The nominal outer diameter of the buffer tube shall be 1/8 inch. Each buffer tube shall contain up to 12 fibers. The fibers shall not adhere to the inside of the buffer tube.

Each fiber shall be distinguishable from others by means of color coding in accordance with EIA/TIA-598-A, "Optical Fiber Cable Color Coding". The ink for coloring fibers shall be UV cured, no thermal inks shall be used in the coloring process. Buffer tubes containing fibers shall also be color coded with distinct and recognizable colors in accordance with EIA/TIA-598, "Optical Fiber Cable Color Coding". Buffer tube colored stripes shall be inlaid in the tube by means of co-extrusion when required. The nominal stripe width shall be one mm.

For dual layer buffer tube construction cables, standard colors are used for tubes 1 through 12 and stripes are used to denote tubes 13 through 24. The color sequence applies to tubes containing fibers only, and shall begin with the first tube. If fillers are required, they shall be placed in the inner layer of the cable. The tube color sequence shall start from the inside layer and progress outward.

In buffer tubes containing multiple fibers, the colors shall be stable during temperature cycling and not subject to fading or smearing onto each other or into the gel filling material. Colors shall not cause fibers to stick together.

The buffer tubes shall be resistant to external forces and shall meet the buffer tube cold bend and shrinkback requirements of 7 CFR 1755.900.

Fillers may be included in the cable core to lend symmetry to the cable cross-section where needed.

The central anti-buckling member shall consist of a glass reinforced plastic rod. The purpose of the central member is to prevent buckling of the cable.

Each buffer tube shall be filled with a non-hygroscopic, non-nutritive to fungus, electrically non-conductive, homogenous gel. The gel shall be free from dirt and foreign matter. The gel shall be readily removable with conventional nontoxic solvents.

Buffer tubes shall be stranded around the dielectric central member using the reverse oscillation, or "SZ", stranding process. Water blocking yarn(s) shall be applied longitudinally along the central member during stranding.

For single layer cables, a water blocking tape shall be applied longitudinally around the outside of the stranded tubes/fillers. The tape shall be held in place by a single polyester binder yam. The water blocking tape shall be non-nutritive to fungus, electrically non-

conductive and homogenous. It shall also be free from dirt and foreign matter. Dual layer cables shall be water blocked in a similar fashion.

Two polyester yam binders shall be applied contrahelically with sufficient tension to secure the buffer tube layer to the central member without crushing the buffer tubes. The binders shall be non-hygroscopic, non-wicking and dielectric with low shrinkage.

The cable shall contain at least one ripcord under the sheath for easy sheath removal.

Tensile strength shall be provided by high tensile strength aramid yams and/or fiberglass yams.

The high tensile strength aramid yams and/or fiberglass yams shall be helically stranded evenly around the cable core.

The cable shall be sheathed with medium density polyethylene. The minimum nominal jacket thickness shall be 0.055 inch. Jacketing material shall be applied directly over the tensile strength members and water blocking tape. The polyethylene shall contain carbon black to provide ultraviolet light protection and shall not promote the growth of fungus.

The jacket or sheath shall be free of holes, splits, and blisters. The cable jacket shall contain no metal elements and shall be of a consistent thickness. The cable jacket shall be marked with "Manufacturer' Optical Cable" sequential foot markings, year of manufacture. The actual length of the cable shall be within -0/+1% of the length markings. The marking shall be in contrasting color to the cable jacket. The height of the marking shall be approximately 0.10 inch.

The maximum pulling tension shall be 608 lbf during installation (short term) and 200 lbf long term installed.

The shipping, storage, and operating temperature range of the cable shall be  $-40^{\circ}$ F to  $+160^{\circ}$ F. The installation temperature range of the cable shall be  $-20^{\circ}$ F to  $+160^{\circ}$ F.

<u>907-657.02.4--Drop Cable (to Controllers, VMS, Camera locations).</u> Optical fibers shall be placed inside a single loose buffer tube. The buffer tube shall contain up to 12 fibers. The fibers shall not adhere to the inside of the buffer tube. Each fiber shall be distinguishable from others.

The colors shall be stable during temperature cycling an not subject to fading or smearing onto each other or into the gel filling material. Colors shall not cause fibers to stick together.

The buffer tube shall be filled with a non-hygroscopic, non-nutritive to fungus, electrically non-conductive, homogenous gel. The gel shall be free from dirt and foreign matter. The gel shall be readily removable with conventional nontoxic solvents.

The cable core interstices shall be filled with a water-blocking compound or water blocking strength members. The compound, if used in the design shall be a thixotropic gel containing a Super Absorbent Polymer (SAP) material. The gel shall be non-nutritive to fungus, electrically non-conductive and homogenous. The gel shall be free from dirt and foreign matter and shall be readily removable with conventional nontoxic solvents.

The cable shall contain at least one ripcord under the sheath for easy sheath removal. Tensile strength shall be provided by high tensile strength yams. The high tensile strength yams shall be helically stranded evenly around the central tube.

The cable shall be sheathed with UV resistant jacketing compound. The minimum nominal jacket thickness shall be 0.055 inch. Jacketing material shall be applied directly over the tensile strength members and water blocking compound. The jacketing material shall contain carbon black to provide ultraviolet light protection and shall not promote the growth of fungus. The jacket or sheath shall be free of holes, splits, and blisters.

The cable jacket shall contain no metal elements and shall be of a consistent thickness. The maximum diameter of the cable shall not exceed 0.39 inch. The cable jacket shall be marked with "'Manufacturer' Optical Cable," sequential foot markings, year of manufacture. The actual length of the cable shall be within -0/+1% of the length markings. The marking shall be in contrasting color to the cable jacket. The height of the marking shall be approximately 0.10 inch.

The maximum pulling tension shall be a minimum of 300 lbf during installation (short term) and 115 lbf long term installed.

The shipping, storage, and operating temperature range of the cable shall be  $-40^{\circ}$ F to  $+160^{\circ}$ F. The installation temperature range of the cable shall be  $-20^{\circ}$ F to  $+160^{\circ}$ F.

<u>907-657.02.4 - General Cable Performance Specifications for OSP Cables.</u> When tested in accordance with FOTP-3, "Procedure to Measure Temperature Cycling Effects on Optical Fiber, Optical Cable, and Other Passive Fiber Optic Components," the change in attenuation at extreme operational temperatures (-40°F to +160°F) shall not exceed 0.2 dB/km at 1550 nm for single-mode fiber and 0.5dB/km at 1300 nm for multimode fiber.

When tested in accordance with FOTP-82, "Fluid Penetration Test for Filled Fiber Optic Cable." a one meter length of unaged cable shall withstand a one meter static head or equivalent continuous pressure of water for one hour without leakage through the open cable end.

When tested in accordance with FOTP-81, "Compound Flow (Drip) Test for Filled Fiber Optic Cable", the cable shall exhibit no flow (drip or leak) of filling or flooding compound at 150°F.

When tested in accordance with FOTP-41, "Compressive Loading Resistance of Fiber Optic Cables, the cable shall withstand a minimum compressive load of 125 lbf/in applied uniformly over the length of sample. The load shall be applied at the rate of 1/8 inch to 3/4 inch per minute and maintained for 10 minutes. The change in attenuation shall not exceed 0.4 dB during loading and 0.2 dB after loading at 1550 nm for single-mode fiber and 1.0 dB during loading and 0.4 dB after loading at 1300 nm for multimode fiber.

When tested in accordance with FOTP-104, "Fiber Optic Cable Cyclic Flexing Test," the cable shall withstand 25 mechanical flexing cycles around a sheave diameter not greater than 20 times the cable diameter. The change in attenuation shall not exceed 0.1 dB at 1550 nm for single-mode fiber and 0.3 dB at 1300 nm for multimode fiber.

When tested in accordance with FOTP-25, "Repeated Impact Testing of Fiber Optic Cables and Cable Assemblies," the cable shall withstand 25 impact cycles. The change in attenuation shall not exceed 0.2 dB at 1550 nm for single-mode fiber and 0.3 dB at 1300 nm for multimode fiber.

When tested in accordance with FOTP-33, "Fiber Optic Cable Tensile Loading and Bending Test," using a maximum mandrel and sheave diameter of 22 inches, the cable shall withstand a tensile load of 608 lbf. The change in attenuation shall not exceed 0.2 dB during loading and 0.1 dB after loading at 1550 nm for single-mode fiber and 0.5 dB during loading and 0.2 dB after loading at 1300 nm for multimode fiber.

When tested in accordance with FOTP-85, "Fiber Optic Cable Twist Test," a length of cable no greater than 12.5 feet will withstand 10 cycles of mechanical twisting. The change in attenuation shall not exceed 0.1 dB at 1550 nm for single-mode fiber and 0.2 dB at 1300 nm for multimode fiber.

When tested in accordance with FOTP-37, "Low or High Temperature Bend Test for Fiber Optic Cable", the cable shall withstand four full turns around a mandrel of 10 times the cable diameter after conditioning for four hours at test temperatures of -20°F and +140°F. Neither the inner or outer surfaces of the jacket shall exhibit visible cracks, splits, tears or other openings. Optical continuity shall be maintained throughout the test.

<u>907-657.02.5--Quality Assurance Provisions.</u> All optical fibers shall be proof tested by the fiber manufacturer at a minimum load of 100 kpsi. All optical fibers >3000 feet shall be 100% attenuation tested. The attenuation of each fiber at both operational windows shall be provided with each cable reel. The cable manufacturer shall be ISO 9001 registered.

**907-657.02.6--Packaging.** The completed cable shall be packaged for shipment on nonreturnable wooden reels. Top and bottom ends of the cable shall be available for testing. Both ends of the cable shall be sealed to prevent the ingress of moisture. Each reel shall have a weather proof reel tag attached identifying the reel and cable.

## 907-657-03--Construction Requirements.

<u>907-657.03.1--Pre-Terminated Drop Cable Assemblies.</u> These assemblies shall be employed when connecting a camera, controller, VMS or other device the main cable when mid-span access techniques are used.

Cable used for Drop cable assemblies shall conform to Subsection 907-657.02.4.

Assemblies shall be factory assembled & terminated on one end with ceramic ferrule, ST compatible, heat cured epoxy connectors with an operational temperature of -40°F to +160°F. Each connector shall have a minimum of a 1-inch strain relief boot.

Insertion loss for each connector shall not exceed 0.30 dB for both single mode and multimode assemblies. Return loss for single mode connectors shall be >-45dB. Each assembly is to be fully tested and those test results placed on a test tag for each assembly. Each assembly is to be individually packaged within a box or reel, with the submitted manufacturer's part number marked on the outside of the package.

Individual 250  $\mu$ m coated fibers shall be up-jacketed to 1/8-inch using fan-out tubing. This tubing shall contain a 900  $\mu$ m Teflon inner tube, aramid yam strength members, and an outer jacket. The fan-out tubing shall be secured to the cable in an hard epoxy plug transition. Length of the individual legs shall be a minimum of three feet with the length difference between the shortest and longest legs of the assembly being no more than two inches.

#### 907-657.03.2--System Configuration.

**907-657.03.2.1--Drop & Insert Applications.** Signal from the TMC to local controllers, Cameras, and/or Variable Message Signs will be conveyed via the backbone & branch cables in a closed loop configuration. At each controller, the applicable fibers will be routed in & out of the applicable housing via a mid-span access splice point and a pre-terminated drop cable. Only fibers required for the drop & insert shall be cut, no other fibers in the cable shall be cut without the direction of the Engineer.

Fibers shall be routed to equipment via an Aerial Drop Cable Kit, PN DK111-B0431-XXX or Undergrade Drop Cable Kit, PN DK211-B0431-XXX, (where XXX denotes length of Drop pigtail in feet) or equivalent. Drop Kit shall contain appropriate closure, splice tray, splice protection, and a preterminated drop cable of specified length, and shall also include a 12-port fiber distribution cabinet and appropriate interconnect adapters and jumpers. Shall also include a 12 port fiber distribution cabinet and appropriate interconnect adapters and jumpers. Splicing shall be fusion or mechanical. Splice loss in either case shall be 0.25 dB, measured in one direction only with an OTDR at 850 nm for multi-mode and 1310 for single-mode.

Mechanical splice, if used shall be 3M Fibrlok Optical Fiber Splice or approved equal. The splice shall be stored in a splice organizer/tray specifically designed for the mechanical splice used. Fusion splices shall be protected using heat shrink protective sleeves and stored in a splice organizer/tray specifically designed for the protection device.

**907-657.03.2.2--Point-to-Point Applications.** Signal to the local controllers will be conveyed by routing fiber optic cable directly between equipment with an appropriate fiber count cable and be directly terminated with Field installable ST compatible connectors. At the end points, the cable shall be terminated via one of two methods:

For direct connect applications, the fiber optic cable shall be terminated using a Spider Fanout Kit. Any substitute termination method must have at a minimum 24 inches of 1/8-inch fan-out material jacketing for fiber protection, provide for central member strain relief, provide for antirotation and pull out. Field installable ST compatible connectors will be terminated on the fan-out and connected directly to the transmission equipment.

For cross connect applications inside controller cabinets, the fiber optic cable shall be terminated using a 900-µm fanout modular design for the fiber count being terminated. The non-metallic fan-out shall attach directly to the buffer tube and transition the 250µm coated fibers into the fan-out tubing. The fanout shall be housed in a Wall Mount Distribution cabinet equipped with the appropriate number of adapters. The fibers shall be connected to the transmission equipment via ST/ST fiber optic patch cables. This hardware scheme shall also be utilized for wall mount applications.

## 907-657.03.2.3--Fiber Optic RS-232 Communications.

Fiber Optic Data Transceiver. The Transceiver(s) shall be located at the TMC and communicate directly with the traffic controllers in the closed loop system(s). They shall be rack mountable. The fiber optic data transceiver shall be compatible with the internal modem(s) installed internally in each traffic controller. The unit shall provide transmission of RS-232 data signals over standard 62.5/125 multi-mode fiber optic cable. Transceiver shall have L.E.D. receive and transmit indicators. Transceivers shall be capable of being linked together in either repeater or star configurations. Unit shall provide for transmission distances of up to 13,000 feet without requiring manual adjustments or line attenuators. All printed circuit boards shall be manufactured from Mil Grade specification circuit board material. Housing shall be all metal construction with all connections identified with silk screened labels. Unit shall be available in both rack mount and surface mount versions. Units shall have solid state limiters on all power lines which shall provide for automatic reset. Rack mount configurations shall have an internal D.C. power supply and a short circuit 'in one unit shall not affect the operation of other units powered from the common power supply. Rack mount units shall be hot swappable with no risk of damage to other units during replacement. Transceiver shall be U.L. listed and shall have the following features and characteristics:

Data Rate: DC-64 kbaud (NRZ)

Wavelength: 850 nm No. of Fibers: 2 fibers

Size: 4.2 x 3.5 x 1.0 (Rack Mount 7.7 x 5.0 x 1.0)

Operating Temperature:  $-5^{\circ}F$  to  $+160^{\circ}F$ 

Bit Error Rate: 1 in E+9

Operating Mode: Asynchronous, simplex, or full duplex

Input/Output Level:  $\pm 3.75$  to  $\pm 12$  volts

Input/Output Impedance: Per RS-232 specification

Optical Budget: 14 dB (less 4 dB for 50/125 fiber)

Output Power: 25 W (-16 dBm) Input Sensitivity: 1 W (-30 dBm)

Connectors:

Optical AT&T type ST

RS-232 Female plug with screw clamps or adapter to DB-9 connector.

Power:

Rack 115 VAC  $\pm 10\%$ , 60Hz PS-12 DC Stand-alone 115 VAC  $\pm 10\%$ , 60Hz with module or

+9 to 15 VDC @ 100 mA.

**Fiber Optic Rack Mount Enclosure.** The fiber optic rack shall be compatible with the RS-232 transceivers above. The unit shall provide 14 mounting slots for standard 1-inch wide rack mount version fiber optic modules. It shall have heavy duty construction and shall mount in industry standard equipment racks that accommodate 19-inch wide rack mount panels. Unit shall include a 20 VAC C.T. transformer power supply. All compatible rack mount fiber optic modules shall have an internal D.C. power supply with solid state limiters which provide automatic reset and a short circuit in one unit shall not affect operation of other units powered from the common power supply. Modules shall be hot swappable with no risk of damage to other units during replacement. Rack shall be U.L. listed and shall have the following features and characteristics:

Input Voltage: 115 VAC (line cord)
Power Supply: 20 VAC C.T. @ 2.8A

Number of Module Slots: 14 slots

Size (in.) 19.0 W x 5.2 H x 7.0 D

Operating Temperature:  $-5^{\circ}F$  to  $+160^{\circ}F$ 

Fusing: 1 A slow-blow (plug-in modules individually fused)

907-657.03.3--Fiber Optic Patch Cables(Jumpers). Any patch cords used for system configuration shall be compatible with fiber types and connectors specified herein. Multimode patch cords shall be orange in color. Single-mode patch cords shall be yellow in color. Jacketing material for both shall conform to the appropriate NEC requirement for the environment in which installed. All cordage shall incorporate a 900-μm buffered fiber, aramid yam strength members, and an outer jacket. Patch cords may be simplex or duplex, depending on the application. Multimode cordage attenuation shall be 3.75 dB/km @ 850 nm, 1.5 dB/km @ 1300 nm; for single-mode fibers shall be 1.0 dB/km @ 1310 nm, 0.75 dB/kin @ 1550.

907-657.03.4--Fiber Optic Connectors. All connectors used in the communication system shall be ST compatible, ceramic ferrule connectors. Factory terminated connectors shall be heat cured epoxy type with a maximum measured loss of 0.30 dB; Field installable connectors may be heat cured epoxy or no polish cleave & crimp technology, with a maximum measured loss of 0.50 dB per mated pair. The operating temperature of all connectors in the system shall be -40°F to +160°F with no more than a 0.20 dB change across the temperature range.

### **907-657.03.5--Fiber Optic Closures.**

<u>907-657.03.5.1--OSP Closures for Aerial, Pole Mount, Pedestal, and Hand Hold Environments.</u> OSP closures for aerial, pole mount, pedestal, and hand hold shall be capable of accepting up to six cables in a butt splice. The closures shall be capable of storing up to 90-inch lengths of expressed buffer tubes (8).

Assembly shall be accomplished without power supplies, torches, drill kits or any special tools. Reentry shall require no additional materials. Sealing shall be accomplished by enclosing the splices in a polypropylene dome that is clamped together with a stainless steel latch and sealed with an O-ring.

Closure shall be capable of strand mounting with the addition of a strand mounting bracket.

Splice case shall be non-filled (no encapsulate), will prevent water intrusion and shall allow re-entry without any special tools. The closure shall be capable of preventing a 10-foot water head from intruding into the splice compartment for a period of seven days. Testing of the closure is to be accomplished by the placing of the closure into a pressure vessel and filling the vessel with tap water to cover the closure. Apply continuous pressure to the vessel to maintain a hydrostatic head equivalent to 10 feet on the closure and cable. This process shall be continued for seven days. Remove the closure, open to check for the presence of water. Any intrusion of water in the compartment containing the splices constitutes a failure. It is the responsibility of the Contractor to insure that the water immersion test has been performed by the manufacturer or an independent testing laboratory, and the appropriate documentation has been submitted to the Engineer.

<u>907-657.03.5.2--OSP Closures for Buried Environments.</u> OSP closures for buried applications will incorporate the following features.

Splice case must handle up to four cables. A butt adapter, if applicable could be used to increase capacity to eight cables.

Splice case shall be non-filled (no encapsulate), will prevent water intrusion and shall allow re-entry without any special tools. The closure shall be capable of preventing a 10-foot water head from intruding into the splice compartment for a period of seven days. Testing of the closure is to be accomplished by the placing of the closure into a pressure vessel and filling the vessel with tap water to cover the closure. Apply continuous pressure to the vessel to maintain a hydrostatic head equivalent to 10 feet on the closure and cable. This process shall

be continued for seven days. Remove the closure, open to check for the presence of water. Any intrusion of water in the compartment containing the splices constitutes a failure. It is the responsibility of the Contractor to insure that the water immersion test has been performed by the manufacturer or an independent testing laboratory, and the appropriate documentation has been submitted to the Engineer.

The closure shall be capable of accommodating splice organizers which accept mechanical, single fiber fusion, or multi fiber splices. The closure shall have provisions for storing fiber splices and unspliced fiber/buffer tubes. The closure shall hold a minimum or 2 splice trays to a maximum of 15 splice trays with each tray housing up to 24 splices. The closure shall be UL rated.

Closure re-entry and subsequent reassembly shall not require specialized tools or equipment.

For compression testing, the closure shall not deform more than 10% in its largest cross-sectional dimension when subjected to a uniformly distributed load of 396 lbf at 0°F and +100°F. The test shall be performed after stabilizing at the required temperature for a minimum of two hours. It shall consist of placing an assembled closure between two flat parallel surfaces, with the longest, closure dimension parallel to the surfaces. The weight shall be placed on the upper surface for minimum of 15 minutes. The measurement shall then be taken with the weight in place. It is the responsibility of the Contractor to insure that the compressions test has been performed by the manufacturer or an independent testing laboratory, and the appropriate documentation has been submitted to the Engineer.

907-657.03.6 - Fiber Optic Termination Hardware. For cross connect applications inside controller cabinets, the fiber optic cable shall be terminated using a 900-μm fanout modular design for the fiber count being terminated. The non-metallic fan-out shall attach directly to the buffer tube and transition the 250-μm coated fibers into the fan-out tubing. The fanout shall be housed in a Wall Mount Distribution cabinet equipped with the appropriate number of adapters. The fibers shall be connected to the transmission equipment via ST/ST fiber optic patch cables. This hardware scheme shall also be utilized for wall mount applications.

For rack mount applications, the fiber optic cable shall be terminated using a 900-µm fanout modular design for the fiber count being terminated. The non-metallic fan-out shall attach directly to the buffer tube and transition the 250-µm coated fibers into the fan-out tubing. The fan-outs shall be housed in a Fiber Distribution Center sized for 50% growth based on the initial installation. Appropriate panels for ST adapters shall be included based on the population of the fiber cable installed. If fusion or mechanical pigtail splicing is used for termination points, a splice housing with appropriate 900-µm pigtails and splice trays shall be used in conjunction with the Fiber Distribution Center.

<u>907-657.03.7--Installation.</u> All fiber optic components will be installed in accordance with the manufacturer's instructions. All necessary interconnections, services, and adjustments required for a complete and operable data transmission system shall be provided. All pole

attachments, service loops, and conduit risers will be placed to minimize the possibility of damage as well as to facilitate future expansion or modernization.

Cable between controllers shall be lashed to a 1/4-inch EHS messenger with stainless steel lashing wire for aerial installations. The installation will be accomplished in accordance with accepted OSP construction practices. Precautions shall be taken to insure the installation specifications for the cable are not exceeded(tension, minimum bend radius). The cable shall be marked with a orange weatherproof identifying tag at each pole location, with print "Caution, Fiber Optic Cable".

The cable shall be installed in continuous runs as indicated on the plans. Splices shall be allowed only at drop points and only those fibers necessary to complete the communication path shall be spliced(mid-span access). All other fibers in the cable(s) shall be left undisturbed; with a minimum of five feet of buffer tube coiled inside the closure.

Sufficient slack shall be left at each drop point to enable access of the cable components and splicing to occur on the ground (typical 2 times the strand height plus 15 feet). For undergrade installations, the following minimum slack requirements shall apply: 50 feet at the pull box or controller location for mid-spans, 15 feet for point to point applications for each cable. For aerial slack storage at splice points, a radius controlling device, commonly referred to as a SNO-SHOE shall be used for securing resulting cable slack at aerial splice points and shall be mounted directly to the strand.

For aerial cable runs exceeding 6-pole spans between splice points(indicated on the plans), two opposing SNO-SHOES shall be placed on the span 50 feet apart to provide for a 100-foot service loop for future drops and for slack for repair and pole relocations.

Drop Cable shall be routed to the controller cabinets via conduit risers as illustrated in the plans. The cable entrance shall be sealed to prevent water ingress.

The minimum requirement for fiber protection outside a fiber optic enclosure in ALL cases shall be 1/8-inch Fanout tubing, containing a hollow 900- $\mu$ m tube, aramid strength members and an outer jacket, and shall be secured to the cable sheath.

The minimum requirement for fiber protection inside wall mount or rack mount fiber enclosure shall be 900µm buffering, intrinsic to the cable in the case of tight buffered fibers, or in the case of 250-µm coated fibers, a fanout body & 900-µm tubing secured to the buffer tube(s).

During installation, even if the tension specifications for the cable are not exceeded, the first ten feet shall be discarded.

Warning tape shall be placed 12 inches above the cable not to deviate  $\pm 18$  inches from the centerline of the optical cable. Warning tape shall be at least two inches wide and colored orange.

Permanent above-ground markers shall be placed at line-of-sight intervals. Each marker shall be visible from each adjacent marker but separated by no more than 1,000 feet.

### 907-657.03.8--Testing and Documentation.

907-657.03.8.1--OTDR Testing. Prior to the installation, the contractor shall perform on-site on the reel testing. The contractor is required to test all fibers in each reel of cable prior to installation. This testing is fcr both continuity and attenuation. The tests shall be conducted at 850 nm for multimode fibers and at 1310 nm for single mode fibers. The testing shall be performed using an Optical Time Domain Reflectometer (OTDR) via a "pigtail" splice. The resultant OTDR trace(s) shall reflect overall length and attenuation expressed in db/km. All test results shall be withir. +3.0% of factory supplied attenuation measurements for multimode fibers and single mode fibers. Testing shall be done in one direction only. Hard copy or disk based (with applicable software) OTDR traces for the testing shall be supplied to the Engineerby the contractor prior to installation of cables. The contractor may opt to accept factory results and install cable at his own risk. In either case, On-the-reel test results shall be provided to the Engineerfor each cable installed.

Following installation, each section of the installed cable shall be tested for continuity and attenuation as indicated above. The traces shall demonstrate that no change in transmission characteristics has occurred during installation and that any splices meet the requirements herein. This testing can be done in conjunction with the ETE testing described below. The traces shall be included in the documentation package provided at the conclusion of the contract.

**907-657.03.8.2--Attenuation Testing.** Only connectorized spans will be tested for final Endto-End attenuation(power loss). The testing shall be performed at 850 nm and 1300 nm for multimode and at 1300 nm for single-mode fibers. The testing shall be conducted using "hand-held" optical test sets and shall be conducted using a two jumper reference. The testing shall be in one direction only. The results shall be tabulated and be include in the documentation package provided at the conclusion of the contract. Overall loss for each link shall not exceed the cumulative specified losses of the components in the link. (EXAMPLE, @ 850 nm, a 1-km link with 2 splices and a connector on each end shall not exceed 5.0 dB ((3.5dB + 0.25dB + 0.25dB + 0.5dB + 0.5dB))

At the conclusion of the contract, two copies of system documentation package shall be provided. It shall include at a minimum:

Post installation OTDR traces for each fiber.

End-to-End Attenuation measurement for each fiber.

A splice plan showing the location and configuration of any splices in the system as well as how the transmission scheme is set up.

Reference manuals for equipment provided.

<u>907-657.03.9--Training & Equipment.</u> After the installation is complete the Contractor shall provide formal classroom training and "hands-on" operations training for proper operation and maintenance of the fiber optic plant. The training shall be conducted by a trainer with a minimum of four years of experience in training personnel on the operation and maintenance of fiber optic systems. The training shall be provided for up to six personnel designated by the Engineer and shall be a minimum of one day in duration. All training materials shall be provided by the contractor.

<u>907-657.04--Method of Measurement.</u> Fiber optic cable, of the type specified, in place and accepted, will be measured by the linear foot, measured horizontally along the conduit or aerially along the messenger cable. No differentiation will be made for cable installed underground or aerially.

Fiber optic drop cable and video drop cable will be measured by the linear foot from the trunk line to the controller cabinet.

All required cabinet facilities shall not be measured for separate payment. All standard or special fiber optic modems, multiplexing devices, fan out boxes, splicing devices, warning tape, above ground markers, backplane facilities, twisted pair communications cable interface devices, etc., and any other cabinet modifications required for the fiber optic system shall be included in the price bid for other items of work.

<u>907-657.05--Basis of Payment.</u> Fiber optic cable, of the type specified, will be paid for at the contract unit price bid per linear foot, which price shall be full compensation for furnishing all materials, for all installing, connecting, cutting, pulling and testing and for all equipment, tools, labor and incidentals necessary to complete the work.

Payment will be made under:

907-657-A:	Fiber Optic Cable () () No. fibers No. / mode	- per linear foot
907-657-B:	Fiber Optic Drop Cable () No. / mode	- per linear foot
907-657-C:	Fiber Optic Video Drop Cable () No. / mode	- per linear foot

#### SPECIAL PROVISION NO. 907-668-5

CODE (SP)

**DATE:** 8/21/2000

SUBJECT: Traffic Signal Conduit (Underground), Roll Pipe

Section 908-668, Traffic Signal Conduit, of the Supplemental Specifications to the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction as amended by this special provision is applicable for Roll Pipe Conduit Only:

907-668.01--Description. This work consists of furnishing conduit of specified materials and dimensions and installing them in accordance with these specifications and the details shown on the plans, or directed. It shall include the furnishing and installing related hardware to be used in the construction of traffic signals.

#### 907-668.02--Materials.

907-668.02.1--General. The Roll Pipe Conduit (duct) shall be manufactured from virgin high density polyethylene. The addition of clean rework material is permissible if generated in the manufacturer's own plant. The ducts shall be supplied with either smooth, ribbed or corrugated walls and available in a multitude of colors. The duct shall be capable of being coiled (reeled) in continuous lengths, transported, stored outdoors and subsequently uncoiled for installation without affecting its properties or performance.

907-668.02.2--Environmental Performance. The duct shall perform in underground and above-ground installations in an ambient temperature range of minus 30°F to 130°F without degradation of material properties. The duct specified for aerial installation shall be ultraviolet light-resistant. The duct shall be capable of being bent to a minimum (supported) radius of 10 diameters.

<u>907-668.02.3--Workmanship.</u> The duct shall be free of visible cracks, holes or other physical defects that would degrade its performance. It shall be as uniform as practicable in respect to overall dimensions, color, density, thickness, etc.

<u>907-668.02.4--Color.</u> The duct for exposed areas (black) shall have a minimum of carbon black. All other colors shall have a UV light stabilizer which will protect the duct for a minimum of 12 months in direct sunlight.

<u>907-668.02.5--Markings.</u> The duct shall have a durable identification showing the name or trademark of the manufacturer, duct size (i.e., 2" IPS SCH-80), date and reference code. Duct for electrical cable should have "CAUTION – HIGH VOLTAGE" printed at regular intervals.

<u>907-668.02.6--Capping.</u> The open ends of each length of reeled duct shall be sealed by plastic caps to prevent the entrance of dirt and/or moisture.

<u>907-668.02.7--Dimensions.</u> The dimensions of the duct shall be as per ASTM Designation: D 3035. Wall thickness to be identified by either SDR, SIDR or SCH ratings. The manufacturer should advise the wall thickness required for a particular installation and/or conditions.

<u>907-668.02.8--Pull Rope and Lubricant.</u> The duct should be equipped with a factory installed rope or tape and prelubed to aid in the installation of cable. The rope can be provided with varying strengths as needed for a particular installation.

<u>907-668.02.9--Couplings</u>. Couplings should be available to quickly connect the duct and should be air and water-tight (i.e., Duct-Lok type couplings in either plastic or aluminum, depending on type of installation).

<u>907-668.02.10--Packaging.</u> The duct should be provided on lightweight metal reels in maximum lengths possible with no joints or splices. This will keep coupling requirements to a minimum. Special reel sizes and/or dimensions should be available for special installations.

<u>907-668.02.11--Corrosion.</u> The duct shall be resistant to most harsh chemicals and/or protected against degradation due to oxidation or general corrosion.

907-668.02.12--Installation. The duct shall be capable of being direct buried by plowing or trenching with no special consideration to using selective backfill. The duct shall also be capable of being encased in concrete pulled through a drilled hole.

<u>907-668.02.13--Tests on Resins and Finished Duct.</u> Lab tests on resins and finished products shall include, but are not limited to, the following:

ASTM-D3035	Polyethylene Plastic Pipe Based on Controlled Outside Diameter
ASTM-D1238	Flow Rates of Thermoplastics by Extrusion Plastometer
ASTM-D1505	Density of Plastics by the Density Gradient Technique
ASTM-D1693	Environmental Stress Crack Resistance (ESCR) of Polyethylene Pipe
ASTM-D1599	Short-Time Hydraulic Failure Pressure of Plastic Pipe
ASTM-D638	Tensile Properties of Plastics
ASTM-D2444	Impact Resistance of Plastic Pipe by Means of Tup.
ASTM-D2412	External Loading of Plastic Pipe

<u>907-668.03--Construction Requirements.</u> The duct shall be installed in accordance with the plans and the requirements of Subsection 908-668.03.

<u>907-668.04--Method of Measurement.</u> Signal conduit of the type specified will be measured by the linear foot computed horizontally along the signal conduit, complete in place; the measurement being made from the point of beginning to the point of termination of all sections of conduit, in trench, under roadways, or supported on structures. No extra length will be allowed for risers to controller cabinets, pole handholes, or pull boxes.

<u>907-668.05--Basis of Payment.</u> This item will be paid for at the contract unit price per linear foot for the various types and sizes of conduit, completed and accepted; which price shall be full compensation for furnishing, laying, placing, boring, connecting, cleaning and testing all conduit, and incidental materials; for all excavating, backfilling necessary for installations; final cleaning up; and for all labor, equipment, tools and incidentals necessary to complete the work.

Payment will be made under:

907-668-E: Traffic Signal Conduit (Type) (Roll Pipe) (Size)

- per linear feet

CODE: (IS)

SPECIAL PROVISION NO. 907-700-4

**DATE:** 8/17/95

**SUBJECT:** Buy America

Division 700, Materials and Tests, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

<u>907-700.01--General.</u> Delete the fourth paragraph of Subdivision 700.01 on page no. 700-1 and substitute the following:

Domestic steel, iron and wire products including prestressing cable and strand shall be furnished for incorporation in the work. All manufacturing processes, including application of a coating, for these materials must occur domestically. However, pig iron and processed, pelletized, and reduced iron ore manufactured outside of the United States may be used in the domestic manufacturing process for steel and/or iron products. For the purpose of this specification, the activity of coating is considered a manufacturing process. The material being applied as a coating is not covered under Buy America. Coating includes all processes which protect or enhance the value of the material to which the coating is applied, such as epoxy coatings, galvanizing, painting, etc.

Add the following paragraph at the end of Subdivision 700.01 on page no. 700-1.

In the case of coatings for the above referenced domestic steel, iron and wire products, it shall be the Contractor's responsibility to forward to the State Materials Engineer a certified statement from those having applied a coating to these materials that the application of the coating occurred domestically.

907-700.05--Material Certifications and Certified Test Reports.

<u>907-700.05.01-- Certifications.</u> Delete paragraph (e) of Subdivision 700.05.1 on page no. 700-6 and substitute the following:

(e) Certification for all iron, steel and steel wire products must also include a certified statement by the manufacturer that all of the manufacturing processes, excluding those for pig iron and processed, pelletized, and reduced iron ore used in the manufacture of said steel and/or iron products, have occurred domestically.

<u>907-700.05.2--Certified Test Reports.</u> Delete paragraph (d) of Subdivision 700.05.2 on page no. 700-6 and substitute the following:

(d) Test reports for all iron, steel and steel wire products must also include a certified statement by the manufacturer that all of the manufacturing processes, excluding those for pig iron and processed, pelletized, and reduced iron ore used in the manufacture of said steel and/or iron products, have occurred domestically.

SPECIAL PROVISION NO. 907-714-6

CODE: (IS)

**DATE:** 10/31/97

**SUBJECT:** Miscellaneous Materials

Section 714, Miscellaneous Materials, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

After the fifth line of Subsection 714.05.2 on page 714-4, add the following:

The available alkalis, as Na<sub>2</sub>O, not to exceed 1.5 percent.

Delete in toto Subsection 714.06 on page no. 714-4 and substitute:

907-714.06--Ground Granulated Blast Furnace Slag (GGBFS).

<u>907-714.06.1--General.</u> GGBFS shall be obtained from an approved source. The acceptance of GGBFS shall be based on certified test reports, certification of shipment from the supplier and tests performed on samples obtained after delivery in accordance with the Department's Standard Operating Procedures.

The Contractor shall provide suitable means for storing and protecting the GGBFS against dampness and contamination. Separate storage silos, bins or containers shall be provided for GGBFS. GGBFS which has become partially set, caked or contains lumps shall not be used.

The Engineer shall be notified in writing of the nature, amount and identity of any processing or other additions made to the GGBFS during production.

GGBFS from different mills shall not be mixed or used alternately in any one class of construction or structure without written permission from the Engineer; except that this requirement will not be applicable to cement treatment of design soils, subbases or bases.

In addition to these requirements, GGBFS shall meet the following specific requirements.

<u>907-714.06.2--Specific Requirements.</u> GGBFS shall meet the requirements of AASHTO Designation: M 302, Grade 120. GGBFS shall contain no chlorides.

CODE: (IS)

#### SPECIAL PROVISION NO. 907-716-1

**DATE:** 05/16/2002

**SUBJECT:** Miscellaneous Materials

Section 716, Miscellaneous Metals, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

Delete Subsection 716.04 on page 716-1 and substitute the following:

**907-716.04--Gray Iron Casings.** Gray iron castings shall conform to AASHTO Designation: M 105. Class 30B shall be furnished unless otherwise specified. For testing purposes a lot size shall be defined as the lesser of either a total of 35,000 pounds or one week's production for the Department. The test bars shall be made from a melt of iron used in production of units for the Department. The test bar length shall be a minimum of 16 inches.

#### 907-716.07--Copper Bearings and Sheet Copper.

Delete Subsection 716.07.1 on page 716-1 and substitute the following:

**907-716.07.1--Rolled Copper-Alloy Bearings and Expansion Plates**. Rolled copper-alloy bearings and expansion plates shall conform to ASTM Designation: B 100. Alloy UNS No. C51000 shall be furnished unless otherwise specified.

Delete Subsections 716.10 on page 716-2 and substitute the following:

<u>907-716.10--Lead Plates, Pipes, Etc.</u> Lead used for plates, pipes, etc. shall conform to ASTM Designation: B 29, Grade: Pure Lead.

#### 907-716.14--Bar Grates.

Delete Subsection 716.14.1 on page 716-3 and substitute the following:

<u>907-716.14.1--Material Requirements.</u> Plain round steel bars and strap bars shall conform to the following requirements:

B-9 Grates and Bar Grates: AASHTO Designation M 270, Grade 36. MI, GI, & SS-3 Grates: AASHTO Designation: M270, Grade 50W,

or as specified in the plans.

Delete the last paragraph of Subsection 716.14.2 on page 716-3 and substitute the following:

After fabrication, the bar grate shall be coated with an approved commercial quality coating designed for coating steel castings and fabricated units. The State Materials Engineer shall approve the coating material prior to application.

## MISSISSIPPI STATE HIGHWAY DEPARTMENT

SPECIAL PROVISION NO. 907-720-3

CODE: (IS)

**DATE:** 1/13/92

**SUBJECT: Performed Pavement Markings for Construction Zones** 

Section 720, Pavement Marking Materials, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

907-720.05.2.2--Type 2.

Delete lines 8 thru 18 on page no. 720-13 and substitute:

**Removability.** The markings shall be removable from asphalt and portland cement concrete pavement, either manually or with a roll-up device, at temperatures above 40°F. with the use of a small amount of controlled heat that does not damage the pavement. The manufacturer shall be able to show that the markings have met this requirement after the following minimum traffic exposure based on transverse test decks with rolling traffic:

Time in place ------ 365 days ADT per lane ------ 9,000 (28% Trucks) Minimum axle hits ----- 10,000,000

CODE: (IS)

SPECIAL PROVISION NO. 907-721-7

DATE: 01/18/2002

**SUBJECT:** Reflective Sheeting

Section 721, Materials for Signing, of the 1990 Edition of the Mississippi Standard Specifications for Road and Bridge Construction is hereby amended as follows:

Delete in toto Subsection 721.06 beginning on page 721-4 and ending on page 721-12, and substitute the following:

#### 907-721.06--Reflective Sheeting.

<u>907-721.06.1--General.</u> Retroreflective sheeting materials shall comply with all applicable requirements of ASTM Designation: D 4956, except as specifically modified herein, and must be listed on the Department's "Approved Sources of Materials".

Reflective sheeting shall be one of the following types.

- Type III A high-intensity retroreflective sheeting. This shall be an encapsulated glass-bead or unmetallized microprismatic retroreflective material. This sheeting shall have a protected, pre-coated, pressure-sensitive adhesive backing.
- <u>Type VII</u> A super high-intensity retroreflective sheeting. This shall be an unmetallized microprismatic retroreflective material. This sheeting shall have a protected, pre-coated, pressure sensitive adhesive backing.
- <u>Type VIII</u> A super high-intensity retroreflective sheeting. This shall be an unmetallized microprismatic retroreflective material. This sheeting shall have a protected, pre-coated, pressure sensitive adhesive backing.
- Type IX A very-high intensity retroreflective sheeting. This shall be an unmetallized, microprismatic retroreflective material. This sheeting shall have a protected, pre-coated, pressure sensitive, adhesive backing.

All other retroreflective sheeting shall be as shown in the plans.

**907-721.06.2--Performance Requirements**. The retroreflective sheeting shall have the following minimum brightness values at 0.2° and 0.5° observation angle (in addition 1.0° for Type IX sheeting) expressed as average candelas per footcandle per square foot of material.

Sheetings and inks processed and applied in accordance with the manufacturer's recommendations, shall perform effectively for the number of years stated below. The sheeting will be considered unsatisfactory if it has deteriorated due to natural causes to the extent that: (1) the sign is ineffective for its intended purpose when viewed from a moving vehicle under normal day and night driving conditions or (2) the coefficient of retroreflection

is less than the minimum specified for that sheeting during the periods listed below. For screen printed transparent colored areas on white sheeting, the coefficients of retroreflection shall not be less than 70% of the values for the corresponding color in the table.

Type III Sheeting Retain 85% of initial values listed in Table 1 through 7 years

Retain 80% of initial values listed in Table 1 between 7 & 10 years

Type VII Sheeting Retain 85% of initial values listed in Table 2 through 7 years

Retain 80% of initial values listed in Table 2 between 7 & 10 years Retain 50% of initial values listed in Table 2 through 3 years

(Fluorescent Orange)

Type VIII Sheeting Retain 85% of initial values listed in Table3 through 7 years

Retain 80% of initial values listed in Table 3 between 7 & 10 years

Retain 50% of initial values listed in Table 3 through 3 years

(Fluorescent Orange)

Retain 80% of initial values listed in Table 3 through 7 years

(Fluorescent Yellow/Green) (Fluorescent Yellow)

Type IX Sheeting Retain 85% of initial values listed in Table 4 through 7 years

Retain 80% of initial values listed in Table 4 between 7 & 10 years

Retain 80% of initial values listed in Table 4 for 7 years (Fluorescent

Yellow/Green)(Fluorescent Yellow)

#### MINIMUM COEFFICIENTS OF RETROREFLECTION

(Candela per foot candle per square foot) - cd/fc/ft<sup>2</sup> (Per ASTM E 810)

TABLE 1
Type III Sheeting

Observation Angle	Entrance Angle	White	Yellow	Orange	Green	Red	Blue	Brown
0.2°	-4°	250	170	100	45	45	20	12
0.2°	+30°	150	100	60	25	25	11	8.5
0.5°	-4°	95	62	30	15	15	7.5	5.0
0.5°	+30°	65	45	25	10	10	5.0	3.5

TABLE 2
Type VII Sheeting

Observation Angle	Entrance Angle	White	Yellow	Green	Red	Blue	Brown	Fluorescent Orange
0.2°	-4°	750	560	75	150	34	23	200
0.2°	+30°	430	320	43	86	20	10	85
0.5°	-4°	240	180	24	48	11	8	90
0.5°	+30°	135	100	14	27	6.0	4	50

TABLE 3
Type VIII Sheeting

Observation Angle	Entrance Angle	White	Yellow	Green	Red	Blue	Brown	Fluorescent Orange	Fluorescent Yellow/ Green	Fluorescent Yellow
0.2°	-4°	700	525	70	105	42	21	200	480	375
0.2°	+30°	325	245	33	49	20	10	85	240	170
0.5°	-4°	250	190	25	38	15	7.5	90	235	165
0.5°	+30°	115	86	12	17	7	3.5	50	110	85

TABLE 4
Type IX Sheeting

Observation Angle	Entrance Angle	White	Yellow	Green	Red	Blue	Fluorescent Yellow/ Green	Fluorescent Yellow
0.2°	-4.0°	380	285	38	76	17	325	240
0.2°	+30.0°	215	162	22	43	10	205	150
0.5°	-4.0°	240	180	24	48	11	240	165
0.5°	+30.0°	135	100	14	27	6.0	110	75
1.0°	-4.0°	80	60	8	16	3.6	65	45
1.0°	+30.0°	45	34	4.5	9	2.0	35	25

**907-721.06.3--Certification.** The Contractor shall require the supplier to furnish certified evidence and/or samples to the Engineer showing conformance to these requirements. Manufacturer's warranties or guarantees provided as customary trade practice shall be furnished the Department.

**907-721.06.4--Color**. Reflective sheeting shall meet the color requirements of ASTM Designation: D 4956. See Table 5 below for color specifications for fluorescent yellow green, fluorescent orange, and fluorescent yellow sheeting.

TABLE 5
Color Specification Limits for New Sheeting (Daytime)

	Chromaticity Coordinate			Chromaticity Coordinate	Total Luminance Factor Limit
Color	<u>1</u>	<u>2</u>	Coordinate 3	<u>4</u>	<u>Y?</u>
	<u>x</u> <u>y</u>	<u>X</u> <u>Y</u>	<u>x</u> <u>y</u>	<u>x</u> <u>y</u>	Min.
Fluorescent Yellow Green	0.387 0.610	0.460 0.540	0.438 0.508	0.376 0.568	60%
Fluorescent Orange	0.562 0.350	0.645 0.355	0.570 0.429	0.506 0.404	30%
Fluorescent Yellow	0.521 0.424	0.557 0.442	0.479 0.520	0.454 0.491	40%

**907-721.06.5--Adhesive**. The retroreflective sheeting shall include a pre-coated pressure sensitive adhesive (ASTM Designation: D 4956, Class I) applied without the necessity of additional adhesive coats on the retroreflective sheeting or application surface.

The Class I adhesive shall be a pressure sensitive adhesive of the aggressive tack type requiring no heat solvent or other preparation for adhesion to smooth clean surfaces.

The protective liner attached to the adhesive shall be removed by peeling without soaking in water or other solutions and shall be easily removed after accelerated storage for four hours at 160° F under a weight of 2.5 pounds per square inch.

<u>907-721.06.6--Additional Contract Requirements.</u> In addition to the above requirements, the following requirements are applicable only when the sheeting material is being purchased for use in the MDOT Sign Shop.

907-721.06.6.1--Sheeting Manufacturer's Replacement Obligation. Where it can be shown that retroreflective traffic signs with Type III, Type VII, Type VIII, or Type IX sheeting supplied and used according to the sheeting manufacturer's recommendations have not met the performance requirements, the sheeting manufacturer shall replace the sheeting required to restore the sign surface to its original effectiveness during the entire 10 years. In addition, during the first seven (7) years the manufacturer of Type III, Type VII, Type VIII, or Type IX sheeting shall cover the cost of restoring the sign surface to its original effectiveness at no cost to the Department for materials and labor for both sign manufacture and installation.

#### 907-721.06.6.2--Technical Assistance Requirements.

<u>Instruction and Training.</u> The manufacturer supplying the retroreflective sheeting shall provide at no additional cost the services of a qualified technician for instruction and training at the sign manufacturing facility. This instruction shall be provided bi-annually or when requested, and shall include but not be limited to training films, material application, equipment operation, silk screening techniques, packaging, storage, and other proven sign shop practices as they apply to the retroreflective sheeting supplied by the manufacturer, and to assure that the resulting signs can comply with the applicable specifications.

<u>Technical Service.</u> The sheeting manufacturer shall, without additional cost to the Department, provide the sign shop with competent technical service and product information including service on screen printing problems with the inks furnished by the manufacturer.

Equipment. The manufacturer supplying the retroreflective sheeting shall provide technical assistance for the recommended sheeting application equipment and certify that trained personnel shall be available on 72 hours notice to render such service necessary to adjust ink consistency or otherwise modify the application of silk screen equipment to accommodate use of manufacturer's sheeting. "Service" is understood to mean the capability of calibration and trouble shooting, as well as the training and retaining of personnel as required.

<u>907-721.06.6.3--Warranty</u>. Any warranties prepared by the manufacturer shall be included with the bid documents.

#### SPECIAL PROVISION NO. 906-3

## **Training Special Provisions**

This Training Special Provision supersedes subparagraph 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities," (Attachment 1), and is in implementation of 23 U.S.C. 140(a).

As part of the Contractor's equal employment opportunity affirmative action program training shall be provided as follows:

The Contractor shall provide on-the-job training aimed at developing full journeymen in the type of trade or job classification involved.

The number of trainees to be trained under this special provision will be as indicated in the bid schedule of the contract.

In the event that a Contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided, however, that the Contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The Contractor shall also insure that this training special provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

The number of trainees shall be distributed among the work classifications on the basis of the Contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment. Prior to commencing construction, the Contractor shall submit to the State highway agency for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the Contractor shall specify the starting time for training in each of the classifications. The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeymen status is a primary objective of this Training Special Provision. Accordingly, the Contractor shall make every effort to enroll minority trainees and women (e.g., by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent that such persons are available within a reasonable area of recruitment. The Contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the Contractor is in compliance with this Training Special Provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a

Page 2 of 3

S.P. No. 906-3 -- Cont'd.

journeyman. The Contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the Contractor's records should document the findings in each case.

The minimum length and type of training for each classification will be as established in the training program selected by the Contractor and approved by the State highway agency and the Federal Highway Administration. The State highway agency and the Federal Highway Administration shall approve a program if it is reasonably calculated to meet the equal employment opportunity obligations of the Contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved but not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the division office. Some offsite training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the Contractor will be reimbursed 80 cents per hour of training given an employee on this contract in accordance with an approved training program. As approved by the engineer, reimbursement will be made for training persons in excess of the number specified herein. This reimbursement will be made even though the Contractor receives additional training program funds from other sources, provided such other does not specifically prohibit the Contractor from receiving other reimbursement. Reimbursement for offsite training indicated above may only be made to the Contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training, provides the instruction to the trainee or pays the trainee's wages during the offsite training period.

No payment shall be made to the Contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyman, is caused by the Contractor and evidences a lack of good faith on the part of the Contractor in meeting the requirements of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program. It is not required that all trainees be on board for the entire length of the contract. A

Page 3 of 3

S.P. No. 906-3 -- Cont'd.

Contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the Departments of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provision.

The Contractor shall furnish the trainee a copy of the program he will follow in providing the training. The Contractor shall provide each trainee with a certification showing the type and length of training satisfactorily completed.

The Contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision.

#### **SPECIAL PROVISION NO. 906-4**

# 2000 MISSISSIPPI DEPARTMENT OF TRANSPORTATION ON-THE-JOB TRAINING PROGRAM

#### ALTERNATE TRAINING SPECIAL PROVISION

#### **PURPOSE**

The purpose of the On-The-Job Training (OJT) Program is to provide training for minority, female and economically disadvantaged individuals in order that they may develop marketable skills and gain journey status in the skilled craft classifications in which they are being trained.

#### **INTRODUCTION**

The Year 2000 OJT Program has been developed through the partnering efforts of the Road Builders of Mississippi, the Federal Highway Administration (FHWA) and the Mississippi Department of Transportation (MDOT).

The OJT Program has been designed for use by participating contractors and subcontractors in meeting their training needs. The objective of the OJT Program is to develop skilled workers in the skilled craft trade areas of highway construction who are sufficiently trained to be productive employees in the highway construction industry work force.

The success of the OJT Program will require that contractors and subcontractors follow uniform and basic procedures in training in keeping records of trainees' progress toward journey status, and in reporting trainees' successful completion or termination from the program.

#### **FUNDING**

MDOT will establish an annual OJT Fund in which, contractors and subcontractors may bill the Department directly for hours worked by trainees. The funding source of this money will be state and federal funds for MDOT's OJT Program, with a cap of \$50,000.00.

## **PROCEDURE**

Trainee positions will be selected by prime and sub contractors and will not be project specific. Provided below are some of the factors that will be used to establish the number of trainee positions each contracting year, they are:

- number of contracts let during a contracting year
- dollar volume
- type of project
- location
- available trainees
- training program(s) submitted by contractor

Each contractor will submit a yearly certification with regard to their participation in the OJT Program. This certification will also identify the number of trainees each prime or sub contractor intends to train on either federal or state funded highway projects.

#### **DISBURSEMENT OF FUNDS**

Contractors will be paid \$3.00 rate for each hour of training performed by <u>all</u> trainees in an approved training program. Program reimbursements will be made directly to the prime or sub contractor. Request for payment will be submitted to the Contract Administration Office for approval.

Contractors must complete the form providing the following information to be reimbursed. Contractor's Name Mailing Address Trainee Name Social Security Number Type of Program \_\_\_\_\_ Total Number of Training Hours Required \_\_\_\_\_ Training Hours Completed for Reimbursement \_\_\_\_\_ Quarterly \_\_\_\_\_ Annual \_\_\_\_ Monthly \_\_\_\_\_ Type of Statement: Work Period or Project Number Total Hours Cumulative Number of Time Frame Worked By Hours in Hours to be Project paid on this Program Voucher I hereby certify that this information is true... (Must have customary certification of information). Signed by: \_\_\_\_\_\_ Date: \_\_\_\_\_

#### TRAINING PROGRAM APPROVAL

- A. To use the OJT Program on highway construction projects, the contractor will notify the Department Contract Administration Office using the Request for Onthe-Job Training Program Approval. The notification must include the following information:
  - Trainee Starting Date
  - Project number (s) trainee starting on
  - Training program (classification) to be used; and
  - Anticipated date of trainee employment
  - Number of classroom training hours by subject

- B. If a contractor chooses to use a training program different from those listed in the OJT Program, or desires to train in a different classification, the training program must be submitted in its entirety for approval by the Department and FHWA. The training proposal must include the following:
  - 1. The primary objective of the program: To provide training for minority, female and economically disadvantaged individuals for development to full journey status in the work classifications in which they are being trained.
  - 2. The minimum number of hours and type of training the trainee will receive as it relates to each specific task required to achieve journey status.
  - 3. Minimum wage.
  - 4. Trainee certification of completion.
  - 5. Records and reports submitted to the Contract Administration Office on a quarterly basis.

#### **DEPARTMENT RESPONSIBILITY**

- 1. Department project staff will monitor trainees on the project. They will monitor payrolls for payment of correct wage rates and fringe benefits. The Contract Administration Office will maintain a master list by contractor name, project number, trainee name and trainee social security number to aid project staff in monitoring trainees who work on multiple projects.
- 2. The Contract Administration Office may elect to interview trainees periodically during the training period to assess their performance and training program. To facilitate the interviews, the Contract Administration Office will contact contractors for the location of the trainees.

#### **CONTRACTOR RESPONSIBILITY**

- 1. Trainees must be identified on payrolls (i.e. dragline trainee).
- 2. The contractor will submit a quarterly report of training hours completed by trainees to the Contract Administration Office by the tenth working day of the first month of the new quarter using the Federal-Aid Highway Construction Contractors Monthly Training Report form (CAD-322). The trainee must also be provided a copy of the report.
- 3. When any trainee completes a program, or is terminated for a reason or reasons other than successful completion, the contractor must include the date of completion or an explanation for the termination and date of termination on the quarterly training report.
- 4. The contractor will assign each trainee to a particular person--either a supervisor or a journeyman/woman who is proficient in the craft the trainee is being trained in, to ensure that timely instructional experience is received by the trainee. This person, cooperating with the appropriate company personnel, will see that proper

- records and the total intended training hours are completed during the allocated number of hours set up in the classification criteria.
- 5. The contractor has the prerogative of terminating the training period of the trainee and advancing the trainee to journey status. Approval requests must be submitted to the Contract Administration Office with an explanation (*refer to 2 above*).
- 6. Upon notification from the contractor, the Department will issue a certificate of completion to the trainee.
- 7. Trainees may be transferred to state-aid highway construction projects in order to complete the training program. If transfers are made the Contract Administration Office must be notified on the Quarterly Reporting Form. All of the training hours completed by trainees will count toward overall program completion.
- 8. Program reimbursements will be made directly to the prime or sub contractor.

## **CLASSROOM TRAINING**

- 1. Classroom training programs must be pre-approved by the Department, if the contractor wishes to count the hours toward the trainee's training program.
- 2. Contractors will be reimbursed for classroom training hours after the trainee has completed <u>20</u> hours of work on a highway construction project.
- 3. Reimbursement for classroom training will be limited to <u>40</u> hours per trainee per construction season.
- <u>NOTE:</u> All proposed classroom training must be submitted as part of the trainee's OJT training program.

#### WAGE RATE

- 1. The wage rate for all trainees is \$5.15, during their OJT training program. Trainees shall be paid full fringe benefit amounts, where applicable. At the completion of the training program, the trainee shall receive the wages of a skilled journey.
- 2. For the purpose of this training program, a quarter does <u>not</u> represent three months. The first two quarters of a 500-hour training program would end after 250 hours. On a 750-hour training program, the first two quarters would end after 375 hours, the third quarter after 560 or an additional 186 hours or work and the fourth after 750 hours.

## **JOURNEY WORKER RATIO**

The ratio of trainee to journey will be less than 1:4 and not more than 1:10.

#### RECRUITMENT AND SELECTION PROCEDURES

A. Prerequisites for Trainees

To be qualified for enrollment in the OJT Program, trainees must possess basic physical fitness for the work to be performed, dependability, willingness to learn and ability to follow instructions.

#### B. Licenses

Truck driver trainees must possess appropriate driver permits or licenses for the operation of Class A, B and C trucks. However, when an instructional permit is used in lieu of a license, the trainee must be accompanied by an operator who:

- 1. Holds a license corresponding to the vehicle being operated;
- 2. Has had at least one year of driving experience; and
- 3. Is occupying the seat next to the driver.

#### C. Recruitment

- 1. Notices and posters setting forth the contractor's Equal Employment Opportunity Policy and availability of training programs will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- 2. The contractor must target minority, female or economically disadvantaged trainees.
- 3. The contractor will conduct systematic and direct recruitment through public and private employee referral sources. Contractors must submit the trainee's name and completed application form to the Contact Administration Office for review and approval. Approval must be obtained before the trainee can begin work under the training program.
- 4. Present employees will be screened for upgrading.

#### D. Selection

- 1. The selection and employment of a person by participating contractor shall qualify the person for the OJT Program.
- 2. Selection will be made without regard to race, color, religion, sex, age or national origin and shall be completely nondiscriminatory.
- 3. Employment of trainees will be in accordance with the work force requirements of the contractor. Each contractor will hire and train the trainees for uses in their own organization.
- 4. Written certification of individuals under the category of economically disadvantaged can be provided to the contractor at the time of the interview. This certification must then be provided to the Contract Administration Office with the other required information as part of the approval process for trainees.

• <u>NOTE:</u> The OJT Program is to provide training for minority, female and economically disadvantaged individuals in order that they may develop marketable skills and gain journey status in the skilled craft classifications in which they are being trained. However, this program does not exclude trainees that are not members of the above groups.

#### SECTION 905 - PROPOSAL

Data

	Date
Mississippi Transportation Commission Jackson, Mississippi	
Sirs: The following proposal is made on behalf of	
of	
	( ) 1

for constructing the following designated project(s) within the time(s) hereinafter specified.

The plans are composed of drawings and blue prints on file in the offices of the Mississippi Department of Transportation, Jackson, Mississippi.

The Specifications are the current Standard Specifications and Supplemental Specifications of the Mississippi Department of Transportation approved by the Federal Highway Administration, except where superseded or amended by the plans, Special Provisions and Notice(s) to Bidders attached hereto and made a part thereof.

I (We) certify that I (we) possess a copy of said Standard and Supplemental Specifications.

Evidence of my (our) authority to submit the Proposal is hereby furnished. The proposal is made without collusion on the part of any person, firm or corporation. I (We) certify that I (we) have carefully examined the Plans, the Specifications, including the Special Provisions and Notice(s) to Bidders, herein, and have personally examined the site of the work. On the basis of the Specifications, Special Provisions, Notice(s) to Bidders, and Plans, I (we) propose to furnish all necessary machinery, tools, apparatus and other means of construction and do all the work and furnish all the materials in the manner specified. I (We) understand that the quantities mentioned herein are approximate only and are subject to either increase or decrease, and hereby propose to perform any increased or decreased quantities of work at the unit prices bid, in accordance with the above.

Attached hereto is a certified check, cashier's check or Proposal Guaranty Bond in the amount as required in the Advertisement (or, by law).

INSTRUCTION TO BIDDERS: Alternate and Optional Items on Bid Schedule.

- 1. Two or more items entered opposite a single unit quantity WITHOUT DEFINITE DESIGNATION AS "ALTERNATE ITEMS" are considered as "OPTIONAL ITEMS". Bidders may or may not indicate on bids the Optional Item proposed to be furnished or performed WITHOUT PREJUDICE IN REGARD TO IRREGULARITY OF BIDS.
- 2. Items classified on the bid schedule as "ALTERNATE ITEMS" and/or "ALTERNATE TYPES OF CONSTRUCTION" must be preselected and indicated on bids. However, "Alternate Types of Construction" may include Optional Items to be treated as set out in Paragraph 1, above.
- 3. Optional items not preselected and indicated on the bid schedule MUST be designated in accordance with Subsection 907-102.06 prior to or at the time of execution of the contract.
- 4. Optional and Alternate items designated must be used throughout the project.

I (We) further propose to perform all "force account or extra work" that may be required of me (us) on the basis provided in the Specifications and to give such work my (our) personal attention in order to see that it is economically performed.

#### SECTION 905 -- PROPOSAL (CONTINUED)

I (We) further propose to execute the attached contract agreement (Section 902) as soon as the work is awarded to me (us), and to begin and complete the work within the time limit(s) provided for in the Specifications and Advertisement. I (We) also propose to execute the attached contract bond (Section 903) in an amount not less than one hundred (100) percent of the total of my (our) part, but also to guarantee the excellence of both workmanship and materials until the work is finally accepted.

I (We) enclose a certified check, cashier's check or bid bond for **five percent (5%) of total bid** and hereby agree that in case of my (our) failure to execute the contract and furnish bond within Ten (10) days after notice of award, the amount of this check (bid bond) will be forfeited to the State of Mississippi as liquidated damages arising out of my (our) failure to execute the contract as proposed. It is understood that in case I am (we are) not awarded the work, the check will be returned as provided in the Specifications.

Bidder acknowledges receipt of the following addendum (addenda):	f and has added to and made	a part of the	proposal and con	tract documents
ADDENDUM NO. DATED ADDENDUM NO. DATED	ADDENDU ADDENDU	JM NO JM NO	DATED DATED	
	TOTAL ADDENDA:	(Must agree	with total adden r to opening of bi	da
	Respectfully submitted,		Contractor	
	BY			
	ADDRESS			
Date				
(To be filled in if a corporation.)				
Our corporation is chartered und titles and business addresses of the execu				_ and the names,
President		Address		
Secretary		Address		

Address

The following is my (our) itemized proposal.

Treasurer

Revised 11/26/2003

PROPOSAL (Sheet No. 2- 1)

TRAFFIC SIGNAL IMPROVEMENTS AT VARIOUS LOCATIONS ON US HIGHWAY 51, MS HIGHWAY 302 AND STATELINE ROAD, KNOWN AS FEDERAL AID PROJECT NO. STP-0499-00(002) / 103836, IN THE COUNTY OF DESOTO, STATE OF MISSISSIPPI.

I (We) agree to complete the entire project within the specified contract time.

#### \*\*\* SPECIAL NOTICE TO BIDDERS \*\*\*

# BIDS WILL NOT BE CONSIDERED UNLESS BOTH UNIT PRICES AND ITEM TOTALS ARE ENTERED

# BIDS WILL NOT BE CONSIDERED UNLESS THE BID CERTIFICATE LOCATED AT THE END OF THE BID SHEETS IS SIGNED BID SCHEDULE

#### REF. PAY ADJ. APPROX. UNIT PRICE ITEM TOTAL NO. ITEM NO. CODE QUANTITY UNIT DESCRIPTION DOLLAR CENT DOLLAR CENT DIRECT PAY ITEMS (10) 618-B Additional Construction Signs 10 0000 0 100 0 square foot (20) 907-639-B 5 each Traffic Signal Equipment Pole Shaft Extension (10-foot) (Video Camera Mount) (30) 907-640-B 106 each Traffic Signal Heads (Type 1) LED (40) 907-640-B 28 each Traffic Signal Heads (Type 7) LED

(08/26/2003)

PROPOSAL (Sheet No. 2- 2)

STP-0499-00(002) /103836

Desoto County

REF.	PAY	ADJ.	APPROX.			UNIT PI	RICE	ITEM TO	TAL
NO.	ITEM NO.	CODE	QUANTITY	UNIT	DESCRIPTION	DOLLAR	CENT	DOLLAR	CENT
(50)	908-642-A 13 each		each	Solid State Traffic Actuated Controllers (Type 8A)					
					Concrotters (Type ox)				
(60)	908-644-A		65	each	Optical Detector				
(70)	908-644-B		11,768	linear	Optical Detector Cable				
				foot					
(80)	908-644-C		17	each	Phase Selector (4 Channel)				
(90)	907-647-A		62	each	Pullbox (Type 3)				_
(20)			-						
(100)	000 645 3				Dellih (Mare . 4)				
(100)	907-647-A		2	each	Pullbox (Type 4)				
(110)	907-650-A		5	each	On Street Video Equipment				

PROPOSAL (Sheet No. 2- 3)

STP-0499-00(002) /103836

Desoto County

REF.	PAY	ADJ.	APPROX.			UNIT P	RICE	ITEM TO	TAL
NO.	ITEM NO.	CODE	QUANTITY	UNIT	DESCRIPTION	DOLLAR	CENT	DOLLAR	CEN
(120)	907-654-A		6	each	Battery Back-up System				
(130)	907-657-A				Fiber Optic Cable (48 Fiber)(Single Mode)				
				foot	Mode)				
(140)	907-657-A		47,021	linear	Fiber Optic Cable (48 Fiber)(Single				
				foot	Mode)				
(150)	907-657-в		1,842	linear	Fiber Optic Drop Cable (12 Fiber)				
				foot					
(160)	907-657-C		1,640	linear	Fiber Optic Video Drop Cable (12				
				foot	Fiber)				
(170)	908-666-B		470	linear	Electric Cable (Underground in				
				foot	Conduit)(IMSA 20-1)(AWG 8), 2 Conductor				
(180)	908-668-A		1,020	linear	Traffic Signal Conduit (Underground)				
				foot	(Type 4) (2")				

STP-0499-00(002) /103836 PROPOSAL (Sheet No. 2- 4)

Desoto County

REF.	PAY	ADJ.	APPROX.			UNIT PR	ICE	ITEM TOT	'AL
NO.	ITEM NO.	CODE	QUANTITY	UNIT	DESCRIPTION	DOLLAR	CENT	DOLLAR	CENT
(190)	908-668-C		_	linear foot	Traffic Signal Conduit (Aerial Supported)(Type 1)(2")				
(200)	907-668-E			linear foot	Traffic Signal Conduit (Underground), Rolled Pipe, (2")				
(210)	907-668-E		· ·	linear foot	Traffic Signal Conduit (Underground Drilled or Jacked), Rolled Pipe, (2")				

SUBTOTAL - DIRECT PAY	ITEMS\$	
-----------------------	---------	--

PROPOSAL (Sheet No. 2- 5)

STP-0499-00(002) /103836

Desoto County

REF.	PAY	ADJ.	APPROX.			UNIT PRI	CE	ITEM TOT	'AL
NO.	ITEM NO.	CODE	QUANTITY	UNIT	DESCRIPTION	DOLLAR	CENT	DOLLAR	CENT
	•				•				
					DEDENDENII DAY THEMS				
					DEPENDENT PAY ITEMS				
(220)	618-A			lump sum	Maintenance of Traffic	xxxxxxxxx	xxxx		
						xxxxxxxxx	xxxx		
						xxxxxxxxxx	XXXX		
						XXXXXXXXXX	XXXX		
(230)	620-A			lump sum	Mobilization	xxxxxxxxx	xxxx		
						xxxxxxxxx	xxxx		
						xxxxxxxxx	xxxx		
						xxxxxxxxx	xxxx		

SUBTOTAL - DEPENDENT ITEMS.....\$

(08/26/2003)

SECT	ION 905 STP-0499-00(002) /103836
PROP	OSAL (Sheet No. 2- 6)
	Desoto County
	TOTAL BID - DIRECT AND DEPENDENT ITEMS\$
COMP	LETE ITEM NOS. 1, 2, AND/OR 3 AS APPROPRIATE. SEE NOTICE TO BIDDERS NO.5955 AND SUPPLEMENT.
1.	I/We agree that no less than percent shall be expended with small business concerns owned and controlled by
-•	socially and economically disadvantaged individuals (DBE and WBE).
2.	Classification of Bidder: Small Business (DBE) Small Business (WBE)
2	A delah sesahan selah segarah Darimana (DDF/IDF)
3.	A joint venture with a Small Business (DBE/WBE): YES
	*** SIGNATURE STATEMENT ***
BIDD	ER ACKNOWLEDGES THAT HE/SHE HAS CHECKED ALL ITEMS IN THIS PROPOSAL FOR ACCURACY AND CERTIFIED THAT THE FIGURES SHOWN
	EIN CONSTITUTE THEIR OFFICIAL BID.
	BIDDER'S SIGNATURE

### **CONDITIONS FOR COMBINATION BID**

If a bidder elects to submit a combined bid for two or more of the contracts listed for this month's letting, the bidder must complete and execute these sheets of the proposal in each of the individual proposals to constitute a combination bid. In addition to this requirement, each individual contract shall be completed, executed and submitted in the usual specified manner.

Failure to execute this Combination Bid Proposal in each of the contracts combined will be just cause for each proposal to be received and evaluated as a separate bid.

\*

# **COMBINATION BID PROPOSAL**

I.	This proposal is tendered as one	part of a Combination	n Bid Proposal u	tilizing option	* of Subsection	102.11 on the following
	contracts:					

<sup>\*</sup> Option to be shown as either (a), (b), or (c).

	Project No.	<u>County</u>	Project No.	County
1			6	
2			7	
3			8	
4			9	
5			10	

- A. If option (a) has been selected, then go to II, and sign Combination Bid Proposal.
- B. If option (b) has been selected, then complete the following, go to II, and sign Combination Bid Proposal.

Project Number	Pay Item Number	Unit	Unit Price Reduction	Total Item Reduction	Total Contract Reduction
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					

II.

Project Number	Pay Item Number	Unit	Unit Price Reduction	Total Item Reduction	Total Contract Reduction
9.					
10.					
				1	
If option (c) has been selected, t	hen initial and c	omplete o	ne of the following,	go to II. and sign Comb	ination Bid Proposal.
I (We) desire to be awar	ded work not to	exceed a t	otal monetary value	of \$	
I (We) desire to be awar	ded work not to	exceed _	number of co	ontracts.	
is understood that the Mississipp ght to award contracts upon the ba					
is further understood and agreed every respect as a separate contra					I that each contract shall operate
We), the undersigned, agree to co	mplete each cor	ntract on o	r before its specified	completion date.	
			SIGNED		

# Certification with regard to the Performance of Previous Contracts or Subcontracts subject to the Equal Opportunity Clause and the filing of Required Reports

The Bidder, proposed Subcontractor	, hereby certifies that he has, has not
participated in a previous contract or subcontract s	ubject to the Equal Opportunity Clause, as required by
Executive Orders 10925, 11114, or 11246, and	that he has, has not, filed with the Join
1 6	of Federal Contract Compliance, a Federal Government
	rmer President's Committee on Equal Employmen
Opportunity, all reports due under the applicable fi	ling requirements.
	(CO) (D.1) (1)
	(COMPANY)
DV	
BY _	
	(TITLE)
DATE:	

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7 (b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the Equal Opportunity Clause. Contracts and Subcontracts which are exempt from the Equal Opportunity Clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime Contractors and Subcontractors who have participated in a previous contract or subcontract subject to the Executive orders and have not filed the required reports should note that 41 CFR 60-1.7 (b) (1) prevents the award of contracts and subcontracts unless such Contractors submit a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U. S. Department of Labor.

# <u>CERTIFICATION</u> (Execute in duplicate)

State of Mississippi
County of
I,
(Name of person signing certification)
individually, and in my capacity as of
(Title)
(Name of Firm, Partnership, or Corporation) do hereby certify under
penalty of perjury under the laws of the United States and the State of Mississippi that
, Bidder (Name of Firm, Partnership, or Corporation)
on Project No
in Count, Mississippi, has not either
directly or indirectly entered into any agreement, participated in any collusion; or otherwise taken any action in restraint of free competitive bidding in connection with this contract; nor have any of its corporate officers or principal owners.
Except as noted hereafter, it is further certified that said legal entity and its corporate officers, principal owners, managers, auditors and others in a position of administering federal funds:
<ul> <li>a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;</li> </ul>
b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in (b) above; and
d) Have not within a three-year period preceding this application/ proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
Initial here "" if exceptions are attached and made a part thereof. Any exceptions shall address to whom it applies, initiating agency and dates of such action.

<u>Note:</u> Exceptions will not necessarily result in denial of award but will be considered in determining bidder responsibility. Providing false information may result in criminal prosecution or administrative sanctions.

The bidder further certifies that the certification requirements contained in Section XI of Form FHWA 1273, will be or have been included in all subcontracts, material supply agreements, purchase orders, etc. except those procurement contracts for goods or services that are expected to be less than the Federal procurement small purchase threshold fixed at 10 U.S.C. 2304(g) and 41 U.S.C. 253(g) (currently \$25,000) which are excluded from the certification requirements.

The bidder further certifies, to the best of his or her knowledge and belief, that:

- 1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this contract, Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions will be completed and submitted.

The certification contained in (1) and (2) above is a material representation of fact upon which reliance is placed and a prerequisite imposed by Section 1352, Title 31, U.S. Code prior to entering into this contract. Failure to comply shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000. The bidder shall include the language of the certification in all subcontracts exceeding \$100,000 and all subcontractors shall certify and disclose accordingly.

All of the foregoing and attachments (when indicated and attachments)	cated) is true and correct.	
Executed on		
	Signature	

(11/23/92F)

# <u>CERTIFICATION</u> (Execute in duplicate)

State of Mississippi
County of
I,
(Name of person signing certification)
individually, and in my capacity as of
(Title)
(Name of Firm, Partnership, or Corporation) do hereby certify under
penalty of perjury under the laws of the United States and the State of Mississippi that
, Bidder (Name of Firm, Partnership, or Corporation)
on Project No
in Count, Mississippi, has not either
directly or indirectly entered into any agreement, participated in any collusion; or otherwise taken any action in restraint of free competitive bidding in connection with this contract; nor have any of its corporate officers or principal owners.
Except as noted hereafter, it is further certified that said legal entity and its corporate officers, principal owners, managers, auditors and others in a position of administering federal funds:
<ul> <li>a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;</li> </ul>
b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in (b) above; and
d) Have not within a three-year period preceding this application/ proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
Initial here "" if exceptions are attached and made a part thereof. Any exceptions shall address to whom it applies, initiating agency and dates of such action.

<u>Note:</u> Exceptions will not necessarily result in denial of award but will be considered in determining bidder responsibility. Providing false information may result in criminal prosecution or administrative sanctions.

The bidder further certifies that the certification requirements contained in Section XI of Form FHWA 1273, will be or have been included in all subcontracts, material supply agreements, purchase orders, etc. except those procurement contracts for goods or services that are expected to be less than the Federal procurement small purchase threshold fixed at 10 U.S.C. 2304(g) and 41 U.S.C. 253(g) (currently \$25,000) which are excluded from the certification requirements.

The bidder further certifies, to the best of his or her knowledge and belief, that:

- 1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this contract, Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions will be completed and submitted.

The certification contained in (1) and (2) above is a material representation of fact upon which reliance is placed and a prerequisite imposed by Section 1352, Title 31, U.S. Code prior to entering into this contract. Failure to comply shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000. The bidder shall include the language of the certification in all subcontracts exceeding \$100,000 and all subcontractors shall certify and disclose accordingly.

All of the foregoing and attachments (when indicated and attachments)	cated) is true and correct.	
Executed on		
	Signature	

(11/23/92F)

CONTRACT FOR	
LOCATED IN THE COUNTY OF	
STATE OF MISSISSIPPI,	
COUNTY OF HINDS	

This contract entered into by and between the Mississippi Transportation Commission on one hand, and the undersigned contractor, on the other witnesseth;

That, in consideration of the payment by the Mississippi Transportation Commission of the prices set out in the proposal hereto attached, to the undersigned contractor, such payment to be made in the manner and at the time of times specified in the specifications and the special provisions, if any, the undersigned contractor hereby agrees to accept the prices stated in the proposal in full compensation for the furnishing of all materials and equipment and the executing of all the work contemplated in this contract.

It is understood and agreed that the advertising according to law, the Advertisement, the instructions to bidders, the proposal for the contract, the specifications, the revisions of the specifications, the special provisions, and also the plans for the work herein contemplated, said plans showing more particularly the details of the work to be done, shall be held to be, and are hereby made a part of this contract by specific reference thereto and with like effect as if each and all of said instruments had been set out fully herein in words and figures.

It is further agreed that for the same consideration the undersigned contractor shall be responsible for all loss or damage arising out of the nature of the work aforesaid; or from the action of the elements and unforeseen obstructions or difficulties which may be encountered in the prosecution of the same and for all risks of every description connected with the work, exceptions being those specifically set out in the contract; and for faithfully completing the whole work in good and workmanlike manner according to the approved Plans, Specifications, Special Provisions, Notice(s) to Bidders and requirements of the Mississippi Department of Transportation.

It is further agreed that the work shall be done under the direct supervision and to the complete satisfaction of the Executive Director of the Mississippi Department of Transportation, or his authorized representatives, and when Federal Funds are involved subject to inspection at all times and approval by the Federal Highway Administration, or its agents as the case may be, or the agents of any other Agency whose funds are involved in accordance with those Acts of the Legislature of the State of Mississippi approved by the Governor and such rules and regulations issued pursuant thereto by the Mississippi Transportation Commission and the authorized Federal Agencies.

The Contractor agrees that all labor as outlined in the Special Provisions may be secured from list furnished by

It is agreed and understood that each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and this contract shall be read and enforced as though it were included herein, and, if through mere mistake or otherwise any such provision is not inserted, then upon the application of either party hereto, the contract shall forthwith be physically amended to make such insertion.

The Contractor agrees that he has read each and every clause of this Contract, and fully understands the meaning of same and that he will comply with all the terms, covenants and agreements therein set forth.

Witness our signatures this the			our signatures	this the	_ day of		
	Cont	tracto	r (s)				
Ву						MISSISSIPPI TRANSPORTATION COMMISSION	
Title					By		
Signed a	and sealed in and addresses	the pi	resenc	e of:		Executive Director	
						Secretary to the Commission	
Award	authorized	by	the	Mississippi	Transportation	n Commission in session on the day	of
			, _	, Minu	te Book No	, Page No	

CONTRACT BOND FOR:
LOCATED IN THE COUNTY OF:
STATE OF MISSISSIPPI,
COUNTY OF HINDS
Know all men by these presents: that we,
Principal, a
residing at in the State of
and
residing at in the State of,
authorized to do business in the State of Mississippi, under the laws thereof, as surety, are held and firmly bound unto the
State of Mississippi in the sum of
(\$) Dollars, lawful money of the United States of America, to be paid to
it for which payment well and truly to be made, we bind ourselves, our heirs, administrators, successors, or assigns jointly and
severally by these presents.
Signed and sealed this the day of A.D
The conditions of this bond are such, that whereas the said
principal, has (have) entered into a contract with the Mississippi Transportation Commission, bearing the date of day of
A.D hereto annexed, for the construction of certain highways in the State of
Mississippi as mentioned in said contract in accordance with the plans, specifications and special provisions therefor, on file
in the offices of the Mississippi Department of Transportation, Jackson, Mississippi.
Now therefore, if the above bounden
in all things shall stand to and abide by and well and truly observe, do keep
and perform all and singular the terms, covenants, conditions, guarantees and agreements in said contract, contained on his
(their) part to be observed, done, kept and performed and each of them, at the time and in the manner and form and furnish all of the material and equipment specified in said contract in strict accordance with the terms of said contract which said plans,
specifications and special provisions are included in and form a part of said contract and shall maintain the said work
contemplated until its final completion and acceptance as specified in Subsection 109.11 of the approved specifications, and
save harmless said Mississippi Transportation Commission from any loss or damage arising out of or occasioned by the negligence, wrongful or criminal act, overcharge, fraud, or any other loss or damage whatsoever, on the part of said principal
(s), his (their) agents, servants, or employees in the performance of said work or in any manner connected therewith, and shall
be liable and responsible in a civil action instituted by the State at the instance of the Mississippi Transportation Commission
or any officer of the State authorized in such cases, for double any amount in money or property, the State may lose or be
overcharged or otherwise defrauded of, by reason of wrongful or criminal act, if any, of the Contractor(s), his (their) agents or employees, and shall promptly pay the said agents, servants and employees and all persons furnishing labor, material,
equipment or supplies therefor, including premiums incurred, for Surety Bonds, Liability Insurance, and Workmen's
Compensation Insurance; with the additional obligation that such Contractor shall promptly make payment of all taxes,

#### **SECTION 903 - CONTINUED**

licenses, assessments, contributions, damages, any liquidated damages which may arise prior to any termination of said principal's contract, any liquidated damages which may arise after termination of the said principal's contract due to default on the part of said principal, penalties and interest thereon, when and as the same may be due this state, or any county, municipality, board, department, commission or political subdivision: in the course of the performance of said work and in accordance with Sections 31-5-51 et seq. Mississippi Code of 1972, and other State statutes applicable thereto, and shall carry out to the letter and to the satisfaction of the Executive Director of the Mississippi Department of Transportation, all, each and every one of the stipulations, obligations, conditions, covenants and agreements and terms of said contract in accordance with the terms thereof and all of the expense and cost and attorney's fee that may be incurred in the enforcement of the performance of said contract, or in the enforcement of the conditions and obligations of this bond, then this obligation shall be null and void, otherwise to be and remain in full force and virtue.

	Witness our signatures and seals this the	day of	A.D
	(Contractors) Principal		Surety
Ву			ure) Attorney in Fact
Title	(Contractor's Seal)		Flocal (Mississippi) representative.) (Surety Seal)

Revised 1/18/2000

OCR-485 **REV. 10/02** 

# MISSISSIPPI DEPARTMENT OF TRANSPORTATION **OFFICE OF CIVIL RIGHTS JACKSON, MISSISSIPPI**

on

# LIST OF FIRMS SUBMITTING QUOTES

I/we received quotes fro County:	<u> </u>	on Project No:	
Mississippi Department of all firms quoting/bide	of Transportation (MD ling subcontracts on pr	gulations as stated in 49 CFR POT) to create and maintain sime contracts and quoting/bivery firm, we require the fol	a comprehensive list dding subcontracts o
	DBE Firm		Non-DBE Firm
	DBE Firm		Non-DBE Firm
_	DBE Firm		Non-DBE Firm
Firm Name: Contact Name/Title: Firm Mailing Address Phone Number:			
Thone Number.	DBE Firm		Non-DBE Firm
	_	SUBMITTED BY (Sign	ature)
		FIRM NAM	F.

Submit this form to Contract Administration as a part of your bid package. If this form is not included as part of the bid packet, your bid will be deemed irregular. For further information about this form, call Mississippi DOT's Office of Civil Rights at (601) 359-7466; FAX (601) 576-4504. Please make copies of this form when needed and also add those copies to the bid package.

FORM CSD-607 Rev. 12 / 2003 YEAR 2004 PROGRESS SCHEDULE FOR USE WITH COLUMN "C" IN THE TABLE OF TIME UNITS

Miscellaneous   Miscellaneou	NOV DEC	NO.	AVTU
NO. DESCRIPTION VALUE  1 Miscellaneous 10,220,230  2 Signal Items 20-210  3 149			
1 Miscellaneous 10.220.230 149 2 Signal Items 20-210 149			
2 Signal Items 20-210 3 1449 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
LET. JAN 27, 2804 NTP. MARCH 1, 2004 BCT. MARCH 11, 2004 TU: 196			
LET: JAN - 27, 2004 NTP: MARCH 1, 2004 BCT: MARCH 11, 2004			
TU: 196			
			-
NTP MARCH 1, 2004 SCT. MARCH 11, 2004 TU 196  TU 196  TO 196			
		18	
MONTH   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   NOV   DCC   JAN   FEB   MAR   APRIL   MAY   JUNE   JULY   AUGUST   SEPTEMBER   OCTOBER   AUGUST	NOV DEC		UNITS
TIME UNITS PER MONTH   6   11   14   19   22   23   23   22   17   11   9   6   7   11   14   17   22   23   23   22   17   11   2   2   2   2   2   2   2   2	11 0	PER	YEAR

# **HAUL PERMIT FOR BRIDGES**

## WITH

# POSTED WEIGHT LIMITS

	<b>DATE:</b>
PROJECT:	STP-0499-00(002) / 103836
COUNTY:	DESOTO
LOCATION:	US HIGHWAY 51, MS HIGHWAY 302 AND STATELINE ROAD
A permit is issue exceeding the posproject termini proweight limits.	d to for transporting loaded limit for any such bridge located on State designated routes within the evided that such transport vehicles comply with all other governing statutory
for materials and contractors and ver and no other haul	I on all State designated routes from the point of origin to the point of delivery equipment utilized in construction of said project and also valid for sub- adors upon written permission of the Contractor. The permit is non-transferable permit for posted bridges will be issued to other individuals, vendors, or com- tion of this project.
	ned permit shall be carried in all vehicles operating under the authority of this copy of the Contractor's written permission when the vehicle is other than
	State law, the above named Contractor will be liable for damages directly cles operating under this permit.
	EXECUTIVE DIRECTOR